## Functional Analysis Exercise Class

Week November 30 – Dec 4:

Deadline to hand in the homework: your exercise class on week December 7-11

## Exercises with solutions

Recall that every normed space X can be isometrically embedded into its bidual by the map  $(Jx)(\varphi) := \varphi(x), x \in X, \varphi \in X^*$ , and X is called reflexive if J is a bijection.

(1) Show that  $l_p := l_p(\mathbb{N}, \mathbb{K})$  is reflexive for every  $p \in (1, +\infty)$ .

Solution: Note that J is injective, and hence we only have to show surjectivity.

Recall (from the previous classes) that for every  $\varphi \in l_p^*$ , there exists a unique  $y_{\varphi} \in l_q$ , where  $\frac{1}{p} + \frac{1}{q} = 1$ , such that  $||y_{\varphi}||_q = ||\varphi||$ , and

$$\sum_{n \in \mathbb{N}} y_{\varphi}(n)x(n) = \varphi(x) = (Jx)(\varphi), \qquad x \in l_p.$$

Now let  $f \in l_p^{**}$ . Since  $l_p^* \cong l_q$ , we have  $l_p^{**} \cong l_q^*$ , and we can consider f to be a bounded linear functional on  $l_q$ . Hence, by the above, there exists a unique  $z_f \in l_p$  such that

$$f(y_{\varphi}) = \sum_{n \in \mathbb{N}} z_f(n) y_{\varphi}(n) = \varphi(z_f) = (Jz_f)(y_{\varphi}).$$

Since every  $y \in l_q$  is equal to  $y_{\varphi}$  for some  $\varphi \in l_p^*$ , this shows that  $Jz_f = f$ . That is, every  $f \in l_p^{**}$  can be obtained in the form f = Jx for some  $x \in l_p$ , and hence J is surjective.

- (2) Let X be a normed space. Prove that
  - a) If X is finite-dimensional then it is reflexive.
  - b) If X is reflexive and separable then  $X^*$  is separable.
  - c) If X is reflexive then  $X^*$  is reflexive.
  - d) If X is a Banach space and  $X^*$  is reflexive then X is reflexive.

## Solution:

- a) We know that the canonical embedding  $J: X \to X^{**}$  is injective. If X is finite-dimensional then dim  $X = \dim X^* = \dim X^{**}$ , and hence an injective map from X to  $X^{**}$  is also surjective. Therefore J is also surjective, proving that X is reflexive.
- b) If X is reflexive then  $X^{**}$  is isometrically isomorphic to X under the canonical embedding J. Hence, if  $\mathcal{D}$  is a countable dense set in X then  $J(\mathcal{D})$  is a countable dense set in  $X^{**}$ , and therefore if X is separable then so is  $X^{**}$ . We have seen in the lecture that if the dual space of a normed space is separable then so is the space itself. Since  $X^{**}$  is the dual of  $X^{*}$ , the assertion follows from the above observations.

c) Let  $J_X: X \to X^{**}$  and  $J_{X^*}: X^* \to (X^*)^{**} = X^{***}$  be the canoncial embeddings of X and  $X^*$  into their biduals, respectively. We have to show that if  $F \in X^{***}$  then there exists an  $f_F \in X^*$  such that  $J_{X^*}f_F = F$ .

Since X is reflexive, for every  $\varphi \in X^{**}$  there exists a unique  $x_{\varphi} \in X$  such that  $\varphi = J_X x_{\varphi}$ . For the above F and  $\varphi \in X^{**}$ , we have

$$F(\varphi) = F(J_X x_{\varphi}) = (F \circ J_X)(x_f). \tag{0.1}$$

Note that  $F \circ J_X \in X^*$ , and we have, for any  $\varphi \in X^{**}$ ,

$$J_{X^*}(F \circ J_X)\varphi = \varphi(F \circ J_X) = (J_X x_\varphi)(F \circ J_X) = (F \circ J_X)(x_\varphi) = F(\varphi),$$

where in the last step we used (0.1). Hence,  $F = J_{X^*}(F \circ J_x)$ , as required.

d) Assume, on the contrary, that  $X^*$  is reflexive but X is not. That means that there exists a  $\varphi \in X^{**} \setminus J_X(X)$ . Since X is a Banach space, and  $J_X$  is an isometric isometry,  $J_X(X)$  is also a Banach space, and therefore it is closed. Hence, by the spanning criterion (see the lecture), there exists an  $F \in X^{***}$  such that  $F|_{J_X(X)} = 0$  and  $F(\varphi) \neq 0$ . Since  $X^*$  is reflexive, there exists a (unique)  $f \in X^*$  such that  $F = J_{X^*}f$ . Hence, for every  $x \in X$ ,

$$0 = F(J_X x) = (J_{X^*} f)(J_X x) = (J_X x)(f) = f(x),$$

and therefore f=0. However, this implies F=0, which contradicts  $F(\varphi)\neq o$ .

