

Anion Substitution Effects on the Structural, Electronic, and Optical Properties of Inorganic $\text{CsPb}(\text{I}_{1-x}\text{Br}_x)_3$ and $\text{CsPb}(\text{Br}_{1-x}\text{Cl}_x)_3$ Perovskites: Theoretical and Experimental Approaches

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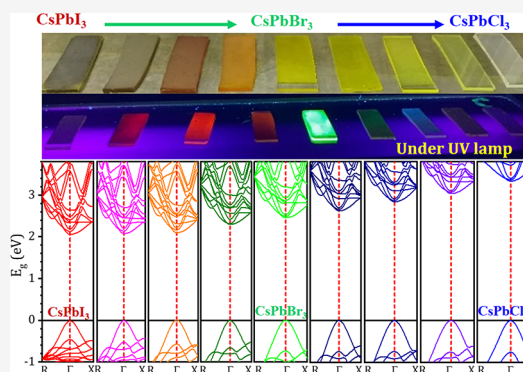
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ABSTRACT: Several inorganic perovskites of iodine, bromine, and chlorine halides have emerged as candidates for various optoelectronic devices. High-quality $\text{CsPb}(\text{I}_{1-x}\text{Br}_x)_3$ and $\text{CsPb}(\text{Br}_{1-x}\text{Cl}_x)_3$ ($x = 0.00, 0.25, 0.50, 0.75$, and 1.00) inorganic perovskite thin films were prepared in this study using a thermal evaporation system. Experiments and first-principles calculations were conducted to elucidate the structural, electronic, and optical properties of the prepared films at room temperature. The thin-film perovskite band gap was tuned from 1.85 to 3.13 eV by replacing I^- with Br^- and then Cl^- . Dominant excitonic effects on the onset of optical absorption led us to explicitly account for enhancing absorption through the Sommerfeld factor, enabling us to extract the electronic band gap and the exciton binding energy correctly. We correlated our experimental results with the theory of first principles and gained insight into the lattice parameters, electronic structure, excitonic binding energy (E_b), dielectric constant (ϵ), and reduced effective mass (μ) of the carriers. With increasing concentration (x) of Br and Cl, the E_b increased from 39.44 meV for pure CsPbI_3 to 63.04 and 96.73 meV for pure CsPbBr_3 and CsPbCl_3 , respectively, because of a decrease in the dielectric constant and the almost constant value of μ at $\sim 0.051 m_e$. The Urbach energy (E_U) was calculated and found to fluctuate between 28 and 77 meV.



1. INTRODUCTION

Organic–inorganic lead halide perovskites have emerged as essential materials in various optoelectronic devices, including solar cells,^{1–8} perovskite light-emitting diodes, and lasers.^{9–28} The compatibility of perovskite thin films with low-temperature deposition techniques makes them low-cost materials that provide high carrier mobility when cast as polycrystalline films.²⁹ High-quality perovskite polycrystalline thin films or single crystals can be easily synthesized using several methods.^{30–32} Vapor-based techniques are a mature technology widely used in the coating and semiconductor industries.^{33,34} Vacuum deposition methods offer additional benefits for the deposition of perovskite thin films, such as intrinsically pure sublimated materials, film thickness control, and a low substratum manufacturing temperature.^{33,34} In addition, compared with solution processes, vacuum deposition methods offer advantages, such as avoiding the use of toxic solvents and the potential for large-scale production.^{33–37} Uniform and compact perovskite thin films have enabled researchers to elucidate their electronic properties so that their incorporation into optoelectronic devices is feasible. However, in the case of all-inorganic $\text{CsPb}(\text{I}_{1-x}\text{Br}_x)_3$ and $\text{CsPb}(\text{Br}_{1-x}\text{Cl}_x)_3$ perovskites, few findings address in great detail the role of excitonic states in these materials.³⁸ The successful

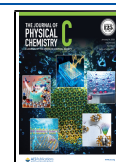
development of high-performance optoelectronic devices necessitates a full understanding of the structural, electronic, and optical properties of the wider range of inorganic perovskites.

In the present paper, we describe our preparation of high-quality, uniform, and compact all-inorganic $\text{CsPb}(\text{I}_{1-x}\text{Br}_x)_3$ and $\text{CsPb}(\text{Br}_{1-x}\text{Cl}_x)_3$ perovskite thin films using the thermal evaporation method. The structural, morphological, electronic, and optical properties for a wide range of compositions with band gaps (E_g) ranging from 1.85 to 3.13 eV are discussed. The experimentally observed band gaps and exciton binding energies (E_b) were compared with values obtained from the most accurate method in density functional theory (DFT) calculations: the modified Becke–Johnson potential with the generalized gradient approximation (mBJ-GGA). The DFT investigations of mixed $\text{CsPb}(\text{I}_{1-x}\text{Br}_x)_3$ and $\text{CsPb}(\text{Br}_{1-x}\text{Cl}_x)_3$

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perovskite compositions offer useful insights into their electronic structure as a composition function to identify the particular material. Our work provides an understanding of these optoelectronic materials and also provides a guideline for the design of new materials with desired properties in a similar family of halide perovskite materials.

2. EXPERIMENTAL SECTION

2.1. Chemicals. Lead(II) bromide (PbBr_2 , 99.999% trace metals basis), lead(II) iodide (PbI_2 , 99.999% trace metals basis), lead(II) chloride (PbCl_2 , 99.999% trace metals basis), cesium iodide (CsI , 99.999% trace metals basis), cesium bromide (CsBr , 99.999% trace metals basis), and cesium chloride (CsCl , 99.999% trace metals basis) were purchased from Sigma–Aldrich. All chemicals were used without further purification.

2.2. Preparation of $\text{CsPb}(\text{I}_{1-x}\text{Br}_x)_3$ and $\text{CsPb}(\text{Br}_{1-x}\text{Cl}_x)_3$ Thin Films. Glass substrates were cleaned using detergent in an ultrasonication bath, followed by ultrasonication in deionized water, acetone, and ethanol for approximately 30 min each. For a pure CsPbBr_3 thin film, a 1:1 molar ratio of CsBr and PbBr_2 were loaded into a single boat inside the vacuum chamber of a thermal evaporation system. The glass substrates were placed in a holder facing the sources. After the pressure of the evaporator chamber was reduced to 10^{-4} mbar to allow evaporation and transfer of the material to the substrate with only few collisions with ambient gas molecules, the two precursors were evaporated together by increasing the current applied to the tungsten boat (Figure 1). For pure CsPbI_3 and CsPbCl_3 thin films, CsI and CsCl were used with PbI_2 and PbCl_2 , respectively.

