$$[\mathbf{w}]_{S} = \begin{bmatrix} 6\\-1\\4 \end{bmatrix}, \quad [\mathbf{q}]_{S} = \begin{bmatrix} 3\\0\\4 \end{bmatrix}, \quad [B]_{S} = \begin{bmatrix} -8\\7\\6\\3 \end{bmatrix}$$

0٦

- (a) Find w if S is the basis in Exercise 2.
- (b) Find **q** if *S* is the basis in Exercise 3.
- (c) Find *B* if *S* is the basis in Exercise 5.
- **28.** The basis that we gave for  $M_{22}$  in Example 4 consisted of noninvertible matrices. Do you think that there is a basis for  $M_{22}$  consisting of invertible matrices? Justify your answer.

#### Working with Proofs

- **29.** Prove that  $R^{\infty}$  is an infinite-dimensional vector space.
- **30.** Let  $T_A: \mathbb{R}^n \to \mathbb{R}^n$  be multiplication by an invertible matrix A, and let  $\{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n\}$  be a basis for  $\mathbb{R}^n$ . Prove that  $\{T_A(\mathbf{u}_1), T_A(\mathbf{u}_2), \dots, T_A(\mathbf{u}_n)\}$  is also a basis for  $\mathbb{R}^n$ .
- **31.** Prove that if V is a subspace of a vector space W and if V is infinite-dimensional, then so is W.

### **True-False Exercises**

**TF.** In parts (a)–(e) determine whether the statement is true or false, and justify your answer.

- (a) If  $V = \text{span}\{\mathbf{v}_1, \dots, \mathbf{v}_n\}$ , then  $\{\mathbf{v}_1, \dots, \mathbf{v}_n\}$  is a basis for V.
- (b) Every linearly independent subset of a vector space V is a basis for V.

- (c) If  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$  is a basis for a vector space V, then every vector in V can be expressed as a linear combination of  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$ .
- (d) The coordinate vector of a vector x in R<sup>n</sup> relative to the standard basis for R<sup>n</sup> is x.
- (e) Every basis of *P*<sub>4</sub> contains at least one polynomial of degree 3 or less.

## Working with Technology

**T1.** Let *V* be the subspace of  $P_3$  spanned by the vectors

 $\mathbf{p}_1 = 1 + 5x - 3x^2 - 11x^3, \quad \mathbf{p}_2 = 7 + 4x - x^2 + 2x^3, \\ \mathbf{p}_3 = 5 + x + 9x^2 + 2x^3, \quad \mathbf{p}_4 = 3 - x + 7x^2 + 5x^3$ 

- (a) Find a basis S for V.
- (b) Find the coordinate vector of  $\mathbf{p} = 19 + 18x 13x^2 10x^3$  relative to the basis *S* you obtained in part (a).

**T2.** Let *V* be the subspace of  $C^{\infty}(-\infty, \infty)$  spanned by the vectors in the set

$$B = \{1, \cos x, \cos^2 x, \cos^3 x, \cos^4 x, \cos^5 x\}$$

and accept without proof that B is a basis for V. Confirm that the following vectors are in V, and find their coordinate vectors relative to B.

$$f_0 = 1$$
,  $f_1 = \cos x$ ,  $f_2 = \cos 2x$ ,  $f_3 = \cos 3x$ ,  
 $f_4 = \cos 4x$ ,  $f_5 = \cos 5x$ 

# 4.5 Dimension

We showed in the previous section that the standard basis for  $\mathbb{R}^n$  has *n* vectors and hence that the standard basis for  $\mathbb{R}^3$  has three vectors, the standard basis for  $\mathbb{R}^2$  has two vectors, and the standard basis for  $\mathbb{R}^1(=\mathbb{R})$  has one vector. Since we think of space as three-dimensional, a plane as two-dimensional, and a line as one-dimensional, there seems to be a link between the number of vectors in a basis and the dimension of a vector space. We will develop this idea in this section.

### Number of Vectors in a Basis

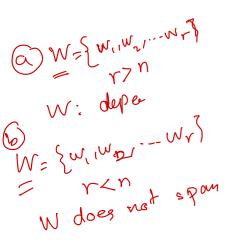
Our first goal in this section is to establish the following fundamental theorem.

**THEOREM 4.5.1** All bases for a finite-dimensional vector space have the same number of vectors.

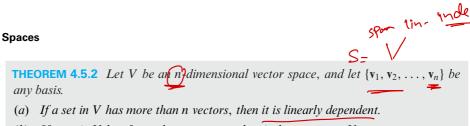
To prove this theorem we will need the following preliminary result, whose proof is deferred to the end of the section.

### 4.5 Dimension 221

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Engineers often use the term *degrees of freedom* as a synonym for dimension.



(b) If a set in V has fewer than n vectors, then it does not span V.