- (3) Let X, Y be normed spaces and  $T: X \to Y$  a linear operator. Show that the following are equivalent.
  - (i) The graph  $\Gamma(T)$  of T is closed.
  - (ii) If  $(x_n)_{n\in\mathbb{N}}$  is a sequence in X such that  $x_n\to 0$  and  $(Tx_n)_{n\in\mathbb{N}}$  converges, then  $\lim_{n\to+\infty}Tx_n=0$ .

Solution: (i) $\Rightarrow$ (ii): We have  $\Gamma(T) \ni (x_n, Tx_n) \to (0, y)$ . Since  $\Gamma(T)$  is closed, we have  $(0, y) \in \Gamma(T)$ , i.e., y = T(0). Since T is linear, we have y = T(0) = 0.

(ii) $\Rightarrow$ (i):  $\Gamma(T)$  is closed if and only if every convergent sequence in  $\Gamma(T)$  has its limit in  $\Gamma(T)$ . Let  $\Gamma(T) \ni (x_n, Tx_n) \to (x, y)$  as  $n \to +\infty$ . We have to show that  $(x, y) \in \Gamma(T)$ .

We have  $\Gamma(T) \ni (x_n - x, T(x_n - x)) \to (0, y - T(x))$ . By assumption (ii), y - T(x) = 0, and hence  $(x, y) \in \Gamma(T)$ , as required.

(4) Let X, Y be Banach spaces, and  $T_n \in \mathcal{B}(X, Y)$ ,  $n \in \mathbb{N}$ , be a sequence of bounded operators that is pointwise convergent, i.e., for every  $x \in X$ ,  $(T_n(x))_{n \in \mathbb{N}}$  is convergent. Show that  $Tx := \lim_{n \to +\infty} T_n x$ ,  $x \in X$ , defines a bounded linear operator.

(Hint: Use the uniform boundedness theorem.)

Solution: It is clear from the definition that T is linear. By assumption, for every  $x \in X$ ,  $\lim_{n\to+\infty} ||T_nx|| = ||Tx||$ ; in particular,  $\sup_{n\in\mathbb{N}} ||T_nx|| < +\infty$ . Hence, by the uniform boundedness theorem,  $M := \sup_{n\in\mathbb{N}} ||T_n|| < +\infty$ . Thus,

$$||Tx|| = \lim_{n \to +\infty} ||T_n x|| \le ||x|| \sup_{n \in \mathbb{N}} ||T_n|| = M ||x||, \quad x \in X,$$

and therefore T is bounded with  $||T|| \leq \sup_{n \in \mathbb{N}} ||T_n||$ .

(5) By definition, the weak topology  $\sigma(X, X^*)$  on a normed space X is the weakest topology on X such that all elements of  $X^*$  are continuous w.r.t it. Show that the weak topology is generated by the sets

$$U_{f,c,\varepsilon} := f^{-1}(D_{\varepsilon}(c)) = \{ x \in X : |f(x) - c| < \varepsilon \}, \qquad c \in \mathbb{K}, \, \varepsilon > 0, \, f \in X^*,$$

where  $D_{\varepsilon}(c) := \{d \in \mathbb{K} : |d-c| < \varepsilon\}$  is the open ball of radius  $\varepsilon > 0$  around c in  $\mathbb{K}$ .

Solution: Since  $D_{\varepsilon}(c)$  is open and f is continuous w.r.t. the weak topology,  $U_{f,c,\varepsilon} \in \sigma(X,X^*)$  for every  $c \in \mathbb{K}$ ,  $\varepsilon > 0$ ,  $f \in X^*$ . Hence, the topology  $\tau$  generated by these sets satisfies  $\tau \subseteq \sigma(X,X^*)$ . On the other hand, every open set  $U \subseteq \mathbb{K}$  can be written as  $U = \bigcup_{c \in U} D_{\varepsilon_c}(c)$  with some  $\varepsilon_c > 0$ ,  $c \in U$ , and hence

$$f^{-1}(U) = \bigcup_{c \in U} f^{-1}(D_{\varepsilon_c}(c)) = \bigcup_{c \in U} U_{f,c,\varepsilon}.$$

Thus  $f^{-1}(U) \in \tau$  for any open set  $U \subseteq \mathbb{K}$  and thus f is continuous w.r.t.  $\tau$ . Hence  $\tau \supseteq \sigma(X, X^*)$ .