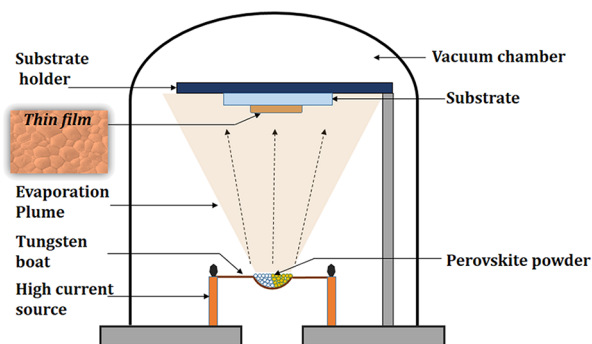


Figure 1. Schematic of the thermal evaporation system.

We mixed the perovskites in appropriate $\text{CsPbBr}_3/\text{CsPbI}_3$ and $\text{CsPbCl}_3/\text{CsPbBr}_3$ molar ratios to tune the structural and optical properties of $\text{CsPb}(\text{I}_{1-x}\text{Br}_x)_3$ and $\text{CsPb}(\text{Br}_{1-x}\text{Cl}_x)_3$ perovskite thin films with $x = 0.00, 0.25, 0.50, 0.75$, and 1.00. Figure 2a–i shows images of two rows of the prepared thin films under ordinary room light (upper row) and under a UV lamp (lower row).

2.3. Perovskite Thin Film Characterization. The absorption and photoluminescence (PL) spectra of the perovskite thin films were recorded using a UV–vis spectrophotometer (JASCO V-670) and a PL spectrometer (Hitachi F-4600), respectively. The transmittance (T) and reflectance (R) were obtained using a Cary 5000 UV–vis–NIR spectrophotometer over the wavelength range of 200–1000 nm. The film thickness was measured using a 3D Surface Profilometer (Veeco Dektak 150). X-ray diffraction (XRD)

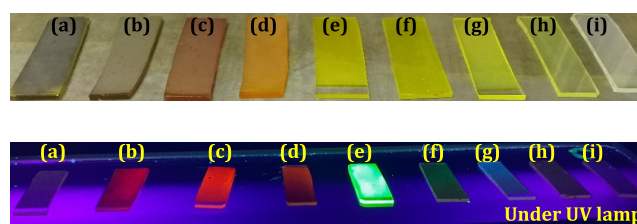


Figure 2. Images of perovskite thin films prepared using a thermal evaporation system under ordinary room light (upper) and under illumination by a UV lamp (lower): (a) CsPbI_3 , (b) $\text{CsPb}(\text{I}_{0.75}\text{Br}_{0.25})_3$, (c) $\text{CsPb}(\text{I}_{0.50}\text{Br}_{0.50})_3$, (d) $\text{CsPb}(\text{I}_{0.25}\text{Br}_{0.75})_3$, (e) CsPbBr_3 , (f) $\text{CsPb}(\text{Br}_{0.75}\text{Cl}_{0.25})_3$, (g) $\text{CsPb}(\text{Br}_{0.50}\text{Cl}_{0.50})_3$, (h) $\text{CsPb}(\text{Br}_{0.25}\text{Cl}_{0.75})_3$, and (i) CsPbCl_3 .

measurements were performed using a Rigaku Miniflex 600 X-ray diffractometer equipped with a $\text{Cu K}\alpha$ radiation source (40 kV, 15 mA). The morphology was investigated by scanning electron microscopy (SEM) (JEOL-7600F, JEOL, Japan).

3. COMPUTATIONAL METHOD

Equilibrium geometric structures and electronic and optical properties of the $1 \times 1 \times 2$ $\text{CsPb}(\text{I}_{1-x}\text{Br}_x)_3$ and $\text{CsPb}(\text{Br}_{1-x}\text{Cl}_x)_3$ supercells (SCs) were calculated with DFT using the WIEN2k code.^{39,40} The exchange–correlation potential schemes for the structural properties were calculated using the Perdew–Burke–Ernzerhof GGA modified for solids (PBEsol-GGA).⁴¹ The $\text{CsPb}(\text{I}_{1-x}\text{Br}_x)_3$ and $\text{CsPb}(\text{Br}_{1-x}\text{Cl}_x)_3$ structures were obtained via the construction of a $1 \times 1 \times 2$ SC, where the orthorhombic unit cell of the binary perovskites had a space group of $Pnma$. The crystal structures were visualized using the Visualization for Electronic and Structural Analysis (VESTA) program.⁴²

The electronic properties were evaluated using the mBJ-GGA potentials with consideration of the spin-orbit coupling (SOC) interaction.^{43–45} The calculated band gap with SOC was corrected to match the experimental values using the alloy formula.^{46–48} The basis function was expanded to $R_{\text{mt}} \times K_{\text{max}} = 9$, where R_{mt} represents the smallest muffin-tin radius of all the microscopic spheres in the unit cell, and K_{max} represents the plane-wave cutoff. The wave functions in the muffin-tin spheres were expanded to $l_{\text{max}} = 10$. For all of the mixed structures, a 100 k -point mesh was centered at the Γ -point in the Brillouin zone, where the total energy was converged until it was $<10^{-4}$ Ry. The theoretical estimates of dielectric constants and reduced effective mass μ_r were used to determine the excitonic binding energy (E_b) for these materials.

4. RESULTS AND DISCUSSION

At room temperature, CsPbI_3 , CsPbBr_3 , and CsPbCl_3 have an orthorhombic structure with space group $Pnma$ (no. 62),^{49–57} where the unit cell contains one formula unit. Figure S1 in the Supporting Information shows the crystal structure of a $1 \times 1 \times 2$ SC of $\text{CsPb}(\text{I}_{1-x}\text{Br}_x)_3$ and $\text{CsPb}(\text{Br}_{1-x}\text{Cl}_x)_3$ formed from orthorhombic-structured CsPbI_3 , CsPbBr_3 , and CsPbCl_3 . XRD analysis was performed at room temperature to evaluate the crystallinity of the samples. Figure 3a,b shows the experimental and theoretical XRD patterns. The theoretical XRD patterns were calculated using VESTA software.⁴² The diffraction peaks of CsPbI_3 gradually shifted toward those of CsPbBr_3 and CsPbCl_3 as x was increased from 0.00 to 1.00. In Figure 3a, the XRD pattern of pure CsPbI_3 shows several