We can now see rather easily why Theorem 4.5.1 is true; for if

 $S = {\mathbf{v}_1, \mathbf{v}_2, \ldots, \mathbf{v}_n}$ 

is an *arbitrary* basis for V, then the linear independence of S implies that any set in V with more than n vectors is linearly dependent and any set in V with fewer than n vectors does not span V. Thus, unless a set in V has exactly n vectors it cannot be a basis.

We noted in the introduction to this section that for certain familiar vector spaces the intuitive notion of dimension coincides with the number of vectors in a basis. The following definition makes this idea precise.

**DEFINITION 1** The *dimension* of a finite-dimensional vector space V is denoted by dim(V) and is defined to be the number of vectors in a basis for V. In addition, the zero vector space is defined to have dimension zero.

# EXAMPLE 1 Dimensions of Some Familiar Vector Spaces

 $\begin{array}{ll} \dim(R^n) = n & [\text{The standard basis has } n \text{ vectors.}] \\ \dim(P_n) = n + 1 & [\text{The standard basis has } n + 1 \text{ vectors.}] \\ \dim(M_{mn}) = mn & [\text{The standard basis has } mn \text{ vectors.}] \end{array}$ 

D= { 1, n, .... n]

# EXAMPLE 2 Dimension of Span(S)

If  $S = \{v_1, v_2, \dots, v_n\}$  then every vector in span(S) is expressible as a linear combination of the vectors in S. Thus, if the vectors in S are *linearly independent*, they automatically form a basis for span(S), from which we can conclude that

$$\dim[\operatorname{span}\{\mathbf{v}_1,\mathbf{v}_2,\ldots,\mathbf{v}_r\}] = r^{-1}$$

In words, the dimension of the space spanned by a linearly independent set of vectors is equal to the number of vectors in that set.

# EXAMPLE 3 Dimension of a Solution Space

Find a basis for and the dimension of the solution space of the homogeneous system

$$x_{1} + 3x_{2} - 2x_{3} + 2x_{5} = 0$$
  

$$2x_{1} + 6x_{2} - 5x_{3} - 2x_{4} + 4x_{5} - 3x_{6} = 0$$
  

$$5x_{3} + 10x_{4} + 15x_{6} = 0$$
  

$$2x_{1} + 6x_{2} + 8x_{4} + 4x_{5} + 18x_{6} = 0$$

Solution In Example 6 of Section 1.2 we found the solution of this system to be

$$x_1 = -3r - 4s - 2t$$
,  $x_2 = r$ ,  $x_3 = -2s$ ,  $x_4 = s$ ,  $x_5 = t$ ,  $x_6 = 0$   
which can be written in vector form as

$$(x_1, x_2, x_3, x_4, x_5, x_6) = [-3r - 4s - 2t, r] - 2s, s, t = (0)$$
  
=  $r(-3, 1, 0, 0, 0, 0) + S(-4, 0, -2, 1, 0, 0)$   
+  $t(-2, 0, 0, 0, 1, 0)$ 

 $k_1 v_1 + k_2 v_2 + K_3 v_3 = (0_1 0_1 0_1 0_1 0_1 0_1 0_1)$ -3K,-4K2-2K3= 0  $k_1 = 0$ -242=0 K2=0 K2 20 Silvivil

or, alternatively, as

 $(x_1, x_2, x_3, x_4, x_5, x_6) = r(-3, 1, 0, 0, 0, 0) + s(-4, 0, -2, 1, 0, 0) + t(-2, 0, 0, 0, 1, 0)$ 

This shows that the vectors

 $\mathbf{v}_1 = (-3, 1, 0, 0, 0, 0), \quad \mathbf{v}_2 = (-4, 0, -2, 1, 0, 0), \quad \mathbf{v}_3 = (-2, 0, 0, 0, 1, 0)$ 

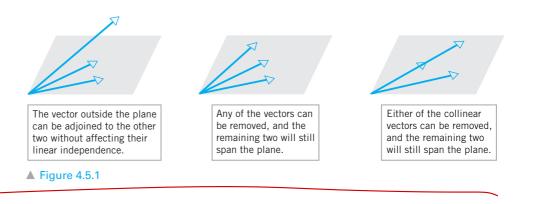
span the solution space. We leave it for you to check that these vectors are linearly independent by showing that none of them is a linear combination of the other two (but see the remark that follows). Thus, the solution space has dimension 3.

**Remark** It can be shown that for any homogeneous linear system, the method of the last example always produces a basis for the solution space of the system. We omit the formal proof.

# Some Fundamental **Theorems**

We will devote the remainder of this section to a series of theorems that reveal the subtle interrelationships among the concepts of linear independence, spanning sets, basis, and dimension. These theorems are not simply exercises in mathematical theory-they are essential to the understanding of vector spaces and the applications that build on them.

