



# Synthesis, structural investigations, DFT studies, and neurotrophic activity of zinc complex with a multidentate ligand

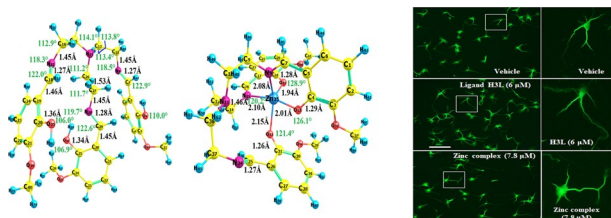
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## Abstract

A novel zinc complex derived from a multidentate ligand, nitrilotris(ethane-2,1-diyl)tris(azanylylidene)tris(methanylylidene)-tris(2-methoxyphenol), was designed and structurally investigated by elemental analyses, IR, NMR, ESI-MS, and UV–Vis studies. The density functional theory was also recorded to investigate the additional insights into the structural and electronic properties of the ligand and its complex. Both the ligand and its complex showed significant neurite outgrowth and viability in brain neuron at lower concentration when investigated for neurological properties. Thus, it can be suggested that the ligand and its complex may play a potential role in brain development, functioning as well as in the treatment of neurological disorders.

## Graphic abstract



**Keywords** Zinc(II) complex · DFT studies · Neurotrophic activity

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## Introduction

Schiff base ligands, also known as privileged ligands, show a broad range of applications, particularly in food chemistry, organic synthesis, dyes and pigments, catalysis, and various biological applications [1–7]. The biological behaviour

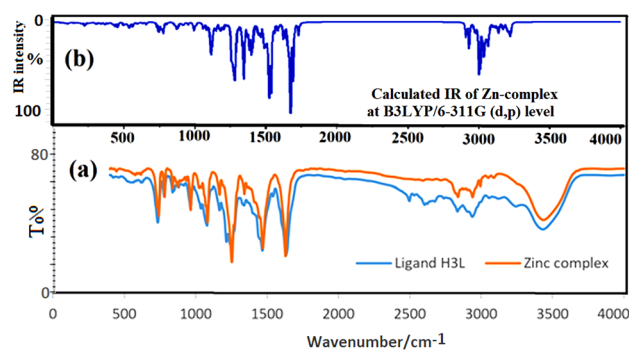
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exhibited by Schiff bases is supposed to be due to the presence of azomethine/imine linkage [8–10]. Over the years, the chemistry of Schiff bases has received extensive attention in coordination chemistry due to their ease in synthesis, denticity, strong chelating behaviour, flexible structures and, more importantly, in the formation of numerous coordination compounds with multiple applications in medicinal and material chemistry [11–20]. Literature reveals that the metal complexes with coordination number seven or higher are less developed [21, 22]. However, heptadentate Schiff base complexes, particularly tripodal complexes, constructed from tris(2-aminoethyl)amine are widely reported in literature due to their behaviour in encapsulating metal ions, and thus facilitating seven coordination geometry [23–27].

Alzheimer's disease (AD), the most common form of dementia, was first described by Alzheimer in 1906, and is the major public health concern with its increasing prevalence among elderly people [28–30]. The pathological hallmarks of AD include extracellular deposition of amyloid plaque and intraneuronal aggregation of neurofibrillary tangles, and are responsible for decrease in reserve capacity of the brain, which is determined by the number and neurite outgrowth of neurons [31–33]. A large number of evidences suggest that prevention of neurite damage by exogenous drugs can ameliorate the symptoms of neurological disorders such as AD by reconstructing the partially damaged neuronal network [34–36]. Over the last few years, there are several evidences to design the metal complexes intervening with the  $\beta$ -amyloid aggregation in a useful way to restore the metal homeostasis, essential for the diagnosis and therapeutics of Alzheimer's disease [37–41]. Considering the above facts, we are herein concerned in preparing a novel zinc complex obtained from tris(2-aminoethyl)amine-based tripodal ligand, and its application in intervening in  $\beta$ -amyloid aggregation, effective for diagnosis and therapeutics of AD. The tripodal Schiff ligand was prepared following the methods reported in literature [42, 43]. The synthesized complex was characterized by several physico-chemical techniques. Moreover, DFT calculation and time-dependent DFT (TD-DFT) were carried out to understand the geometry and electronic properties, including HOMO–LUMO analysis, molecular electrostatic potential (MEP), thermodynamic parameters, and global reactivity descriptors.

## Results and discussion

The IR spectrum of free ligand  $H_3L$  revealed a characteristic band at  $1634\text{ cm}^{-1}$  attributed to azomethine linkage. However, its position showed negative shift and appeared at  $1630\text{ cm}^{-1}$  in complexation, suggesting the coordination of zinc ion via imine nitrogen (Fig. 1) [44–46]. Furthermore, bands at  $736\text{ cm}^{-1}$ ,  $1041\text{ cm}^{-1}$  and  $1041\text{ cm}^{-1}$  were



**Fig. 1** IR spectra of ligand,  $H_3L$ , its zinc complex and calculated IR spectrum of the complex

assigned to aromatic ring vibrations of the ligand  $H_3L$ . However, no appreciable change was noticed in their position in the IR spectrum of zinc complex [44–46]. Besides, the IR spectrum of zinc complex exhibited a medium-intensity band at  $3454\text{ cm}^{-1}$  attributed to  $\nu_{(O-H)}$  vibrations [45–47]. Typical vibrational assignments of the zinc complex in the IR spectrum were also supported using the same B3LYP/6-311G(d,p) basis set applied in the optimization of complex geometry. From the calculated stretching vibration modes of the aromatic bands (C–H),  $CH_3$ , and  $-CH_2-$  for the complex were found as a bundle of weak, including asymmetric and symmetrical intensities at  $3225$ – $3165\text{ cm}^{-1}$ , bundle of strong intensities at  $3176$ – $3007$  and  $3137$ – $3039\text{ cm}^{-1}$  (unscaled), respectively (Fig. 1b). Other theoretically calculated important vibrations are at  $3065$  and  $3031\text{ cm}^{-1}$  for  $H-C=N$ ,  $1730\text{ cm}^{-1}$  for  $H-C=N$ ,  $1688$ – $1670\text{ cm}^{-1}$  for  $Zn-N=C$ , and  $1110\text{ cm}^{-1}$  for  $-C-O-$  groups. However, all vibrations obtained using B3LYP/6-311G(d,p) basis set were measured in unscaled mode. The results revealed that the theoretical vibrations are almost correlated with the experimental data, and can be shown by comparing the spectra of ligand and zinc complex with the calculated complex spectrum.