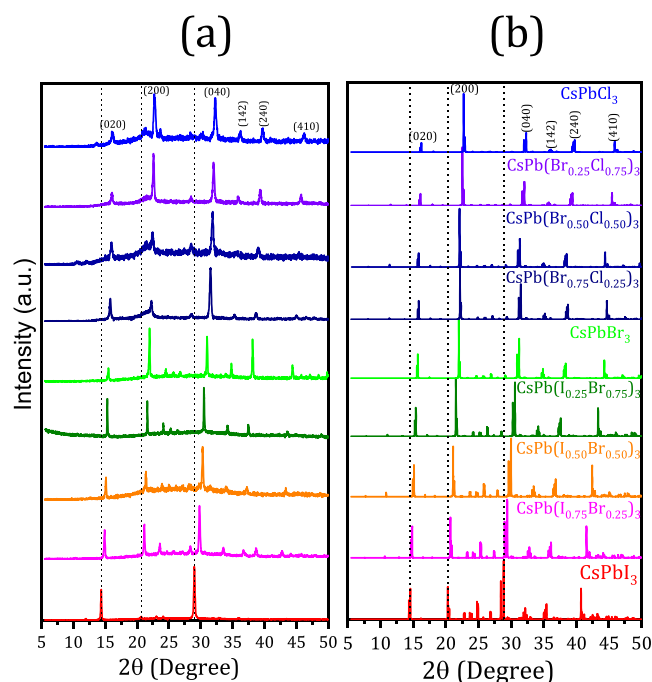


Figure 3. XRD patterns for $\text{CsPb}(\text{I}_{1-x}\text{Br}_x)_3$ and $\text{CsPb}(\text{Br}_{1-x}\text{Cl}_x)_3$ perovskites: (a) experimental and (b) theoretical.

diffraction peaks, specifically, peaks at 14.35° , 20.66° , 23.05° , 24.08° , 29.00° , 32.94° , and 35.96° . The pattern of pure CsPbBr_3 shows peaks at 15.54° , 22.00° , 24.54° , 25.71° , 26.80° ,

30.99° , 34.83° , 38.17° , and 44.39° , whereas that of pure CsPbCl_3 shows peaks at 16.15° , 22.72° , 25.71° , 32.28° , 36.25° , 39.72° , and 46.26° . For additional details, see Figures S2–S4 and Table S1 in the Structural properties section of the Supporting Information.

Table S1 shows a comparison of the equilibrium lattice constants (a , b , and c) of CsPbI_3 , CsPbBr_3 , CsPbCl_3 , and their mixed structures with those reported elsewhere.^{49,54,58–72} Figure S3a,b shows the theoretical and experimental XRD patterns in the range $28.5^\circ \leq 2\theta \leq 33^\circ$. As noted in Table S1 and Figure S3a–d, the lattice parameters and unit-cell volume decrease in proportion to x , where the volume decreases according to the functions $V(x) = 2013.06474 - 432.10545x$ ($\text{\AA}^3$) and $V(x) = 1585.66658 - 152.98318x$ ($\text{\AA}^3$) for $\text{CsPb}(\text{I}_{1-x}\text{Br}_x)_3$ and $\text{CsPb}(\text{Br}_{1-x}\text{Cl}_x)_3$, respectively. CsPbBr_3 crystallizes in an orthorhombic perovskite phase^{49–55} at room temperature, and CsPbI_3 is stable in an orthorhombic non-perovskite (yellow phase) at room temperature and changes to the cubic perovskite (black phase) when heated above $\sim 310^\circ\text{C}$.^{7,55,71,73–75} CsPbI_3 is highly sensitive to the ambient atmosphere.^{55,76} CsPbI_3 can easily transform into an orthorhombic non-perovskite^{55,76} because of the relatively low tolerance factor ($t = 0.80$)^{62,77,78} of this compound; in addition, when some portion of I^- anions are substituted with smaller Br^- anions, the tolerance factor increases ($t = 0.92$ for CsPbBr_3),⁷⁸ resulting in the formation of $\text{CsPb}(\text{I}_{1-x}\text{Br}_x)_3$ perovskites and retaining the orthorhombic composition.⁶² Sutton et al.⁵⁵ and Yan et al.⁷⁹ have demonstrated that CsPbI_2Br is more stable than pure CsPbI_3 ; our results show

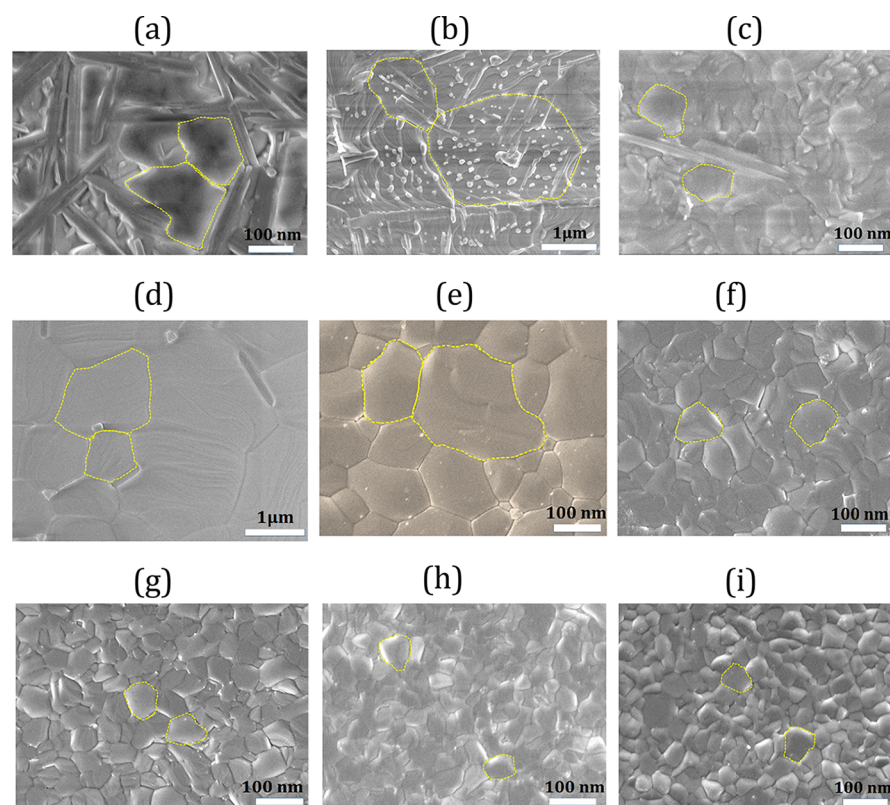


Figure 4. Scanning electron microscopy analysis of perovskite thin films prepared using a thermal evaporation system: (a) CsPbI_3 , (b) $\text{CsPb}(\text{I}_{0.75}\text{Br}_{0.25})_3$, (c) $\text{CsPb}(\text{I}_{0.50}\text{Br}_{0.50})_3$, (d) $\text{CsPb}(\text{I}_{0.25}\text{Br}_{0.75})_3$, (e) CsPbBr_3 , (f) $\text{CsPb}(\text{Br}_{0.75}\text{Cl}_{0.25})_3$, (g) $\text{CsPb}(\text{Br}_{0.50}\text{Cl}_{0.50})_3$, (h) $\text{CsPb}(\text{Br}_{0.25}\text{Cl}_{0.75})_3$, and (i) CsPbCl_3 . The yellow dashed curves show the size of the grains. Sample scales (b) and (d) were selected at $1\ \mu\text{m}$ because the two samples had large grain sizes (3.27 and $2.8\ \mu\text{m}$, respectively).