We will start with a theorem (proved at the end of this section) that is concerned with the effect on linear independence and spanning if a vector is added to or removed from a nonempty set of vectors. Informally stated, if you start with a linearly independent set S and adjoin to it a vector that is not a linear combination of those already in S, then the enlarged set will still be linearly independent. Also, if you start with a set S of two or more vectors in which one of the vectors is a linear combination of the others, then that vector can be removed from S without affecting span(S) (Figure 4.5.1).



# **THEOREM 4.5.3 Plus/Minus Theorem** Let S be a nonempty set of vectors in a vector space V.

(a) If S is a linearly independent set, and if  $(\mathbf{v})$  is a vector in V that is outside of span(S), then the set  $S \cup \{v\}$  that results by inserting v into S is still linearly independent. If v is a vector in S that is expressible as a linear combination of other vectors in S, and if  $S - \{v\}$  denotes the set obtained by removing v from S, then S and  $S - \{v\}$  span the same space; that is,  $\operatorname{span}(S) = \operatorname{span}(S - \{\mathbf{v}\})$ S span for V S-Sv3 span for V

 $S \subseteq V$ 

Spon(S)

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 $k_{1}P_{1} + k_{2}P_{2} + k_{3}P_{3}$  $\Rightarrow k_{1} = k_{2} - k_{5}$ 

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EXAMPLE 4 Applying the Plus/Minus Theorem Show that  $\mathbf{p} = 1 - x^2$ ,  $\mathbf{p}_2 = 2 - x^2$ , and  $\mathbf{p}_3 = x^3$  are linearly independent vectors. **Solution** The set  $S = {\mathbf{p}_1, \mathbf{p}_2}$  is linearly independent since neither vector in S is a scalar multiple of the other. Since the vector  $\mathbf{p}_3$  cannot be expressed as a linear combination of the vectors in S (why?), it can be adjoined to S to produce a linearly independent set  $S \cup \{\mathbf{p}_3\} = \{\mathbf{p}_1, \mathbf{p}_2, \mathbf{p}_3\}.$ 

In general, to show that a set of vectors  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$  is a basis for a vector space V, one must show that the vectors are linearly independent and span V. However, if we happen to know that V has dimension n (so that  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$  contains the right number of vectors for a basis), then it suffices to check either linear independence or spanning-the remaining condition will hold automatically. This is the content of the following theorem.

**THEOREM 4.5.4** Let V be an *n*-dimensional vector space, and let S be a set in V with exactly n vectors. Then S is a basis for V if and only if S spans V or S is linearly independent.

**Proof** Assume that S has exactly n vectors and spans V. To prove that S is a basis, we must show that S is a linearly independent set. But if this is not so, then some vector v in S is a linear combination of the remaining vectors. If we remove this vector from S, then it follows from Theorem 4.5.3(b) that the remaining set of n - 1 vectors still spans V. But this is impossible since Theorem 4.5.2(b) states that no set with fewer than n vectors can span an *n*-dimensional vector space. Thus S is linearly independent.

Assume that S has exactly n vectors and is a linearly independent set. To prove that S is a basis, we must show that S spans V. But if this is not so, then there is some vector  $\mathbf{v}$  in V that is not in span(S). If we insert this vector into S, then it follows from Theorem 4.5.3(a) that this set of n + 1 vectors is still linearly independent. But this is impossible, since Theorem 4.5.2(a) states that no set with more than n vectors in an *n*-dimensional vector space can be linearly independent. Thus S spans V.

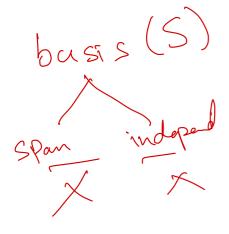
- **EXAMPLE 5 Bases by Inspection** (a) Explain why the vectors  $\mathbf{v}_1 = (-3, 7)$  and  $\mathbf{v}_2 = (5, 5)$  form a basis for  $\mathbb{R}^2$ .
- (b) Explain why the vectors  $\mathbf{v}_1 = (2, 0, -1)$ ,  $\mathbf{v}_2 = (4, 0, 7)$ , and  $\mathbf{v}_3 = (-1, 1, 4)$  form a basis for  $R^3$ .  $S = \{ \forall_1, \forall_2, \forall_3 \}$   $\forall \{ \forall_1 \in \mathcal{S} \}$   $\forall \{ n \in \mathbb{R}^3 \in \mathcal{S} \}$

Solution (a) Since neither vector is a scalar multiple of the other, the two vectors form a linearly independent set in the two-dimensional space  $R^2$ , and hence they form a basis by Theorem 4.5.4.