The  $^1H$  NMR spectrum of  $H_3L$  exhibited a characteristic sharp peak at 8.25 ppm assigned to azomethine proton (s, 3H,  $-CH=N-$ ). Resonances due to  $-OCH_3$  and phenyl protons appeared at 3.33 ppm and 6.53–6.97 ppm, respectively. Furthermore, proton resonances due to  $-CH_2-N=CH-$  appeared at 2.84 ppm, whereas  $-N-CH_2-$  (s, 6H) exhibited proton resonance at 2.49 ppm. However,  $^1H$  NMR spectrum of zinc complex revealed deshielding in chemical shifts, and showed a multiplet due to aromatic protons at 6.59–6.99 ppm (s, Ar–H, 9H), whereas the characteristic azomethine signal appeared at 8.27 ppm (s, 3H,  $-CH=N-$ ). The chemical shifts due to  $-OCH_3$  and methylene protons appeared at 3.37, 2.88 and 2.51 ppm, respectively.

$^1H$  NMR spectral findings were further established by  $^{13}C$  NMR spectra, revealing a characteristic azomethine carbon signal at 155.8 ppm in  $H_3L$ . Furthermore,  $^{13}C$  NMR data

exhibited  $-\text{OCH}_3$  signal at 54.3 ppm, whereas the signals due to  $-\text{N}-\text{CH}_2-\text{CH}_2-\text{N}-$  appeared at 60.8 and 51.5 ppm, respectively. The position for  $^{13}\text{C}$  NMR signals due to aromatic carbon appeared at 122.4–153.5 ppm. However, the  $^{13}\text{C}$  NMR findings showed deshielding in complexation, suggesting the involvement of zinc ion in coordination.

The surface morphology of the complex, recorded at low magnification, exhibited beautiful morphology with spine-like randomly spread structures. The size of the particles varied and mainly existed in a few microns (Fig. 2). However, particles with size  $100\text{ }\mu\text{m}$  were also observed, which agglomerated to form a large size.

TGA data revealed the degradation of the studied zinc complex in two distinct steps, exhibiting the first step at ca.  $300\text{ }^\circ\text{C}$ , equivalent to the loss of moisture constituting 2.94% of the total weight of the complex (Fig. 3). The complex degraded completely in the second and most important step at ca.  $410\text{ }^\circ\text{C}$ , revealing the loss of the whole organic moiety with 24.0% of the total weight of the complex, forming zinc oxide as the end product (9.49%).

### Molecular geometry

Figure 4 shows the fully optimized geometry of the ligand,  $\text{H}_3\text{L}$  and the gas phase zinc complex using the basis set B3LYP/6-311(*d,p*) established in terms of energy with numbering of the atoms of complex used to calculate the bond strength and angles of the ligand and its complex. Figure 4a displays the coordination of zinc ion via azomethine, tertiary nitrogen and deprotonated hydroxyl oxygen. The designed zinc complex (Fig. 4b) shows its deformed geometry around the central metal ion. The bonds between carbon and nitrogen of azomethines extended slightly (Fig. 4) after complexation as compared to free ligand on account of the direct participation of nitrogen of azomethines in the formation of coordinated bonds with the zinc ion. Although the bond distance of phenolic oxygen ( $\text{C}-\text{O}$ ) decreased marginally because of overlapping orbitals, it

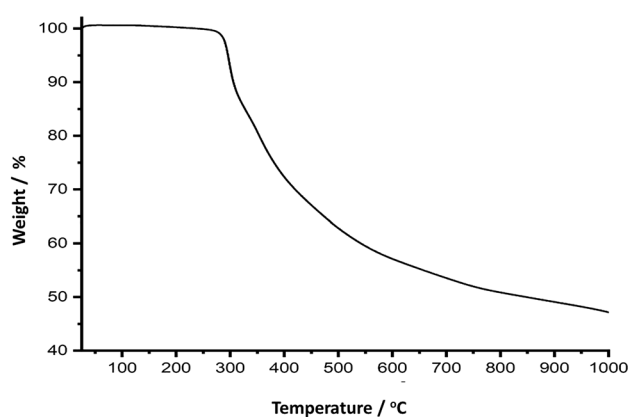


Fig. 3 TGA graph of zinc complex

gained partial double bond character. The bond distances of  $\text{C}-\text{O}$ ,  $\text{N}=\text{H}$ ,  $\text{N}-\text{Zn}$ , and  $\text{O}-\text{Zn}$  calculated theoretically are nearly similar to the values reported for zinc complexes. The lengths of  $\text{Zn}-\text{N}$  at 2.10 and  $2.08\text{ }\text{\AA}$ , and the distances of  $\text{Zn}-\text{O}$  are within the range of  $1.94\text{--}2.15\text{ }\text{\AA}$ , consistent with the relevant literature data [48–50]. Due to the electron displacement of the ligand to the zinc ion, elongation in  $\text{C}=\text{N}-\text{Zn}$  bond lengths may take place (Fig. 4) [51–53]. After the coordination with zinc ion, the ligand lost its planarity, and angular deformation was noticed in zinc complex in the ligand fraction. The lengths of  $\text{C}7-\text{N}8$ ,  $\text{C}10-\text{N}11$ ,  $\text{C}16-\text{N}15$ , and  $\text{C}25-\text{N}24$  bonds slightly elongated due to deformation. The  $\text{C}=\text{N}$  bond length extends in the free ligand from  $1.276\text{--}1.285$  to  $1.295\text{--}1.301\text{ }\text{\AA}$  in the complex, whereas the  $\text{C}10-\text{N}11$  ( $\text{sp}^3$ ) bond lengths extend from  $1.464$  to  $1.476\text{ }\text{\AA}$ . But, the bond lengths for  $\text{C}18-\text{O}23$ ,  $\text{C}4-\text{O}12$ , and  $\text{C}31-\text{O}33$  are  $1.288$ ,  $1.291$ , and  $1.265\text{ }\text{\AA}$ , respectively, in zinc complex. However, these values are shorter than the value calculated for the ligand at  $1.361$ ,  $1.371$ , and  $1.341\text{ }\text{\AA}$ . A similar trend was observed for the other bond length in the zinc complex. A slight difference in the bond lengths contributes to the deviation of the bond angle from the normal