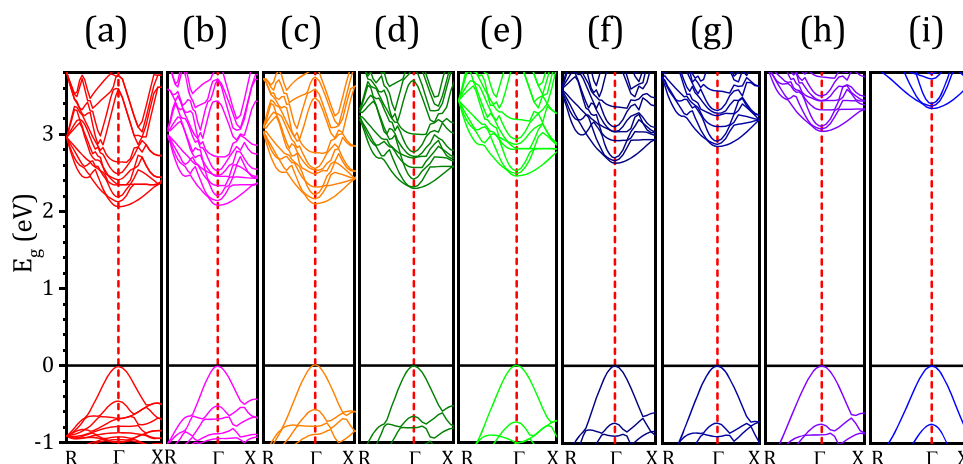


Figure 5. Band structures of the perovskite thin films, as calculated using the mBJ-GGA potential: (a) CsPbI₃, (b) CsPb(I_{0.75}Br_{0.25})₃, (c) CsPb(I_{0.50}Br_{0.50})₃, (d) CsPb(I_{0.25}Br_{0.75})₃, (e) CsPbBr₃, (f) CsPb(Br_{0.75}Cl_{0.25})₃, (g) CsPb(Br_{0.50}Cl_{0.50})₃, (h) CsPb(Br_{0.25}Cl_{0.75})₃, and (i) CsPbCl₃. The valence-band maximum is set as zero.

that, at $x = 0.50, 0.75$, and 1.00 , the mixed perovskites exist as stable orthorhombic perovskite. The two new films, CsPbI₃ and CsPb(I_{0.75}Br_{0.25})₃, are susceptible to the ambient atmosphere and can be easily transformed into an orthorhombic nonperovskite after ~ 2 h. We therefore recorded the XRD data immediately after the thin films were prepared (see Figure S5 in the Supporting Information).

The morphologies of the perovskite thin films were analyzed by SEM. The thin films prepared using the thermal evaporation system were found to be extremely uniform over the entire substrate, highly crystalline, pinhole-free, and composed of micrometer-sized grains in the range of $0.26\text{--}3.27\text{ }\mu\text{m}$ (Figure 4a–i and Supporting Information Figure S6). The thickness of the perovskite thin films was approximately $350\text{--}450\text{ nm}$ (Figure S7, Supporting Information).

First, the electronic structures were predicted using the mBJ-GGA potential. Because of the presence of the heavy element Pb, the SOC effect was included in the calculation of the electronic structure. Figure 5 shows the band structures calculated using the mBJ-GGA potentials without/with SOC. The band structures show direct-transition character at the Γ point. The calculated fundamental band gaps based on the mBJ-GGA potential are between 1.98 and 3.32 eV as shown in Table 1. These E_g results are close to our obtained experimental results and previously measured values.^{59,70,80}

When the effect of SOC was included, the band gaps calculated using the mBJ-GGA potential become smaller than the experimental values; therefore, a correction was applied to the band gaps with the alloy formula^{46–48}

$$\Delta E_g(A_{1-x}B_x) = (1-x)\Delta E_g(A) + x\Delta E_g(B) \quad (1)$$

where $\Delta E_g(A_{1-x}B_x)$ is the correction for the band gap of CsPb(I_{1-x}Br_x)₃ or CsPb(Br_{1-x}Cl_x)₃ perovskites, $\Delta E_g(A)$ is the band gap correction for pure CsPbI₃ or CsPbBr₃, and $\Delta E_g(B)$ is the band gap correction for pure CsPbBr₃ or CsPbCl₃. Figure 6 shows the E_g values calculated using the mBJ-GGA, mBJ-GGA + SOC, and the corrected mBJ-GGA + SOC(C), where the E_g values are in excellent agreement with the experimental E_g values. (The experimental E_g values will be discussed later). The small differences between the theoretical and experimental values are attributed mainly to the different

Table 1. Band Gap E_g Values (eV) of CsPb(I_{1-x}Br_x)₃ and CsPb(Br_{1-x}Cl_x)₃ Perovskite, as Calculated Using the mBJ-GGA without/with SOC, Compared with Experimental Values

compounds	this work			exp.	other work
	mBJ-GGA	mBJ-GGA + SOC	mBJ-GGA + SOC (corrected)		DFT (exp.)
CsPbI ₃	1.98	1.06	1.85	1.85	1.831 ^{60,81} 1.48 ⁵⁸ (1.75) ⁷⁰ (1.73) ⁸⁰
CsPb(I _{0.75} Br _{0.25}) ₃	2.03	1.12	1.97	2.01	1.93 ⁸¹ (1.97) ⁵⁹
CsPb(I _{0.50} Br _{0.50}) ₃	2.11	1.15	2.05	2.17	(2.17) ⁷⁰
CsPb(I _{0.25} Br _{0.75}) ₃	2.28	1.34	2.28	2.23	2.32 ⁸² 2.40 ⁸¹ (2.38) ⁷⁰ (2.39) ⁸⁰
CsPbBr ₃	2.42	1.48	2.48	2.48	
CsPb(Br _{0.75} Cl _{0.25}) ₃	2.62	1.60	2.59	2.67	
CsPb(Br _{0.50} Cl _{0.50}) ₃	2.84	1.81	2.79	2.80	(2.72) ⁵⁹
CsPb(Br _{0.25} Cl _{0.75}) ₃	3.03	1.97	2.93	2.94	
CsPbCl ₃	3.32	2.18	3.13	3.13	3.05 ⁸³ (2.91) ⁶⁷ (2.78) ⁸⁰ (2.98) ⁵⁹

unit-cell sizes of the different mixed halides,⁴⁶ as depicted in the XRD patterns and the small $1 \times 1 \times 2$ SC models.