**Solution (b)** The vectors  $\mathbf{v}_1$  and  $\mathbf{v}_2$  form a linearly independent set in the xz-plane (why?). The vector  $\mathbf{v}_3$  is outside of the *xz*-plane, so the set { $\mathbf{v}_1$ ,  $\mathbf{v}_2$ ,  $\mathbf{v}_3$ } is also linearly independent. Since  $R^3$  is three-dimensional, Theorem 4.5.4 implies that  $\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$  is a basis for the vector space  $R^3$ .  $\mathbf{v}_1 + \mathbf{v}_2 + \mathbf{v}_3 + \mathbf{v}_3 = (\bigcirc, 0, 0)$   $2\mathbf{v}_1 + \mathbf{v}_2 + \mathbf{v}_3 = \mathbf{v}_3$ 

The next theorem (whose proof is deferred to the end of this section) reveals two important facts about the vectors in a finite-dimensional vector space V:

 $\begin{pmatrix} K_{3}=0 \\ -k_{1}+7k_{2}+4k_{3}=0 \end{pmatrix} 2k_{1}+4k_{2}=0$   $-k_{1}+7k_{2}=0$ 18K2-0 = K=0



- 1. Every spanning set for a subspace is either a basis for that subspace or has a basis as a subset.
- 2. Every linearly independent set in a subspace is either a basis for that subspace or can be extended to a basis for it.

**THEOREM 4.5.5** Let *S* be a finite set of vectors in a finite-dimensional vector space *V*. (a) If *S* spans *V* but is not a basis for *V*, then *S* can be reduced to a basis for *V* by removing appropriate vectors from *S*.

(b) If S is a linearly independent set that is not already a basis for V, then S can be enlarged to a basis for V by inserting appropriate vectors into S. S. In NOT Spon  $S \cup \{V_i\} \xrightarrow{\sim} \text{bosisy}$ 

We conclude this section with a theorem that relates the dimension of a vector space to the dimensions of its subspaces.

**THEOREM 4.5.6** If W is a subspace of a finite-dimensional vector space V, then:

(a) W is finite-dimensional.

(b)  $\dim(W) \le \dim(V)$ .

(c) W = V if and only if  $\dim(W) = \dim(V)$ .

**Proof (a)** We will leave the proof of this part as an exercise.

**Proof (b)** Part (a) shows that W is finite-dimensional, so it has a basis

$$S = \{\mathbf{w}_1, \mathbf{w}_2, \ldots, \mathbf{w}_m\}$$

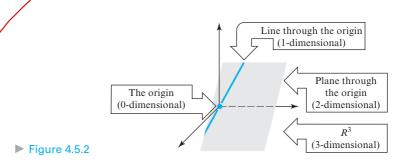
Either S is also a basis for V or it is not. If so, then  $\dim(V) = m$ , which means that  $\dim(V) = \dim(W)$ . If not, then because S is a linearly independent set it can be enlarged to a basis for V by part (b) of Theorem 4.5.5. But this implies that  $\dim(W) < \dim(V)$ , so we have shown that  $\dim(W) \le \dim(V)$  in all cases.

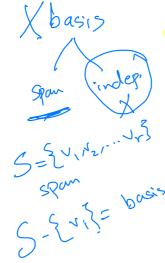
**Proof (c)** Assume that  $\dim(W) = \dim(V)$  and that

$$S = {\mathbf{w}_1, \mathbf{w}_2, \ldots, \mathbf{w}_m}$$

is a basis for W. If S is not also a basis for V, then being linearly independent S can be extended to a basis for V by part (b) of Theorem 4.5.5. But this would mean that  $\dim(V) > \dim(W)$ , which contradicts our hypothesis. Thus S must also be a basis for V, which means that W = V. The converse is obvious.

Figure 4.5.2 illustrates the geometric relationship between the subspaces of  $R^3$  in order of increasing dimension.





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OPTIONAL

We conclude this section with optional proofs of Theorems 4.5.2, 4.5.3, and 4.5.5.

**Proof of Theorem 4.5.2(a)** Let  $S' = \{\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_m\}$  be any set of *m* vectors in *V*, where m > n. We want to show that S' is linearly dependent. Since  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$  is a basis, each  $\mathbf{w}_i$  can be expressed as a linear combination of the vectors in S, say

$$\mathbf{w}_{1} = a_{11}\mathbf{v}_{1} + a_{21}\mathbf{v}_{2} + \dots + a_{n1}\mathbf{v}_{n}$$
  

$$\mathbf{w}_{2} = a_{12}\mathbf{v}_{1} + a_{22}\mathbf{v}_{2} + \dots + a_{n2}\mathbf{v}_{n}$$
  

$$\vdots \qquad \vdots \qquad \vdots \qquad \vdots$$
  

$$\mathbf{w}_{m} = a_{1m}\mathbf{v}_{1} + a_{2m}\mathbf{v}_{2} + \dots + a_{nm}\mathbf{v}_{n}$$
  
(1)

To show that S' is linearly dependent, we must find scalars  $k_1, k_2, \ldots, k_m$ , not all zero, such that

$$k_1 \mathbf{w}_1 + k_2 \mathbf{w}_2 + \dots + k_m \mathbf{y}_m = \mathbf{0}$$
 (2)