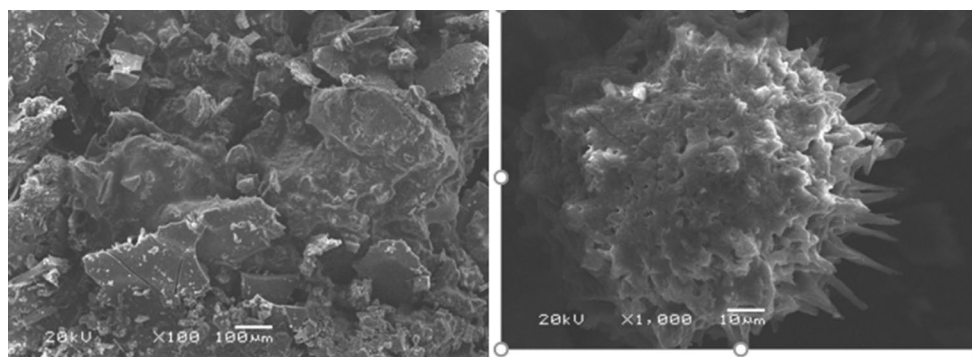
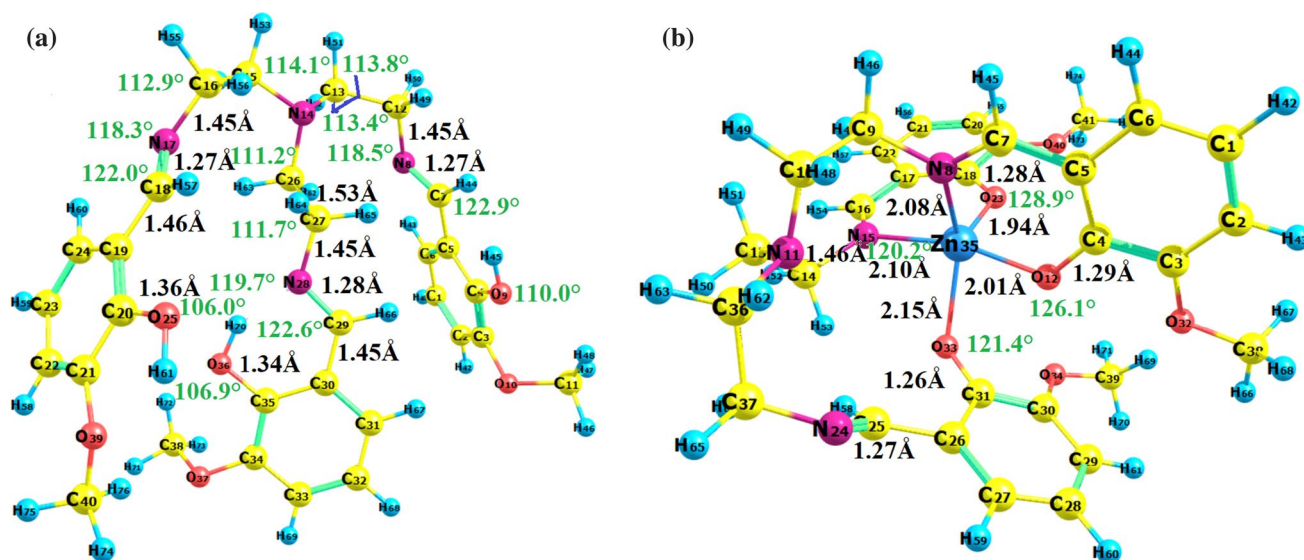


Fig. 2 SEM images of zinc complex



**Fig. 4** Optimized structure of ligand (a) and its zinc complex (b) showing important bond lengths and angles in black and green, respectively (colour figure online)

value around the metal centre. The bond angles for zinc complex  $\angle\text{O23Zn35N15}$ ,  $\angle\text{O23Zn35O12}$ ,  $\angle\text{O12Zn35N8}$ ,  $\angle\text{O23Zn35N8}$ ,  $\angle\text{O23Zn35N11}$ , and  $\angle\text{O12Zn35N11}$  are  $92.6^\circ$ ,  $100.6^\circ$ ,  $88.0^\circ$ ,  $107.5^\circ$ ,  $143.4^\circ$ , and  $110.4^\circ$ , respectively. The complexation has no effect on the bond angle and length of benzene and other distantly residing groups. Selected geometry data of bond length and angles for ligand and complex are given in Table S1.

### Electronic spectra of ligand and complex, frontier molecular orbitals, and molecular electrostatic potential (MEP)

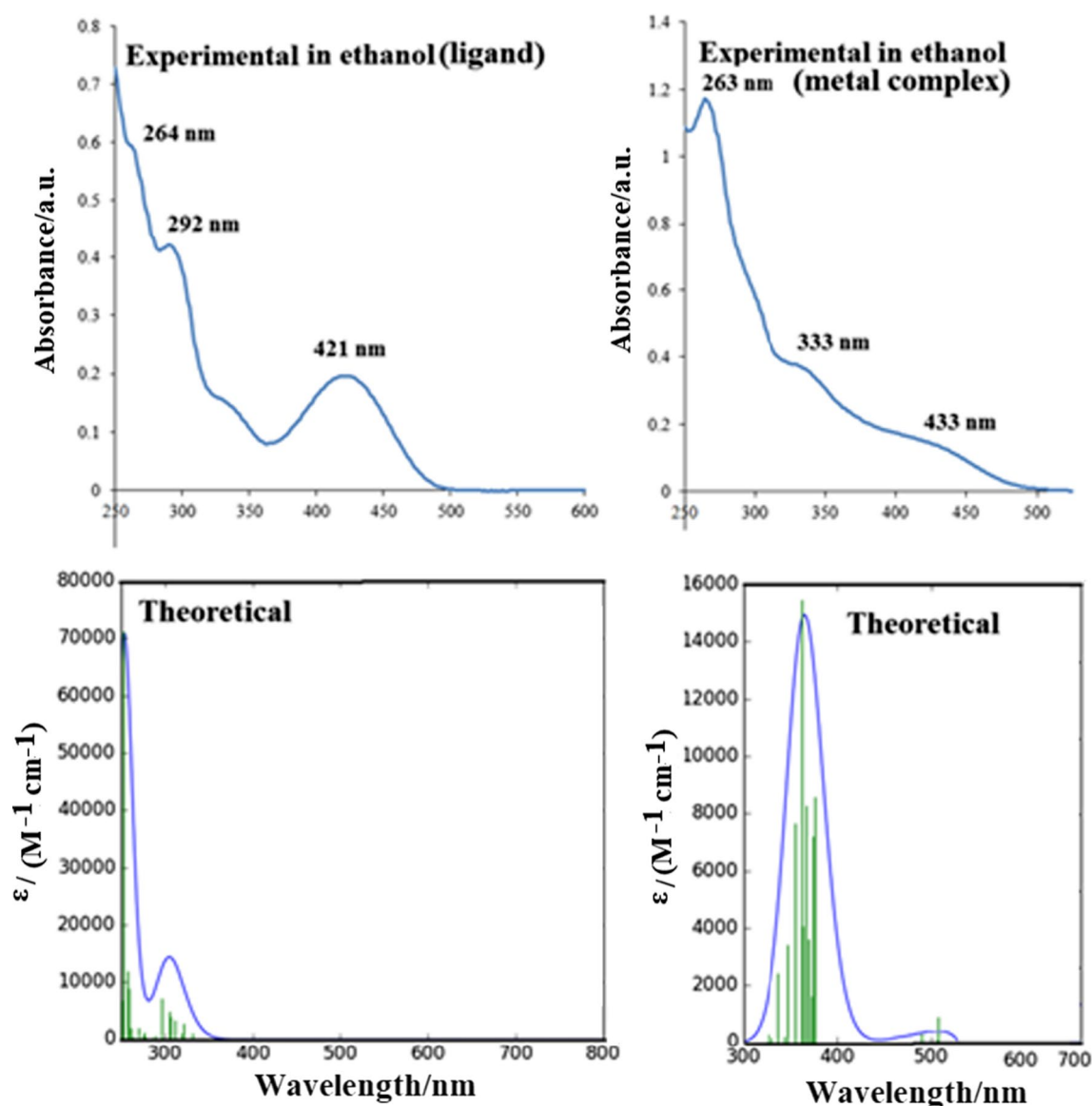
The electronic spectra of  $\text{H}_3\text{L}$  and its zinc complex in ethanol ( $\text{C}_2\text{H}_5\text{OH}$ ) are displayed in Fig. 5. However, the maximum absorption was observed at 345 nm due to the transformation of  $n \rightarrow \pi^*$  before the complexation of the ligand [54–56].