The effective masses of an electron (m_e^*) and a hole (m_h^*) and reduced mass (μ) are important indices of the transport properties of photovoltaic materials.⁸³ They were calculated from the band structure and already used to calculate the exciton binding energy E_b of the compounds, (see Figure S8 and Table S2, Effective mass section, Supporting Information).

The optical properties of the films can be interpreted in view of the interaction between the incident photons and the semiconducting films.^{84,85} The optical absorption spectrum can be used to obtain the band gap energy, which provides information about the band structure of semiconductors and nonmetallic materials.^{84–86}

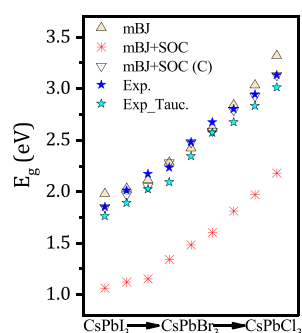


Figure 6. The E_g values of $\text{CsPb}(\text{I}_{1-x}\text{Br}_x)_3$ and $\text{CsPb}(\text{Br}_{1-x}\text{Cl}_x)_3$, as calculated using the mBJ-GGA potential with/without SOC. By applying the band gap correction, we obtained the mixed-ratio band gaps of inorganic mixed halide perovskite compared with the experimental results.

The optical absorbance spectra of the thin films were recorded in the UV–vis region of the electromagnetic spectrum, as shown in Figure 7a. The absorption comprises of three broad features: a sub-band gap absorption tail at low energy, followed by a strong exciton peak, followed by band-to-band transitions.^{38,87} The onset of absorption shifts to shorter wavelengths (higher energy) with increasing Br^- and Cl^- concentrations, which leads to an increase in the band gap values from 1.82 eV for CsPbI_3 to 3.03 eV for CsPbCl_3 as shown in Table 2. We attempted to record all of the optical measurements immediately after the thin films were prepared (Figure S9a,b, optical properties, supporting information).

The investigated PL emission spectra of the pure and mixed halide films depend on the halide composition and their stoichiometric ratio, as depicted in Figure 7b. The spectra of the films show strong and narrow PL peaks at 700.56, 652.57, 623.23, 577.11, 524.80, 496.00, 469.69, 444.44, and 413.33 nm, as shown in Table 2. As shown in Figure 8, with decreasing atomic number of the halide elements from I to Br to Cl, the full width at half maximum (FWHM) and PL position decrease and the E_g values increase. As a consequence, the valence and conduction bands shift away from each other and the optical band gap increases. Halide elements with smaller atomic radii (0.10, 0.12, and 0.14 nm for Cl, Br, and I, respectively) in mixed films reduce the unit-cell dimensions and the covalency of the films.⁵⁹ The variation of the PL FWHM (approximately 12.42–32.36 nm) agrees well with the

literature,^{70,88–90} and these lower FWHM values suggest superior quality and good optical properties of the fabricated thin films by the thermal evaporation system.

Figure 9a,b shows the measured R and T over the wavelength range of 200–1000 nm having a resolution limit of 0.2 nm and a sampling interval of 2 nm. R and T measurements were carried out at 22 °C temperature for the spectral range of 0.2–3 mm with the incident beam making an angle of $\sim 6.9 \pm 0.1^\circ$ to the normal of the film external faces. The existence of oscillations is explained by the interference effect occurring due to the small thickness of the perovskite film t_f compared with large thickness of the glass substrate t_s ($t_f < t_s$) and the relative difference between substrate and film refractive indices n_s and n_f , respectively ($n_f \neq n_s$)^{91,92} (see Figures S10 and S11, optical properties section, Supporting Information).

The optical absorption coefficient (α) was calculated from the optical absorption spectra using the formula^{93,94}

$$\alpha = \frac{1}{t} \ln \left[\frac{(1-R)^2}{2T} + \left(\frac{(1-R)^4}{4T^2} + R^2 \right)^{1/2} \right] \quad (2)$$

where t denotes the film thickness ($t \approx 350\text{--}450$ nm). Therefore, α spectra could be obtained using R and T measurements, as shown in Figure 10. The magnitude of α decreases with increasing Br and Cl contents. Parameter α shows high values (in the range $10^4\text{--}10^5$ cm^{-1}), which indicates an improvement of the stacking of the layers of the perovskite thin films by the evaporation method. Our results are in broad agreement with results already published for similar materials.^{95,96}

Figure S12 in the Supporting Information shows the experimental E_g values of perovskite thin films, as calculated using the well-known Tauc equation:^{97–99}

$$\alpha h\nu = A(h\nu - E_g)^n \quad (3)$$

The E_g value increased from 1.76 for CsPbI_3 to 3.01 eV for CsPbCl_3 ($\Delta E_g = 1.25$ eV) (see the Supporting Information, Optical properties section for more details). However, as in Figure 10, we noted that the exciton absorption dominates the absorption spectra near the band gap, which leads to the nonapplicability of eq 3. Because of the inaccuracy of the Tauc equation, we calculated the E_g value for the $\text{CsPb}(\text{I}_{1-x}\text{Br}_x)_3$ and $\text{CsPb}(\text{Br}_{1-x}\text{Cl}_x)_3$ perovskite thin films by evaluating the

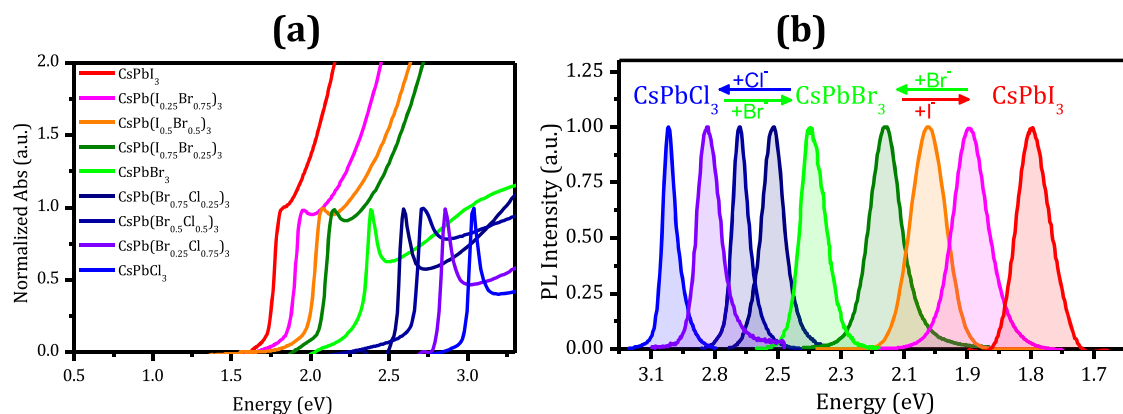
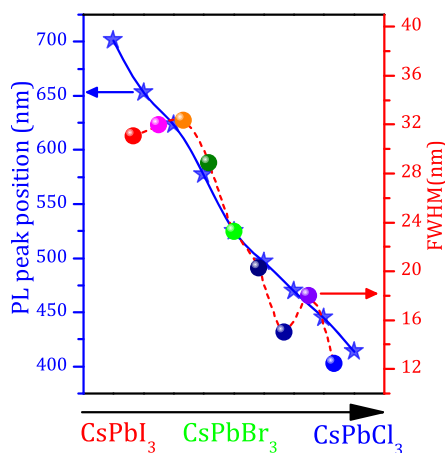