We leave it for you to verify that the equations in (1) can be rewritten in the partitioned form

$$[\mathbf{w}_{1} | \mathbf{w}_{2} | \cdots | \mathbf{w}_{m}] = [\mathbf{v}_{1} | \mathbf{v}_{2} | \cdots | \mathbf{v}_{n}] \begin{vmatrix} a_{11} & a_{21} & \cdots & a_{m1} \\ a_{12} & a_{22} & \cdots & a_{m2} \\ \vdots & \vdots & & \vdots \\ a_{1n} & a_{2n} & \cdots & a_{mn} \end{vmatrix}$$
(3)

Since m > n, the linear system

$$\begin{bmatrix} a_{11} & a_{21} & \cdots & a_{m1} \\ a_{12} & a_{22} & \cdots & a_{m2} \\ \vdots & \vdots & & \vdots \\ a_{1n} & a_{2n} & \cdots & a_{mn} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$
(4)

has more equations than unknowns and hence has a nontrivial solution

$$x_1 = k_1, \quad x_2 = k_2, \ldots, \quad x_m = k_m$$

Creating a column vector from this solution and multiplying both sides of (3) on the right by this vector yields

$$\begin{bmatrix} \mathbf{w}_1 \mid \mathbf{w}_2 \mid \cdots \mid \mathbf{w}_m \end{bmatrix} \begin{bmatrix} k_1 \\ k_2 \\ \vdots \\ k_m \end{bmatrix} = \begin{bmatrix} \mathbf{v}_1 \mid \mathbf{v}_2 \mid \cdots \mid \mathbf{v}_n \end{bmatrix} \begin{bmatrix} a_{11} & a_{21} & \cdots & a_{m1} \\ a_{12} & a_{22} & \cdots & a_{m2} \\ \vdots & \vdots & & \vdots \\ a_{1n} & a_{2n} & \cdots & a_{mn} \end{bmatrix} \begin{bmatrix} k_1 \\ k_2 \\ \vdots \\ k_m \end{bmatrix}$$

By (4), this simplifies to

$$\begin{bmatrix} \mathbf{w}_1 \mid \mathbf{w}_2 \mid \cdots \mid \mathbf{w}_m \end{bmatrix} \begin{bmatrix} k_1 \\ k_2 \\ \vdots \\ k_m \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

which we can rewrite as

$$k_1\mathbf{w}_1 + k_2\mathbf{w}_2 + \dots + k_m\mathbf{w}_m = \mathbf{0}$$

Since the scalar coefficients in this equation are not all zero, we have proved that  $S' = \{\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_m\}$  is linearly independent.

## 4.5 Dimension 227

The proof of Theorem 4.5.2(b) closely parallels that of Theorem 4.5.2(a) and will be omitted.

**Proof of Theorem 4.5.3(a)** Assume that  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_r\}$  is a linearly independent set of vectors in V, and  $\mathbf{v}$  is a vector in V that is outside of span(S). To show that  $S' = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_r, \mathbf{v}\}$  is a linearly independent set, we must show that the only scalars that satisfy

$$k_1\mathbf{v}_1 + k_2\mathbf{v}_2 + \cdots + k_r\mathbf{v}_r + k_{r+1}\mathbf{v} = \mathbf{0}$$
<sup>(5)</sup>

are  $k_1 = k_2 = \cdots = k_r = k_{r+1} = 0$ . But it must be true that  $k_{r+1} = 0$  for otherwise we could solve (5) for **v** as a linear combination of  $\mathbf{v}_1, \mathbf{v}_2, \ldots, \mathbf{v}_r$ , contradicting the assumption that **v** is outside of span(S). Thus, (5) simplifies to

$$k_1\mathbf{v}_1 + k_2\mathbf{v}_2 + \dots + k_r\mathbf{v}_r = \mathbf{0}$$
(6)

which, by the linear independence of  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_r\}$ , implies that

$$k_1=k_2=\cdots=k_r=0$$

**Proof of Theorem 4.5.7(b)** Assume that  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_r\}$  is a set of vectors in V, and (to be specific) suppose that  $\mathbf{v}_r$  is a linear combination of  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_{r-1}$ , say

$$\mathbf{v}_r = c_1 \mathbf{v}_1 + c_2 \mathbf{v}_2 + \dots + c_{r-1} \mathbf{v}_{r-1} \tag{7}$$