However, its value shifted at 449 nm in the zinc complex. The LMCT interaction was driven by the coordination due to the extension of the larger conjugated system. The TD-DFT/B3LYP/6-311G(*d,p*) in ethanol determined the UV–Vis spectra of the ligand and its zinc complex. The calculated and experimental data for the UV–Vis spectra are given in Table S2. GaussSum2.2 was used to calculate and prepare group contributions of molecular orbit and UV–Vis spectra, respectively. UV–Vis spectra obtained from TD-DFT/B3LYP/6-311G(*d,p*) can be seen to be logically related to the experimental data. However, deviations from the experimental data were due to the influence of solvent, causing the change in the geometric and electronic structure, such as the molecular properties, and thus lower the energy of the molecules. In addition, solvent effect

creates larger bands of absorption [57]. Frontier molecular orbitals (FMOs) play an important role in understanding the chemical behaviour of the complexes using the highest occupied molecular orbital (HOMO) and lower unoccupied molecular orbital (LUMO) associated with electronic transition. Table S2 clearly states that the transitions at 490 and 348 correspond to beta spin HOMO–LUMO and alpha spin HOMO–LUMO with transition contributions of 55 and 52, respectively. On the other hand, peaks at 327 and 326 nm induce  $\beta$ -spin HOMO–LUMO and  $\alpha$ -spin HOMO–LUMO in the electronic transition with 70% and 74% contributions. However, the major transitions observed in the theoretical UV–Vis spectrum at 331, 321, and 318 nm correspond to various HOMO–LUMO energy levels with a spectrum transition contribution of 61, 83, and 89% in the free ligand. Certain bands observed at 264 and 251 with transitional contributions of 94 and 93% appear because of  $\pi \rightarrow \pi^*$  transition.

Cluster continuum model (CCM) [58] was applied to test the solvent effect directly on the electronic transition of the zinc complex by supplying ethanol molecule to the adjacent complex at the appropriate place, and using the same level theory. Ethanol solvent was considered as explicit EtOH in the cluster continuum approach and the result obtained from the calculation indicated that explicit ethanol molecule was not involved in the HOMO–LUMO system as shown in Fig. 6. Therefore, there was no significant contribution to the electronic transition and, consequently, no apparent effect of the explicit ethanol on the UV–Vis spectrum of metal complex was observed in contrast to the implicit solvent in the system (Fig. 5). Theoretical UV–Vis spectrum of the zinc complex calculated in the presence of explicit solvent in the



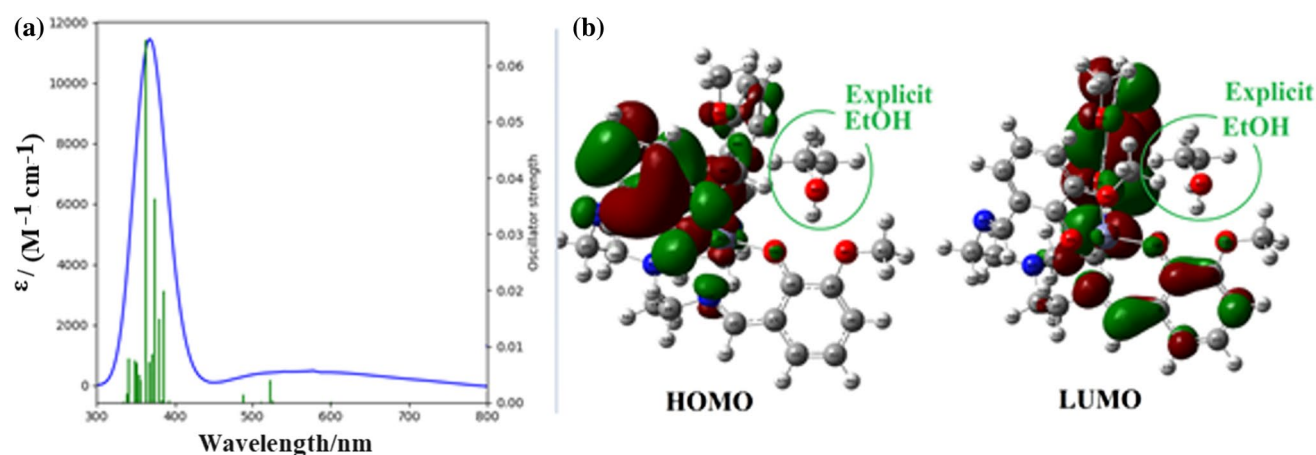


**Fig. 5** The comparison of experimental and calculated UV–Vis spectra of the ligand and zinc complex in ethanol

system was found to be almost the same as in the presence of implicit ethanol solvent in the system.