Figure 7. Normalized spectra of CsPbI_3 , $\text{CsPb}(\text{I}_{0.75}\text{Br}_{0.25})_3$, $\text{CsPb}(\text{I}_{0.50}\text{Br}_{0.50})_3$, $\text{CsPb}(\text{I}_{0.25}\text{Br}_{0.75})_3$, CsPbBr_3 , $\text{CsPb}(\text{Br}_{0.75}\text{Cl}_{0.25})_3$, $\text{CsPb}(\text{Br}_{0.50}\text{Cl}_{0.50})_3$, $\text{CsPb}(\text{Br}_{0.25}\text{Cl}_{0.75})_3$, and CsPbCl_3 thin films: (a) absorption and (b) emission spectra.

Table 2. Optical Band Gap E_g Values, Exciton and PL Peak Positions, FWHMs of the Exciton and PL, and Urbach Energy of the $\text{CsPb}(\text{I}_{1-x}\text{Br}_x)_3$ and $\text{CsPb}(\text{Br}_{1-x}\text{Cl}_x)_3$ Perovskites

compounds	band gap E_g (eV)	exciton binding energy E_b (meV)	exciton peak (nm)	FWHM exciton peak (meV)	Urbach energy (meV)	PL peak (nm)	PL peak (eV)	FWHM of the PL peak (nm)
CsPbI_3	1.85 1.95 ¹⁰⁷	45.73 39.44 ^{DFT}	683 674 ⁸⁸	100.10	76.92	700.56 696 ⁸⁸ 692 ¹⁰⁸	1.77 1.87 ¹⁰⁹	31.03 33 ⁸⁸
$\text{CsPb}(\text{I}_{0.75}\text{Br}_{0.25})_3$	2.01	46.10 45.03 ^{DFT}	637 661 ⁸⁸	89.50	44.10	652.57 677 ⁸⁸ 640 ⁸⁹ 650 ¹⁰⁸	1.91 2.08 ¹⁰⁹	31.99 35 ^{88,89}
$\text{CsPb}(\text{I}_{0.50}\text{Br}_{0.50})_3$	2.17 2.17 ¹⁰⁷	46.35 45.39 ^{DFT}	591 560 ⁸⁸ 600 ⁸⁹	105.99	54.05	623.23 622 ⁸⁸	2.00 2.14 ¹⁰⁹	32.36 32 ⁸⁸ 38 ⁸⁹
$\text{CsPb}(\text{I}_{0.25}\text{Br}_{0.75})_3$	2.23	55.97 55.12 ^{DFT}	574 560 ⁸⁸	89.55	57.47	577.11 581 ⁸⁸	2.12 2.25 ¹⁰⁹	28.87 27 ⁸⁸
CsPbBr_3	2.48 2.48 ¹⁰⁷	62.20 63.04 ^{DFT}	512 500 ⁸⁸ 513 ⁸⁹	82.55	60.53	524.80 517 ^{88,90} 530 ⁷⁰	2.36 2.43 ¹⁰⁹	23.24 21 ⁸⁸ 20 ⁸⁹ 26 ⁷⁰ **23 ⁹⁰
$\text{CsPb}(\text{Br}_{0.75}\text{Cl}_{0.25})_3$	2.67	67.87 50.44 ^{DFT}	477	56.64	28.2	496	2.50	20.23
$\text{CsPb}(\text{Br}_{0.50}\text{Cl}_{0.50})_3$	2.80	68.00 67.69 ^{DFT}	456	85.01	52.69	469.69	2.64	14.98
$\text{CsPb}(\text{Br}_{0.25}\text{Cl}_{0.75})_3$	2.94	76.65 74.76 ^{DFT}	433	99.16	29.45	444.44	2.79	20.90
CsPbCl_3	3.13	95.23 96.73 ^{DFT}	409	82.73	32.08	413.33	3.00	12.42

**Figure 8.** PL spectral peak positions and FWHM versus Br and Cl contents.

Coulomb field of the exciton, which is known as the Sommerfeld factor, $S(E)$, where E represents the photon energy.³⁸ Here, we have taken into account the $S(E)$ to evaluate the absorption coefficient $\alpha(E)$ for the films using the following expression:^{38,100,101}

$$\alpha(E) = X + \text{conv}(Y \cdot Z) \quad (4)$$

where

$$X = \left(\frac{2}{\sqrt{2\pi}} \right) \cdot (E_b)^{3/2} \cdot \frac{A}{\sigma_1} \exp \left(-\frac{(E - E_g - E_b)^2}{2\sigma_1^2} \right) \quad (4a)$$

$$Y = \left(\frac{2}{\sqrt{2\pi}} \right) \cdot (E_b)^{3/2} \cdot \frac{B}{\sigma_2} \exp \left(-\frac{(E - E_g - E_b)^2}{2\sigma_2^2} \right) \quad (4b)$$

$$Z = (2\pi \cdot C \cdot (E - E_g)^{1/2} \cdot \theta(E - E_g)) \cdot S(E) \quad (4c)$$

$$S(E) = \left(\frac{2\pi \sqrt{\frac{E_b}{E - E_g}}}{1 - \exp(-2\pi \sqrt{\frac{E_b}{E - E_g}})} \right) \quad (4d)$$

where A , B , and C are constants. The quantities E_b and E_g are the exciton binding energy and energy band gap, respectively; σ_1 and σ_2 are the FWHMs of the excitonic peaks. $\text{Conv}(Y \cdot Z)$ and $\theta(E - E_g)$ represent the convolution of the functions (Y , Z) and the Heaviside step function, respectively. In eq 4, the first term represents the exciton transition, where the exciton peak was taken to have a Gaussian peak shape with a width of σ_1 , and the second term is due to the band-to-band transitions, including the $S(E)$ factor. The absorption was modeled according to inhomogeneous broadening by convolving eq 4 with FWHMs of ~ 100 , ~ 82.55 , and ~ 82.73 meV for pure CsPbI_3 , CsPbBr_3 , and CsPbCl_3 , respectively. Figure 11 shows the deconvoluted absorption spectra for the exciton and band-to-band transitions. The excitonic and band-to-band absorptions with experimental absorption data (Table 2) shows the calculated band gaps and the exciton binding energy for the different compositions. Figure S13 in the Supporting Information shows the excitonic and band-to-band absorptions with experimental data for all of the investigated inorganic thin films.