We want to show that if  $\mathbf{v}_r$  is removed from *S*, then the remaining set of vectors  $\{\mathbf{v}_1, \mathbf{v}_2, \ldots, \mathbf{v}_{r-1}\}$  still spans *S*; that is, we must show that every vector  $\mathbf{w}$  in span(*S*) is expressible as a linear combination of  $\{\mathbf{v}_1, \mathbf{v}_2, \ldots, \mathbf{v}_{r-1}\}$ . But if  $\mathbf{w}$  is in span(*S*), then  $\mathbf{w}$  is expressible in the form

$$\mathbf{w} = k_1 \mathbf{v}_1 + k_2 \mathbf{v}_2 + \dots + k_{r-1} \mathbf{v}_{r-1} + k_r \mathbf{v}_r$$

or, on substituting (7),

$$\mathbf{w} = k_1 \mathbf{v}_1 + k_2 \mathbf{v}_2 + \dots + k_{r-1} \mathbf{v}_{r-1} + k_r (c_1 \mathbf{v}_1 + c_2 \mathbf{v}_2 + \dots + c_{r-1} \mathbf{v}_{r-1})$$

which expresses **w** as a linear combination of  $\mathbf{v}_1, \mathbf{v}_2, \ldots, \mathbf{v}_{r-1}$ .

**Proof of Theorem 4.5.5(a)** If S is a set of vectors that spans V but is not a basis for V, then S is a linearly dependent set. Thus some vector  $\mathbf{v}$  in S is expressible as a linear combination of the other vectors in S. By the Plus/Minus Theorem (4.5.3b), we can remove  $\mathbf{v}$  from S, and the resulting set S' will still span V. If S' is linearly independent, then S' is a basis for V, and we are done. If S' is linearly dependent, then we can remove some appropriate vector from S' to produce a set S'' that still spans V. We can continue removing vectors in this way until we finally arrive at a set of vectors in S that is linearly independent and spans V. This subset of S is a basis for V.

**Proof of Theorem 4.5.5(b)** Suppose that  $\dim(V) = n$ . If S is a linearly independent set that is not already a basis for V, then S fails to span V, so there is some vector v in V that is not in span(S). By the Plus/Minus Theorem (4.5.3*a*), we can insert v into S, and the resulting set S' will still be linearly independent. If S' spans V, then S' is a basis for V, and we are finished. If S' does not span V, then we can insert an appropriate vector into S' to produce a set S'' that is still linearly independent. We can continue inserting vectors in this way until we reach a set with n linearly independent vectors in V. This set will be a basis for V by Theorem 4.5.4.

# **Exercise Set 4.5**

▶ In Exercises 1–6, find a basis for the solution space of the homogeneous linear system, and find the dimension of that space.

- $x_1 + x_2 x_3 = 0$ 1. **2.**  $3x_1 + x_2 + x_3 + x_4 = 0$  $-2x_1 - x_2 + 2x_3 = 0$  $5x_1 - x_2 + x_3 - x_4 = 0$  $+ x_3 = 0$  $-x_1$ **3.**  $2x_1 + x_2 + 3x_3 = 0$  $4. \quad x_1 - 4x_2 + 3x_3 - x_4 = 0$  $x_1 \qquad +5x_3 = 0$  $2x_1 - 8x_2 + 6x_3 - 2x_4 = 0$  $x_2 + x_3 = 0$ 5.  $x_1 - 3x_2 + x_3 = 0$ 6. x + y + z = 0 $2x_1 - 6x_2 + 2x_3 = 0$ 3x + 2y - 2z = 0 $3x_1 - 9x_2 + 3x_3 = 0$ 4x + 3y - z = 06x + 5y + z = 07. In each part, find a basis for the given subspace of  $R^3$ , and
- state its dimension.
  - (a) The plane 3x 2y + 5z = 0.
- (b) The plane x y = 0.
- (c) The line x = 2t, y = -t, z = 4t.
- (d) All vectors of the form (a, b, c), where b = a + c.
- 8. In each part, find a basis for the given subspace of  $R^4$ , and state its dimension.
  - (a) All vectors of the form (a, b, c, 0).
  - (b) All vectors of the form (a, b, c, d), where d = a + b and c = a b.
  - (c) All vectors of the form (a, b, c, d), where a = b = c = d.
- 9. Find the dimension of each of the following vector spaces.
  - (a) The vector space of all diagonal  $n \times n$  matrices.
  - (b) The vector space of all symmetric  $n \times n$  matrices.
  - (c) The vector space of all upper triangular  $n \times n$  matrices.
- 10. Find the dimension of the subspace of  $P_3$  consisting of all polynomials  $a_0 + a_1x + a_2x^2 + a_3x^3$  for which  $a_0 = 0$ .
- 11. (a) Show that the set W of all polynomials in  $P_2$  such that p(1) = 0 is a subspace of  $P_2$ .
  - (b) Make a conjecture about the dimension of W.
  - (c) Confirm your conjecture by finding a basis for *W*.
- 12. Find a standard basis vector for  $R^3$  that can be added to the set  $\{v_1, v_2\}$  to produce a basis for  $R^3$ .
  - (a)  $\mathbf{v}_1 = (-1, 2, 3), \ \mathbf{v}_2 = (1, -2, -2)$
  - (b)  $\mathbf{v}_1 = (1, -1, 0), \ \mathbf{v}_2 = (3, 1, -2)$
- 13. Find standard basis vectors for  $R^4$  that can be added to the set  $\{v_1, v_2\}$  to produce a basis for  $R^4$ .