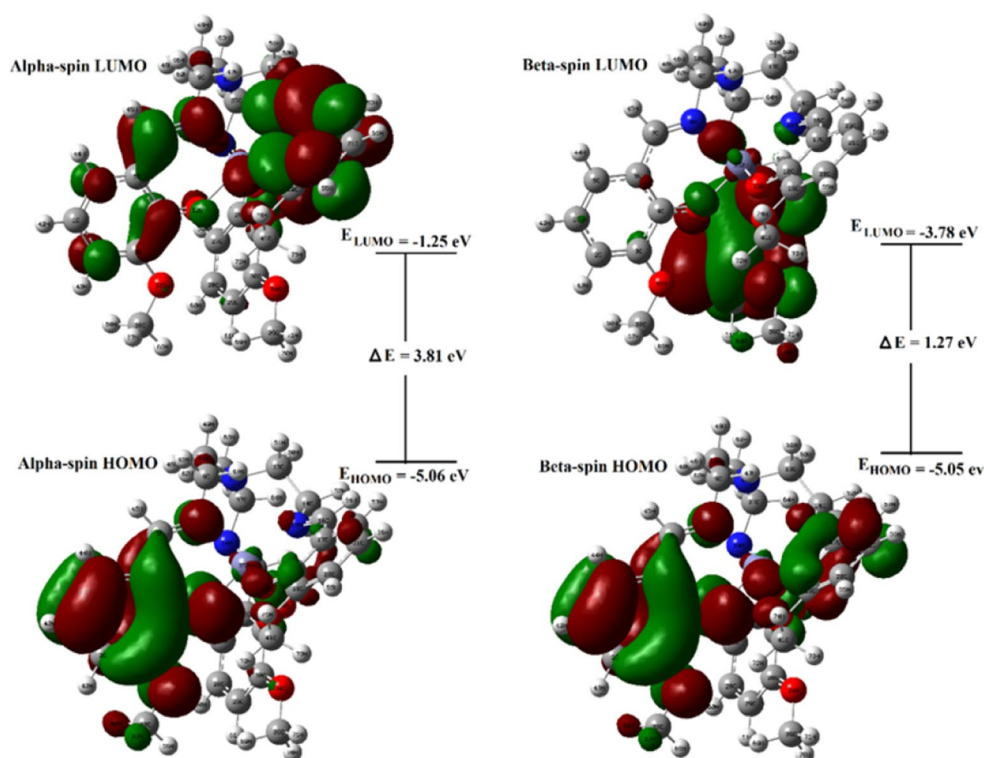
The molecular geometry selected for the complex calculations has doublet multiplicity. Therefore, the frontier molecular orbitals called higher occupied molecular orbitals (HOMO) and lower unoccupied molecular orbitals (LUMO) are divided into molecular orbitals alpha (spin  $\uparrow$ ) and beta (spin  $\downarrow$ ). The metal complex isodensity plots along with the energy values of the frontier orbitals are shown in Fig. 6. The basic electronic properties of any molecule are mostly based on HOMO–LUMO molecular orbitals and band gaps. From Fig. 7 of the zinc complex, the FMOs of alpha and beta energies are  $E_{\text{HOMO}} = -5.06$  eV ( $\alpha$ ),  $E_{\text{HOMO}} = -5.05$  eV ( $\beta$ ),  $E_{\text{LUMO}} = -1.25$  eV, and  $E_{\text{LUMO}} = -3.78$  eV and their

corresponding energy gaps are ( $\Delta E = E_{\text{LUMO}} - E_{\text{HOMO}}$ ) are 3.81 ( $\alpha$ -spin), 1.27 eV ( $\beta$ -spin). The energy gap of the zinc complex ( $\Delta E = 3.81$  and 1.27 eV) is lower in comparison to the energy gap of ligand ( $\Delta E = E_{\text{LUMO}} - E_{\text{HOMO}} = -1.39 - 5.39 = 4.0$  eV, Fig. S1), suggesting that the Zn(II) ion has a greater propensity to accept electrons from phenolic oxygen and azomethine nitrogen to enter in the complex formation. The molecular orbital energies ( $E_{\text{HOMO}}$  and  $E_{\text{LUMO}}$ ) calculated by applying B3LYP/6-311G(*d,p*) level theory are found to be all negative, suggesting that both the ligand and its zinc complex are stable [59, 60]. The energy contribution of these orbitals shows the capacity of quantitative chemical reactions, such as chemical potential, electrophilicity, chemical hardness, and softness. The small gap



**Fig. 6** **a** Theoretical UV spectrum of the zinc complex, and **b** HOMO–LUMO molecular orbital graphs in the presence of explicit ethanol

**Fig. 7** The HOMO and LUMO of Zn complex and its energy gaps



between HOMO and LUMO gives an indication about the high chemical reactivity and the low kinetic stability of the complex. HOMO and LUMO are located on one of the three aromatic fragments of the complex for  $\alpha$  (spin  $\uparrow$ ), whereas HOMO is distributed on two of the three aromatic skeletons of the complex, and LUMO is primarily on one of the three aromatic rings for  $\beta$  (spin  $\downarrow$ ) (Fig. 6). The SCF energy, dipole moment, frontier molecular orbitals energy eigenvalues and its energy gap, and global chemical reactivity indices are calculated using B3LYP/6-311G(*d,p*) basis set as shown in Table S3.

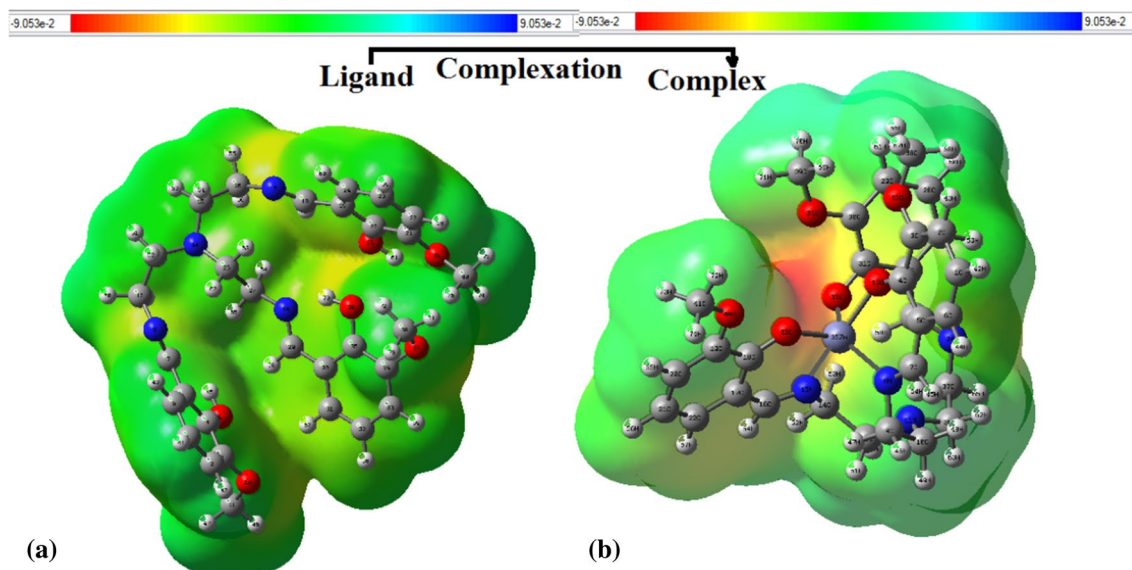
The molecular electrostatic potential (MEP) map of the ligand and its zinc complex is measured and used to analyse the binding properties. In addition to determining the presence of inter- or intramolecular interactions in the ligand and its complex, MEP map may be used to determine the reactivity of the molecules. In these MEP diagrams, the different colours were related to the electrostatic potential of the surface molecules. Most ligand surfaces are neutral by showing green on it with the exception of some areas where yellow colour is observed, suggesting a slight negative potential. Activation of such areas need stimulus to

intensify the reaction by supplying extra energy, either stirring, refluxing or both. The MEP ligand diagram (Fig. 8a) shows the richness in electron density due to the presence of ligand nitrogen ( $\text{C}=\text{N}$ ) and phenolic groups ( $\text{C}-\text{O}$ ), participating in bonding with  $\text{Zn}(\text{II})$  ion. In this process, the redistribution of the electron density between the ligand and zinc ion provides the formation of new bonds, such as coordination and covalent bonds, resulting in new lengths and angles of metal complexes that have a direct effect on the MEP electron density of the metal complex. Figure 8b displays the MEP surface and contour plot with colour ranging from darkest red to deepest blue. Most of the regions in the complex are neutral and shown in green colour. The negative electrostatic potential is observed on oxygen atoms as shown by the slight red colour [61, 62] (Fig. 8b). The dominance and electron deficiency in specific regions define the variability of the high to low electrostatic potential. The maximum positive and negative potential region of molecular electrostatic potential map on the complex being studied is the preferred site in the chemical system for nucleophilic and electrophilic attacks.