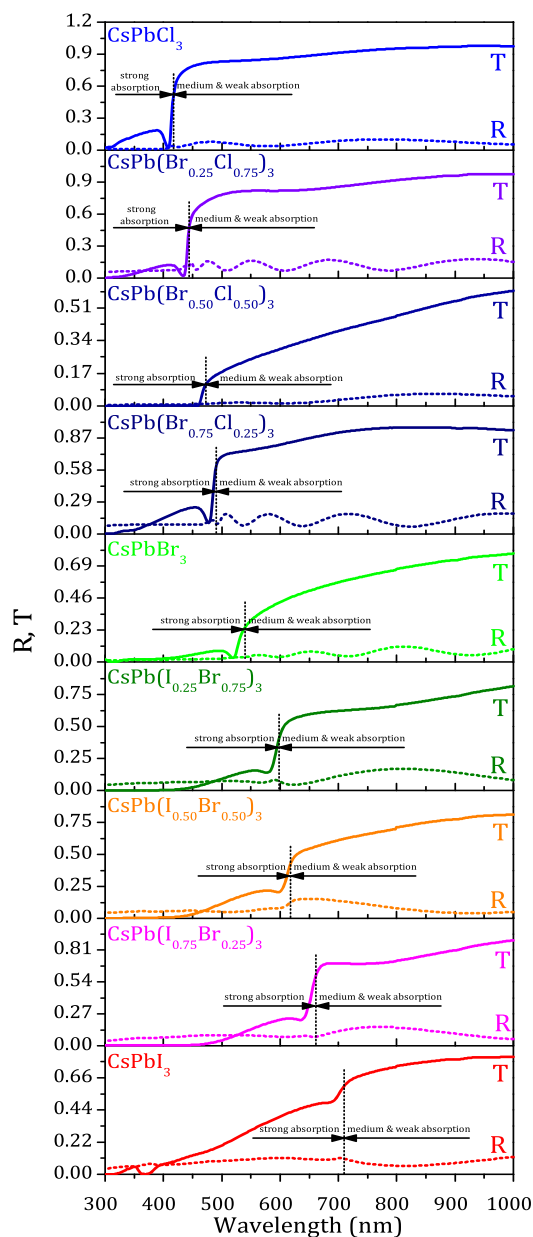


Figure 9. Reflectance *R* and transmittance *T* spectra of CsPb(*I*_{1-*x*}Br_{*x*})₃ and CsPb(Br_{1-*x*}Cl_{*x*})₃ perovskite thin films.

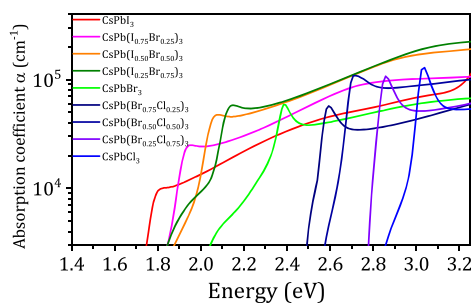


Figure 10. Absorption coefficient spectra of the studied CsPb(*I*_{1-*x*}Br_{*x*})₃ and CsPb(Br_{1-*x*}Cl_{*x*})₃ thin films.

The variation of E_g values with the Br and Cl concentrations can be expressed as follows:^{59,102–105}

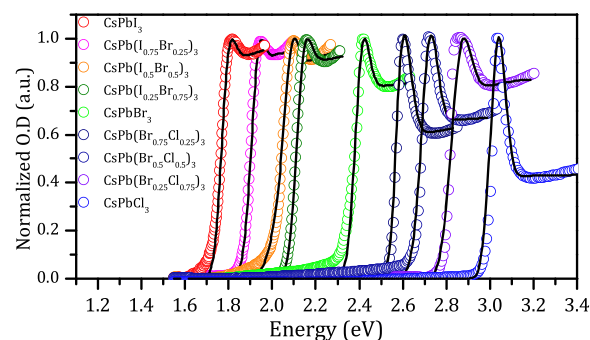


Figure 11. Normalized optical density vs energy for CsPb(*I*_{1-*x*}Br_{*x*})₃ and CsPb(Br_{1-*x*}Cl_{*x*})₃ perovskite thin films. The black solid lines represent the fitting using eq 4.

$$E_g^{\text{CsPb}(A_{1-x}B_x)_3} = xE_g(B) + (1-x)E_g(A) - x(1-x)b \quad (5)$$

where x is the Br or Cl content and b is the bowing parameter. Equation 5 represents the E_g bowing effect with increasing Br/I and Cl/Br ratios in CsPb(*I*_{1-*x*}Br_{*x*})₃ and CsPb(Br_{1-*x*}Cl_{*x*})₃, respectively.

Figure S14a,b in the Supporting Information shows the experimental and theoretical band gaps, E_g , of the all-inorganic perovskite thin films. The total bowing parameters (b) were determined by fitting the nonlinear variation E_g as Br and Cl concentrations x using a second-order polynomial. The small bowing parameters $b = 0.10$ and 0.31 eV correspond to the experimental and theoretical E_g , respectively, of CsPb(*I*_{1-*x*}Br_{*x*})₃, whereas $b = 0.011$ and 0.17 eV correspond to the experimental and theoretical E_g , respectively, for CsPb(Br_{1-*x*}Cl_{*x*})₃. These bowing parameters are substantially smaller than those for previous works (i.e., $b = 0.33$ eV¹⁰⁵ for organic CH₃NH₃Pb(*I*_{1-*x*}Br_{*x*})₃ perovskites, $b = 0.29$ eV⁵⁹ for one-dimensional inorganic CsPb(*I*_{1-*x*}Br_{*x*})₃, $b \approx 0.477$ eV¹⁰⁶ for the conventional semiconductor In_{*x*}Ga_{1-*x*}As, and $b \approx 0.45$ eV¹⁰⁴ for Zn_{*x*}Cd_{1-*x*}Se nanowires). See eqs S4–S11, Supporting Information, for more details.