$$\mathbf{v}_1 = (1, -4, 2, -3), \quad \mathbf{v}_2 = (-3, 8, -4, 6)$$

- 14. Let  $\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$  be a basis for a vector space V. Show that  $\{\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3\}$  is also a basis, where  $\mathbf{u}_1 = \mathbf{v}_1$ ,  $\mathbf{u}_2 = \mathbf{v}_1 + \mathbf{v}_2$ , and  $\mathbf{u}_3 = \mathbf{v}_1 + \mathbf{v}_2 + \mathbf{v}_3$ .
- **15.** The vectors  $\mathbf{v}_1 = (1, -2, 3)$  and  $\mathbf{v}_2 = (0, 5, -3)$  are linearly independent. Enlarge  $\{\mathbf{v}_1, \mathbf{v}_2\}$  to a basis for  $R^3$ .
- 16. The vectors  $\mathbf{v}_1 = (1, 0, 0, 0)$  and  $\mathbf{v}_2 = (1, 1, 0, 0)$  are linearly independent. Enlarge  $\{\mathbf{v}_1, \mathbf{v}_2\}$  to a basis for  $\mathbb{R}^4$ .
- 17. Find a basis for the subspace of  $R^3$  that is spanned by the vectors

$$\mathbf{v}_1 = (1, 0, 0), \quad \mathbf{v}_2 = (1, 0, 1), \quad \mathbf{v}_3 = (2, 0, 1), \quad \mathbf{v}_4 = (0, 0, -1)$$

**18.** Find a basis for the subspace of  $R^4$  that is spanned by the vectors

$$\mathbf{v}_1 = (1, 1, 1, 1), \quad \mathbf{v}_2 = (2, 2, 2, 0), \quad \mathbf{v}_3 = (0, 0, 0, 3), \\ \mathbf{v}_4 = (3, 3, 3, 4)$$

**19.** In each part, let  $T_A: \mathbb{R}^3 \to \mathbb{R}^3$  be multiplication by *A* and find the dimension of the subspace of  $\mathbb{R}^3$  consisting of all vectors **x** for which  $T_A(\mathbf{x}) = \mathbf{0}$ .

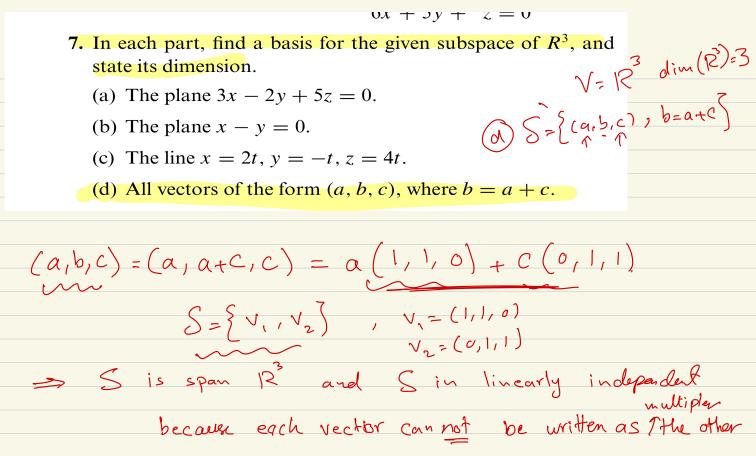
(a) 
$$A = \begin{bmatrix} 1 & 1 & 0 \\ 1 & 0 & 1 \\ 1 & 0 & 1 \end{bmatrix}$$
 (b)  $A = \begin{bmatrix} 1 & 2 & 0 \\ 1 & 2 & 0 \\ 1 & 2 & 0 \end{bmatrix}$   
(c)  $A = \begin{bmatrix} 1 & 0 & 0 \\ -1 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$ 

**20.** In each part, let  $T_A$  be multiplication by *A* and find the dimension of the subspace  $R^4$  consisting of all vectors **x** for which  $T_A(\mathbf{x}) = \mathbf{0}$ .