### Thermodynamic parameters and global reactivity descriptors

Thermodynamic parameters, dipole moment, and descriptors of chemical reactivity are important molecular properties on the basis of HOMO–LUMO and its energy gap [63]. The parameters mentioned above were calculated using the theory level B3LYP/6-311G(*d,p*). However, to study the thermodynamic properties of molecules, DFT methods

are important tools. The accuracy of the thermodynamics parameters are based on the perfect geometry as well as vibrational data. Thermodynamic data such as thermal energy, molar heat capacity and entropy of the complex are given in Table S3. The total dipole moments of any chemical compound associated with the neighbourhood interaction are used to explain the nature and strength of the interaction with the surrounding environment. The present complex with the dipolar moment 2.29 D presents an intermediate interaction with the neighbouring environment and indicates the degree of delocalization of the charges, hardness, chemical potential, electrophilicity index, electronegativity, ionization potential and softness under category of global reactivity descriptors are shown in Table S1. Global reactivity descriptors are applied to evaluate the properties of a complex. The global reactivity descriptors define a compound reactivity as well as kinetic stability relying on FMOs. It is worth mentioning that molecules with a large molecular orbital gap or high chemical hardness values are commonly called hard molecules with less polarizability, high kinetic stability, and low chemical reactivity. Significance of energy gaps has been described under the section of electronic spectra of ligand and complex. The concept of hardness ( $\eta$ ) is associated with the reactivity of a compound which counts the degree of chemical reactivity to which the addition of a charge stabilizes the system. A molecule with a higher softness value ( $S=0.524$  eV) (ES) (low  $\eta$  value; Zn complex;  $\eta=1.95$  eV) indicates the stabilization of charge than a molecule with a smaller  $S$  (ligand;  $S=0.5$  eV) value (high  $\eta$  value, ligand;  $\eta=2$  eV). The ionization potential and the electronic affinity are extracted from the values of



**Fig. 8** Molecular electrostatic potential (MEP) surface of the studied ligand (a) zinc complex (b) showing red colour reflects the highest negative area favourable for electrophilic attack (colour figure online)

the energies HOMO and LUMO as  $I = -E_{\text{HOMO}}$  and  $A = -E_{\text{LUMO}}$ . Furthermore, a large energy gap HOMO–LUMO signals a hard molecule and relates to more stable molecules, whereas a small energy gap specifies a soft molecule and relates to a more reactive molecule. The electrophilicity index ( $\omega$ ) has been applied for chemical reactivity of complex and ligand to test the ability of a chemical compound to accept an electron from its reaction partner. A reactive species acting as a nucleophile is described as having a lower value of  $\omega$ . The lower electrophilicity index of ligand ( $\omega = 2.87$  eV) (Table S3) than that of  $\text{ZnCl}_2$  ( $\omega = 6.10$  eV) indicates the donation of the ligand electron to  $\text{Zn(II)}$  ion to form the complex.

### Concentration, optimization, and differential neurite outgrowth promoting the activity of the ligand and zinc complex

To investigate the neurotrophic factor-mimetic property of the tested compounds, we cultured E19 rat hippocampal neurons, and incubated for 3 days in the presence of ligand and its zinc complex for the analysis of morphometric parameters, i.e. the primary neurite number (neurites that originated directly from soma), total length of primary neurites (TLPN; sum of the length of primary neurites) and the longest length of primary neurites (LLPN). In a preliminary experiment, the ligand and its zinc complex were added in different concentrations and their neuritogenic activities (Fig. 9A) were measured according to the method described by Hannan et al. [64, 65]. We found that the ligand exhibited the highest activity at 6 nM concentration based on primary neurite (PNN; increase by  $\sim 8\%$  of vehicle;  $P > 0.05$ ) counts (Fig. 9B-a), total lengths of PNs (TLPN; increase by  $\sim 30\%$  of vehicle;  $P < 0.05$ ) (Fig. 9B-b) and lengths of longest primary neurites (LLPNs; increase by  $\sim 45\%$  of vehicle;  $P < 0.05$ ) (Fig. 9B-c) compared to the vehicle control [DMSO, final concentration  $< 1.0\%$  ( $v/v$ )], and these neuritogenic activities consecutively decrease with increase in the concentration of  $\text{H}_3\text{L}$ , i.e. 1.8  $\mu\text{M}$ , 3.6  $\mu\text{M}$ , and 7.2  $\mu\text{M}$ . However, in case of the zinc complex, neuritogenic activities increase initially, i.e. evidenced by the enhancement of TLPN (increase by  $\sim 12\%$  of vehicle;  $P > 0.05$ ) (Fig. 9B---e) and LLPN (increase by  $\sim 10\%$  of vehicle;  $P > 0.05$ ) (Fig. 9B---f), but not PNN (Fig. 9B---d) at 2.6 nM concentration and this activity reaches maximum at 7.8 nM concentration, i.e. PNN (increase by  $\sim 5\%$  of vehicle;  $P > 0.05$ ; and  $> \sim 30\%$  of 2.6 nM PNN), TLPN (increase by  $\sim 15\%$  of vehicle;  $P > 0.05$ ; and  $> \sim 3\%$  of 2.6 nM TLPN), LLPN (increase by  $\sim 33\%$  of vehicle;  $P < 0.05$ ; and  $> \sim 23\%$  of 2.6 nM LLPN) and then decrease with increase in the concentration of the complex, i.e. 1.6  $\mu\text{M}$  and 3.2  $\mu\text{M}$ . In addition, viability analysis using trypan blue exclusion assay at

DIV6 revealed that both the ligand (6  $\mu\text{M}$ ) and zinc complex (7.8  $\mu\text{M}$ ) protected cultured neurons from naturally occurring cell death as compared to vehicle and notably the zinc complex showed higher neuronal protection over the ligand by  $\sim 3\%$  (Fig. 9C).