(6) Let X be a normed space, and let  $\mathcal{P}_f(X^*)$  denote the finite subsets of  $X^*$ . For every  $\mathcal{F} \in \mathcal{P}_f(X^*)$ , let

$$|x|_{\mathcal{F}} := \sup_{f \in \mathcal{F}} |f(x)|.$$

- a) Show that for every  $\mathcal{F} \in \mathcal{P}_f(X^*)$ ,  $| |_{\mathcal{F}}$  is a seminorm, i.e., for every  $x, y \in X$ ,  $\lambda \in \mathbb{C}$ , (i)  $|x|_{\mathcal{F}} \geq 0$ ; (ii)  $|\lambda x|_{\mathcal{F}} = |\lambda| |x|_{\mathcal{F}}$ ; (iii)  $|x + y|_{\mathcal{F}} \leq |x|_{\mathcal{F}} + |y|_{\mathcal{F}}$ .
- b) Show that  $U \subset X$  is open in the weak topology  $\sigma(X, X^*)$  if and only if (P) for every  $x \in U$  there exists an  $\mathcal{F} \in \mathcal{P}_f(X^*)$  and  $\varepsilon > 0$  such that

$$B_{\mathcal{F}}(x,\varepsilon) := \{ y \in X : |y - x|_{\mathcal{F}} < \varepsilon \} \subseteq U.$$

c) Show that the weak topology makes X a topological vector space, i.e., the addition  $+: X \times X \to X$  and the scalar multiplication  $\cdot: \mathbb{K} \times X \to X$  are continuous, where on product spaces we use the product topology.

Solution:

a) Properties (i) and (ii) are trivial from the definition. For  $x, y \in X$ , we have

$$\begin{aligned} |x + y|_{\mathcal{F}} &= \sup_{f \in \mathcal{F}} |f(x + y)| \le \sup_{f \in \mathcal{F}} \{|f(x)| + |f(y)|\} \le \sup_{f, g \in \mathcal{F}} \{|f(x)| + |g(y)|\} \\ &= \sup_{f \in \mathcal{F}} |f(x)| + \sup_{g \in \mathcal{F}} |g(y)| = |x|_{\mathcal{F}} + |y|_{\mathcal{F}}. \end{aligned}$$

b) We have

$$B_{\mathcal{F}}(x,\varepsilon) := \bigcap_{f \in \mathcal{F}} f^{-1} \left( \left\{ c \in \mathbb{K} : |f(x) - c| < \varepsilon \right\} \right) = \bigcap_{f \in \mathcal{F}} f^{-1} \left( D_{\varepsilon}(f(x)) \right).$$

Since every  $f \in \mathcal{F}$  is continuous w.r.t. weak topology,  $B_{\mathcal{F}}(x,\varepsilon)$  is the finite intersection of w-open sets, and therefore is itself w-open. Thus, if U is a set with property (P) then every point of U is a w-interior point, and hence U is w-open.

Let  $\tau$  be the collection of all sets with the (P) property. It is easy to see that  $\tau$  is a topology, and by the above,  $\tau \subseteq \sigma(X, X^*)$ . On the other hand,  $\tau$  contains  $f^{-1}(\{d: |d-c| < \varepsilon\})$  for every  $c \in \mathbb{K}$  and  $\varepsilon > 0$ . Since  $\sigma(X, X^*)$  is a topology generated by these sets, according to Exercise (5), we get  $\tau \supseteq \sigma(X, X^*)$ . Therefore, U is w-open if and only if U has property (P).

c) Let  $x, y \in X$ . By the previous point, continuity of the addition at (x, y) is equivalent to the following: For every  $\mathcal{F} \in \mathcal{P}_f(X^*)$  and every  $\varepsilon > 0$  there exist  $\mathcal{F}_1, \mathcal{F}_2 \in \mathcal{P}_f(X^*)$  and  $\delta_1, \delta_2 > 0$  such that for all  $x' \in B_{\mathcal{F}_1}(x, \delta_1), y' \in B_{\mathcal{F}_2}(y, \delta_2)$ , we have  $x' + y' \in B_{\mathcal{F}}(x + y, \varepsilon)$ . Choosing  $\mathcal{F}_1 := \mathcal{F}_2 := \mathcal{F}$  and  $\delta_1 := \delta_2 := \varepsilon/2$ , we get

$$|(x'+y')-(x+y)|_{\mathcal{F}} \le |x'-x|_{\mathcal{F}} + |y'-y|_{\mathcal{F}} < \varepsilon/2 + \varepsilon/2 = \varepsilon.$$

Continuity of the scalar multiplication follows by a similar argument.