To gain further insight into the optical properties, we plotted the logarithmic optical absorption coefficient as a function of the energy to investigate the Urbach energy (E_U) (as illustrated in Figure S15a–i and Figure S16 in the Supporting Information). The E_U values were derived from the Urbach tail near the optical band edge; α follows the Urbach empirical rule according to the equation^{84,110–113}

$$\ln(\alpha) = \ln(\alpha_0) + \left(\frac{h\nu}{E_U} \right) \quad (6)$$

where α_0 is constant. Figure S15 clearly shows that the E_U fluctuates between 28 and 77 meV, which means that the minimum E_U correlates to fewer trap states in the thin film, which would lead to slower recombination.^{97,111–113}

The absorption of light waves and the optical dielectric parameters of any medium depends mainly on the extinction coefficient (k) and can be calculated using the formula^{84,114,115}

$$k = \frac{\alpha \lambda}{4\pi} \quad (7)$$

Figure 12c shows that the k curves for CsPbI₃, CsPb(*I*_{0.50}Br_{0.50})₃, CsPbBr₃, CsPb(Br_{0.50}Cl_{0.50})₃, and CsPbCl₃ decrease slightly in intensity with increasing Br and Cl

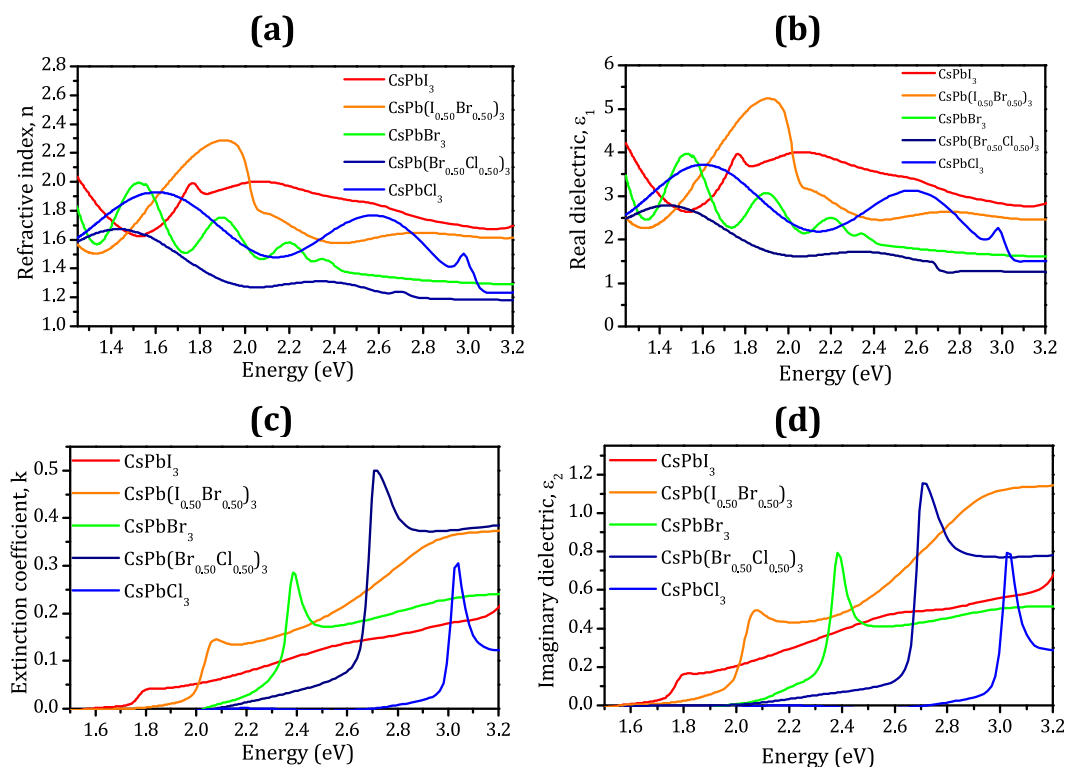


Figure 12. Properties of the CsPbI₃, CsPb(I_{0.50}Br_{0.50})₃, CsPbBr₃, CsPb(Br_{0.50}Cl_{0.50})₃, and CsPbCl₃ thin films: (a) refractive index, (b) real dielectric constant, (c) extinction coefficient, and (d) the imaginary dielectric constant.

concentrations. Another important property related to the extent of the influence of matter by electromagnetic waves is the refractive index (n), which can be computed using the Kramer–Kronig’s formula:^{85,94,114,116–120}

$$n = \left(\frac{1 + R}{1 - R} \right) + \sqrt{\frac{4R}{(1 - R)^2} - k^2} \quad (8)$$

where R is the reflectance of the thin films.

The n curves according to the energy are presented in Figure 12a, where the n values fluctuate in the visible range between 1.5 and 6 eV. The higher n values indicate a decrease in the speed of light or a decrease in the spread of electromagnetic waves through this material.⁸⁵

The optical properties can be described using the complex dielectric function ϵ that exhibits two parts: a real part ϵ_1 and an imaginary component ϵ_2 . The real and imaginary parts of the dielectric constant were calculated using the equations^{114,116}

$$\epsilon_1 = n^2 - k^2 \quad (9)$$

$$\epsilon_2 = 2nk \quad (10)$$

The dielectric constants depend upon n and k . Figure 12b,d shows that ϵ_1 and ϵ_2 decreased with increasing Br and Cl contents.

5. CONCLUSIONS

High-quality inorganic CsPb(I_{1-x}Br_x)₃ and CsPb(Br_{1-x}Cl_x)₃ perovskite thin films were prepared using a thermal evaporation technique. Experimental measurements and first-principles calculations (using the highly accurate mBJ-GGA method) were carried out to fully elucidate the structural, electronic, and optical properties of the prepared films at room

temperature. Theoretical and experimental XRD patterns were discussed, and they showed that the peaks shifted toward higher 2θ values in the progression from CsPbI₃ to CsPbCl₃. The band gap of the thin-film perovskites were in excellent agreement with theoretical and corrected band gaps obtained using the most accurate mBJ-GGA potential. Dominant excitonic effects on the onset of optical absorption led us to explicitly account for the enhancement of absorption through the Sommerfeld factor. The fitting was applied to the absorption spectra, which enabled us to extract the electronic band gap and the exciton binding energy correctly. The experimental results were correlated with the theory of first-principles and provided insights into the lattice parameters, electronic structure, excitonic binding energy, dielectric constant, and reduced effective mass of the carriers. With increasing concentrations (x) of Br and Cl, the E_b value increased because of a decrease in the dielectric constant and the almost constant value of μ at $\sim 0.051m_e$. The Urbach energy E_U was calculated and found to fluctuate between 28 and 77 meV.

■ ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jpcc.0c07983>.

More details of structural, electronic, and optical properties of CsPb(Br_{1-x}Cl_x)₃ perovskite thin films (PDF)

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Notes

The authors declare no competing financial interest.

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