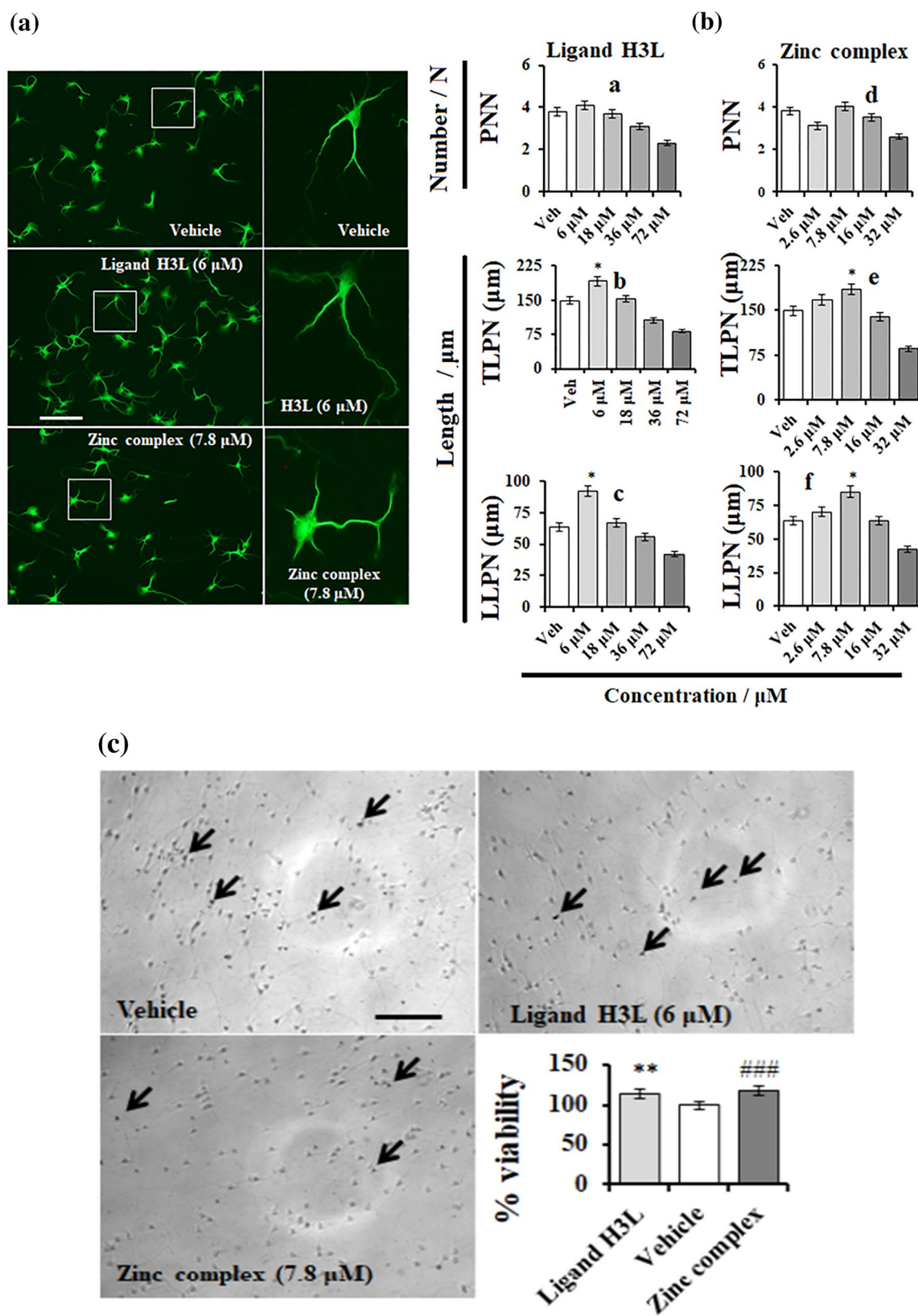
The morphological development of neuron is characterized by highly organized, stereotyped process that comprised neurite outgrowth, followed by axonal sprouting, dendritic remodelling, and maturation by the formation of synapses through special dendritic features called spines. Neurons grow specialized cell protrusions known as neurites that are the core for structural and functional polarity [66] and form the basis of establishment of synaptic connections during development [67], thus playing a fundamental role in the formation of highly interconnected neuronal networks in the brain [68, 69]. In neurodegenerative brains, the neural networks are disrupted due to the regression of neurites and subsequent neuronal death [34]. In such conditions, exogenous drugs of natural or synthetic origin, known to support neuronal networks by extending axonal and dendritic arbors in developing neurons and restore partially damaged network in degenerating brain, could ideally be a curative means [70]. The present study shows that ligand,  $\text{H}_3\text{L}$  and zinc complex can modify neuronal survival and cytoarchitecture by neuronal sprouting, which would ultimately promote the extent of neuronal networks and may help in reconstruction of the damaged brain in neurodegenerative diseases such as Alzheimer's.

The result represents the fluorescence photomicrograph of hippocampal cultures after treatment of different compounds with their highest neuritogenic activity, that is, PNN, TLPN and LLPN for 3 days (Fig. 9A, Ba–f). Assessment was made of neuronal viability at DIV6 after trypan blue exclusion, and arrow indicates dead cells (Fig. 9C). Scale bar 40  $\mu\text{M}$ . Bars represent means  $\pm$  SEM ( $n = 30$  individual neurons). Statistically significant vs. vehicle:  $*P < 0.05$ ,  $**P < 0.01$ , and  $***P < 0.001$  (ANOVA).

## Conclusions

A novel zinc complex possessing tripodal ligand was synthesized and characterized by several methods. DFT analyses were conducted to calculate the geometric parameters, transition patterns and FMOs to know the nature of the interaction of the ligand with  $\text{Zn(II)}$  ion. Both the ligand and its zinc complex were investigated by cell biological assay and exhibited neuronal outgrowth by PNN ( $\sim 5\%$ ), TLPN ( $\sim 15\%$ ), and LLPN ( $\sim 30\%$ ) and survival-promoting activities in the primary culture of hippocampal neuron at lower concentration, suggesting a therapeutic promise in the development of a drug for the treatment of neurological disorders.





## Experimental

C, H, N data were obtained using ElementarVarrio EL analyzer. The electronic spectra were obtained from LKB Biochem UV–visible spectrophotometer at room temperature in ethanol. FT-IR spectra were collected from Perkin Elmer 621 spectrophotometer at 4000–400  $\text{cm}^{-1}$ . SDTQ-600 (TA) was used to study the thermal analyses of the complex in inert atmosphere. SEM images were obtained from JSM-6380 LA with a magnification value of X 18 TO 300,000 at resolution 3.0 nm (30 kV, WD8MM, SEI) and voltage of 30 kV at JSM-6380 LA.

Gaussian's WebMo platform [71, 72] was used to conduct the entire computation of  $\text{H}_3\text{L}$  and its zinc complex. The ligand and its complex geometry optimization were established using unrestricted B3LYP with 6-311G(*d,p*) level set in gas and solvent ( $\text{C}_2\text{H}_5\text{OH}$ ,  $\epsilon = 24.852$ ,  $\mu = 1.69$ , and  $n_D = 1.3611$ ) [73, 74]. Harmonic vibrational frequency analyses were tested to know the imaginary frequency to ensure the reliability of the optimized structure, showing the true minimum on the potential energy field with no imaginary frequency. The HOMO–LUMO gap in  $\text{C}_2\text{H}_5\text{OH}$  solution was also analysed using the excitation energy of HOMO–LUMO obtained by TD-B3LYP/6-311G(*d,p*) calculation and termed as the polarizable continuum model (PCM) [75, 76]. The zinc complex with reactive sites was visualized via the surface of the electrostatic molecular potential (MEP). The MEP was rendered using the Gauss-View 5 program to map the electrostatic potential to the total electron density of the molecule [77, 78]. In addition, various physical parameters and descriptors were calculated to know the behaviour of the present molecule.