(7) Show that on any finite-dimensional normed space the weak topology coincides with the topology generated by any norm.

Solution: Let X be a finite-dimensional vector space, let  $e_1, \ldots, e_d$  be a basis in X, and let  $f_1, \ldots, f_d$  be its dual basis, defined by  $f_i(e_j) := \delta_{i,j}$ . Then  $||x||_{\infty} := \max_{1 \le i \le d} |f_i(x)|$  is a norm on X, and, since X is finite-dimensional, all linear functionals on X are also continuous.

We know that on a finite-dimensional vector space any two norms are equivalent, so it is enough to compare the weak topology to the topology  $\tau$  induced by  $\| \|_{\infty}$ . It is clear that  $\tau \supseteq \sigma(X, X^*)$ . On the other hand,

$$|x|_{\{f_1,\dots,f_d\}} = \sup_{1 \le i \le d} |f_i(x)| = ||x||_{\infty}, \quad x \in X,$$

and hence the open  $\| \|_{\infty}$ -balls around any point and with any radius are open in the weak topology, according to Exercise (6). Hence,  $\tau \subseteq \sigma(X, X^*)$ .

(8) Let X be an inifinite-dimensional normed space and  $S_X := \{x \in X : ||x|| = 1\}$  be the unit sphere of X. Show the following (maybe) surprising fact: The closure of the unit sphere in the weak topology is the whole closed unit ball, i.e.,

$$\overline{\{x \in X : \|x\| = 1\}}^{\sigma(X, X^*)} = \{x \in X : \|x\| \le 1\}.$$

Conclude that the weak topology and the norm topology are different on any inifinitedimensional normed space.

(Hint: Use the following fact, proved in the lecture: if x is in the weak interior of a set U then there is a non-zero vector  $z \in X$  such that  $x + cz \in U$  for every  $c \in \mathbb{K}$ .)

Solution: Let ||x|| > 1. By the Hahn-Banach theorem, there exists an  $f \in X^*$  such that ||f|| = 1 and f(x) = ||x||. Hence,  $\{y \in X : |f(y)| > (1 + ||x||)/2\}$  is a w-open set that contains x but is disjoint from the unit sphere (since  $|f(z)| \le ||z|| \le 1$ ,  $z \in S_X$ ), and hence x is not in the weak closure of  $S_X$ .

On the other hand, let ||x|| < 1, and let  $U \in \sigma(X, X^*)$  be a w-open set that contains x. By the statement in the Hint, there exists a non-zero vector  $z \in X$  such that  $x+cz \in U$  for every  $c \in \mathbb{K}$ . Let g(t) := ||x+tz||,  $t \in \mathbb{R}$ . Then g is continuous, g(0) < 1, and by the triangle inequality,  $g(t) \ge |t| ||z|| - ||x||$ , thus  $\lim_{t\to +\infty} g(t) = +\infty$ . Hence, by the Bolzano-Weierstrass theorem, there exists a  $t \in \mathbb{R}$  such that  $x + tz \in U$  and ||x + tz|| = 1, i.e.,  $x + tz \in U \cap S_X$ . This shows that every open neighbourhood of x intersects  $x \in S_X$ , and thus  $x \in S_X$  is in the closure of  $x \in S_X$ .

Since  $S_X$  is closed in the norm topology, but not in the weak topology, as we have seen above, the two topologies have to be different.

- (9) a) Show that every weakly convergent sequence in  $l_1 := l_1(\mathbb{N}, \mathbb{K})$  is norm convergent.
  - b) Decide whether the following statement is true or false: A set M is closed in the weak topology of  $l_1$  if and only if every convergent sequence in M has its limit point in M.

Solution:

a) Suppose for contradiction that  $(x^n)_{n\in\mathbb{N}}\in (l^1)^{\mathbb{N}}$  is weakly convergent but not norm convergent. We can assume without loss of generality that  $(w)\lim_{n\to+\infty}x^n=0$  (otherwise consider the sequence  $(x^n-x)$  instead). The fact that the sequence is not convergence is equivalent to a positive  $\delta>0$  and the existence of a subsequence  $x^{k(n)}$  such that  $\|x^{k(n)}\|_1 \geq \delta$ ,  $n\in\mathbb{N}$ . By restricting to this subsequence, we can assume that  $\|x^{k(n)}\|_1 \geq \delta$  holds for every  $n\in\mathbb{N}$ .