(a) 
$$A = \begin{bmatrix} 1 & 0 & 2 & -1 \\ -1 & 4 & 0 & 0 \end{bmatrix}$$
 (b)  $A = \begin{bmatrix} 0 & 0 & 1 & 1 \\ -1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 \end{bmatrix}$ 

### Working with Proofs

- **21.** (a) Prove that for every positive integer *n*, one can find n + 1 linearly independent vectors in  $F(-\infty, \infty)$ . [*Hint:* Look for polynomials.]
  - (b) Use the result in part (a) to prove that F(-∞, ∞) is infinitedimensional.
  - (c) Prove that  $C(-\infty, \infty)$ ,  $C^m(-\infty, \infty)$ , and  $C^{\infty}(-\infty, \infty)$  are infinite-dimensional.
- **22.** Let *S* be a basis for an *n*-dimensional vector space *V*. Prove that if  $\mathbf{v}_1, \mathbf{v}_2, \ldots, \mathbf{v}_r$  form a linearly independent set of vectors in *V*, then the coordinate vectors  $(\mathbf{v}_1)_S, (\mathbf{v}_2)_S, \ldots, (\mathbf{v}_r)_S$  form a linearly independent set in  $\mathbb{R}^n$ , and conversely.



vector (from theorem)

- **23.** Let  $S = {\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_r}$  be a nonempty set of vectors in an *n*-dimensional vector space *V*. Prove that if the vectors in *S* span *V*, then the coordinate vectors  $(\mathbf{v}_1)_S, (\mathbf{v}_2)_S, \dots, (\mathbf{v}_r)_S$  span  $R^n$ , and conversely.
- **24.** Prove part (*a*) of Theorem 4.5.6.
- **25.** Prove: A subspace of a finite-dimensional vector space is finite-dimensional.
- 26. State the two parts of Theorem 4.5.2 in contrapositive form.
- **27.** In each part, let *S* be the standard basis for  $P_2$ . Use the results proved in Exercises 22 and 23 to find a basis for the subspace of  $P_2$  spanned by the given vectors.
  - (a)  $-1 + x 2x^2$ ,  $3 + 3x + 6x^2$ , 9
  - (b) 1 + x,  $x^2$ ,  $2 + 2x + 3x^2$
  - (c)  $1 + x 3x^2$ ,  $2 + 2x 6x^2$ ,  $3 + 3x 9x^2$

### **True-False Exercises**

**TF.** In parts (a)–(k) determine whether the statement is true or false, and justify your answer.

- (a) The zero vector space has dimension zero.
- (b) There is a set of 17 linearly independent vectors in  $R^{17}$ .
- (c) There is a set of 11 vectors that span  $R^{17}$ .
- (d) Every linearly independent set of five vectors in  $R^5$  is a basis for  $R^5$ .
- (e) Every set of five vectors that spans  $R^5$  is a basis for  $R^5$ .
- (f) Every set of vectors that spans  $R^n$  contains a basis for  $R^n$ .

- (g) Every linearly independent set of vectors in  $\mathbb{R}^n$  is contained in some basis for  $\mathbb{R}^n$ .
- (h) There is a basis for  $M_{22}$  consisting of invertible matrices.
- (i) If A has size n × n and I<sub>n</sub>, A, A<sup>2</sup>, ..., A<sup>n<sup>2</sup></sup> are distinct matrices, then {I<sub>n</sub>, A, A<sup>2</sup>, ..., A<sup>n<sup>2</sup></sup>} is a linearly dependent set.
- (j) There are at least two distinct three-dimensional subspaces of *P*<sub>2</sub>.
- (k) There are only three distinct two-dimensional subspaces of  $P_2$ .

### Working with Technology

**T1.** Devise three different procedures for using your technology utility to determine the dimension of the subspace spanned by a set of vectors in  $\mathbb{R}^n$ , and then use each of those procedures to determine the dimension of the subspace of  $\mathbb{R}^5$  spanned by the vectors

$$\mathbf{v}_1 = (2, 2, -1, 0, 1), \quad \mathbf{v}_2 = (-1, -1, 2, -3, 1),$$
  
 $\mathbf{v}_3 = (1, 1, -2, 0, -1), \quad \mathbf{v}_4 = (0, 0, 1, 1, 1)$ 

**T2.** Find a basis for the row space of A by starting at the top and successively removing each row that is a linear combination of its predecessors.

	3.4	2.2	1.0	-1.8
	3.4 2.1	3.6	4.0	-3.4 7.0
A =	8.9	8.0	6.0	7.0
	7.6	9.4	9.0	-8.6
	1.0	2.2	0.0	2.2

# 4.6 Change of Basis

A basis that is suitable for one problem may not be suitable for another, so it is a common process in the study of vector spaces to change from one basis to another. Because a basis is the vector space generalization of a coordinate system, changing bases is akin to changing coordinate axes in  $R^2$  and  $R^3$ . In this section we will study problems related to changing bases.

*Coordinate Maps* If  $S = \{v_1, v_2, ..., v_n\}$  is a basis for a finite-dimensional vector space V, and if

$$(\mathbf{v})_S = (c_1, c_2, \ldots, c_n)$$

is the coordinate vector of v relative to S, then, as illustrated in Figure 4.4.6, the mapping

$$\mathbf{v} \to (\mathbf{v})_S \tag{1}$$

creates a connection (a one-to-one correspondence) between vectors in the *general* vector space V and vectors in the *Euclidean* vector space  $R^n$ . We call (1) the *coordinate map relative to S* from V to  $R^n$ . In this section we will find it convenient to express coordinate

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