**Nitrilotris(ethane-2,1-diyl)tris(azanylylidene)-tris(methanylylidene)tris(2-methoxyphenol) ( $\text{H}_3\text{L}$ ,  $\text{C}_{30}\text{H}_{36}\text{N}_4\text{O}_6$ )** A methanol solution of tris(2-aminoethyl)amine was added to the solution of *o*-vanillin in 1:3 stoichiometric ratio in the same solvent. The reaction mixture was stirred for 12 h at room temperature, which was then evaporated to yield yellow coloured microcrystalline product in a week (Scheme 1). Yield: 82%; ESI-MS:  $m/z = 550.26$  ( $\text{M}^+$ );  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO}-d_6$ ):  $\delta = 8.22$ (s,  $-\text{CH}=\text{N}$ ,

3H), 6.56–6.94 (*m*, 9H,  $\text{H}-\text{Ar}$ ), 3.71 (s, 9H,  $-\text{OCH}_3$ ), 1.93 ( $-\text{CH}_2-$ ), 1.89 ( $-\text{CH}_2-$ ) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{DMSO}-d_6$ ):  $\delta = 166.9$  ( $-\text{CH}=\text{N}$ ), 153.2 ( $\text{Ar}-\text{C1}-\text{OH}$ ), 148.7 ( $\text{Ar}-\text{C2}-\text{O}-\text{CH}_3$ ), 123.7 ( $\text{Ar}-\text{C1}$ ), 121.3 ( $\text{Ar}-\text{C6}$ ), 117.8 ( $\text{Ar}-\text{C4}$ ), 115.0 ( $\text{Ar}-\text{C3}$ ), 56.6 ( $-\text{CH}_2-\text{CH}_2-$ ), 56.2 ( $-\text{CH}_2-\text{CH}_2-$ ), 55.4 ( $-\text{O}-\text{CH}_3$ ) ppm; IR (KBr):  $\bar{\nu} = 1645 \nu_{(\text{CH}=\text{N})} \text{cm}^{-1}$ .

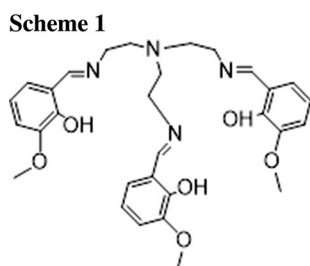
**[Tris(salicylaldenimino)ethylamine]zinc(II) ( $\text{C}_{30}\text{H}_{33}\text{N}_4\text{O}_6\text{Zn}$ )** To the solution of ligand  $\text{H}_3\text{L}$  in methanol, hydrated zinc chloride and  $\text{NH}_4\text{PF}_6$  were added. The reaction mixture was refluxed for 10 h and then allowed to cool at room temperature, followed by the concentration of the reaction mixture under reduced pressure. The product was washed with water and re-crystallized in methanol. A crystalline product was formed upon slow evaporation of the solvent. However, no crystal was found suitable for single crystal X-ray diffraction. Yield: 62%; ESI-MS:  $m/z = 610.17$  ( $\text{M}^+$ );  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO}-d_6$ ):  $\delta = 8.21$  (s, 3H,  $-\text{CH}=\text{N}$ ), 6.56–7.10 (*m*, 9H,  $\text{Ar}-\text{H}$ ), 3.85 (s, 9H,  $-\text{O}-\text{CH}_3$ ), 2.87 ( $-\text{CH}_2$ ), 2.79 ( $-\text{CH}_2$ ) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{DMSO}-d_6$ ):  $\delta = 170.5$  ( $-\text{CH}=\text{N}-$ ), 159.3 ( $\text{Ar}-\text{CH}=\text{N}-$ ), 157.5 ( $\text{Ar}-\text{C1}-\text{O}-$ ), 136.6 ( $\text{Ar}-\text{C2}-\text{O}-\text{CH}_3$ ), 121.1 ( $\text{Ar}-\text{C5}-$ ), 120.1 ( $\text{Ar}-\text{C4}-$ ), 120.4 ( $\text{Ar}-\text{C6}-$ ), 117.1 ( $\text{Ar}-\text{C3}-$ ), 55.1 ( $-\text{O}-\text{CH}_3$ ), 54.4 ( $-\text{N}-\text{CH}_2-\text{CH}_2-\text{N}=$ ), 50.2 ( $-\text{N}-\text{CH}_2-\text{CH}_2-\text{N}=$ ) ppm; IR (KBr):  $\bar{\nu} = 1625 \nu_{(\text{CH}=\text{N})} \text{cm}^{-1}$ .

## Cell culture and extract treatment

All experimental procedures were performed in accordance with institutionally approved protocols specified by the Dongguk University Animal Care and Use Committee (approval certificate number IACUC-2015–002). Primary hippocampal neurons were prepared from Sprague–Dawley rat of embryonic day 19 (E19) pups' brain. Briefly, a pregnant rat was anaesthetized with isoflurane and foetal hippocampi were dissected; tissue dissociation and neuronal culture were carried out as described [64, 65]. In serum-free neurobasal medium supplemented with b27, cultures were maintained at 37 °C in a 5%  $\text{CO}_2$ /95% air atmosphere condition. The complex or vehicle [ $\text{DMSO}$ , final concentration < 1.0% (*v/v*)] were added to media prior to cell plating. All chemicals used in cell cultures were procured from Invitrogen (Carlsbad, CA), unless otherwise stated.

## Immunofluorescence microscopy

After sequential paraformaldehyde/methanol fixation [79], neurons were treated with neuron-specific mouse monoclonal microtubule-associated protein 2 (MAP2; 1:500, Sigma, St. Louis, MO), primary antibody, followed by secondary antibody-tagged with Alexa fluor 488-conjugated goat anti-mouse IgG [1:1000, Molecular Probes, Eugene, OR]. Images were developed by Leica DM IRE2 microscope (Leica Microsystems AG, Wetzlar, Germany) equipped with



high-resolution CCD camera (CoolSNAP™; Photometrics Inc., Tucson, AZ) using Leica FW4000 software and then processed through Adobe Photoshop 7.0 (San Jose, CA). Morphometric analysis and quantifications minimum of 30 cells were performed as described previously [64, 65] using Image J software (version 1.45) plug-in with simple neurite tracer (National Institute of Health, Bethesda, MD).

## Statistical analysis

Results were presented as the means  $\pm$  SEM of three independent experiments. Statistical analyses were conducted using ANOVA in SPSS version 17.0 (SPSS Inc., Chicago, IL). *P* values of \**P* < 0.05, \*\**P* < 0.01, and \*\*\**P* < 0.001, were considered statistically significant throughout.

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