By the definition of the weak convergence, we have  $f(x^n) \to 0$  for any  $f \in (l_1)^*$ . Thus, also for each coordinate function  $f_k: l_1 \to \mathbb{C}$  defined as  $f_k(x) = x_k$  (which is clearly bounded) we have  $f_k(x^n) \to 0$  as  $n \to \infty$ , i.e.  $x_k^n \to 0$  for every  $k \in \mathbb{N}$ . As  $x^1 \in l_1$  we can choose  $K_1 \in \mathbb{N}$  with  $\sum_{k=K_1+1}^{\infty} |x_k^1| < \frac{\delta}{5}$ . As  $\sum_{k=1}^{K_1} x_k^n \to 0$  for  $n \to \infty$  there exists an  $n_2 \in \mathbb{N}$  with  $\sum_{k=1}^{K_1} |x_k^{n_2}| < \frac{\delta}{5}$ . Now we may again choose  $K_2 \in \mathbb{N}$  such that  $\sum_{k=K_2+1}^{\infty} |x_k^{n_2}| < \frac{\delta}{5}$  and continue the construction from above. Repeating this argument leads to a subsequence  $(x^{n_j})_{j \in \mathbb{N}}$  and a sequence  $(K_j)_{j \in \mathbb{N}}$  of integers such that  $\sum_{k=1}^{K_{j-1}} |x_k^{n_j}| < \frac{\delta}{5}$  and  $\sum_{k=K_j+1}^{\infty} |x_k^{n_j}| < \frac{\delta}{5}$ .

 $y_k = \begin{cases} 1 & \text{if } x_k^{n_j} = 0\\ \frac{|x_k^{n_j}|}{x_k^{n_j}} & \text{if } x_k^{n_j} \neq 0 \end{cases}.$ 

for  $K_{j-1} < k \le K_j$ .

Now define

We have  $y \in l^{\infty}$  as  $|y_k| = 1$  for all  $k \in \mathbb{N}$  and therefore we can define an  $f \in X^*$  via

 $f(x) = \sum_{k=1}^{\infty} y_k x_k$ . For this functional we have:

$$|f(x^{n_j})| = \left| \sum_{k=1}^{\infty} y_k x_k^{n_j} \right| \ge \left| \sum_{k=K_{j-1}+1}^{K_j} y_k x_k^{n_j} \right| - \left| \sum_{k=1}^{K_{j-1}} y_k x_k^{n_j} \right| - \left| \sum_{k=K_j+1}^{\infty} y_k x_k^{n_j} \right|$$

$$\ge \sum_{k=K_{j-1}+1}^{K_j} |x_k^{n_j}| - \sum_{k=1}^{K_{j-1}} |x_k^{n_j}| - \sum_{k=K_j+1}^{\infty} |x_k^{n_j}|$$

$$= \sum_{k=1}^{\infty} |x_k^{n_j}| - 2 \sum_{k=1}^{K_{j-1}} |x_k^{n_j}| - 2 \sum_{k=K_j+1}^{\infty} |x_k^{n_j}|$$

$$> ||x^{n_j}||_1 - \frac{4\delta}{5} \ge \frac{\delta}{5}.$$

Thus, we have  $f(x^{n_j}) \to 0$  as  $j \to \infty$ , contradicting the weak convergence of  $(x^n)_{n \in \mathbb{N}}$  to 0.

b) By the previous point, every weakly convergent sequence in  $l_1$  is norm convergent, and the converse is true in any normed space. Hence, if the statement was true that would mean that a set is weakly closed if and only if it is normed closed, i.e., the weak topology and the norm topology coincide on  $l_1$ . However, this is not true, as we have seen in Exercise (8).

## Homework with solutions

- (1) Let  $(x_n)_{n\in\mathbb{N}}$  be a sequence in the normed vector space  $(X, \|\cdot\|)$  and let  $x \in X$ . Show that the following are equivalent.
  - (i)  $x_n$  weakly converges to x.
  - (ii) The sequence  $(||x_n||)_{n\in\mathbb{N}}$  is bounded and there exists a dense subset  $D\subset X^*$  such that  $\lim_{n\to\infty} f(x_n) = f(x)$  for all  $f\in D$ .

Solution: (i)  $\Longrightarrow$  (ii): We have seen in the lecture that  $x_n \to x$  weakly implies that  $(||x_n||)_{n \in \mathbb{N}}$  is bounded. Moreover,  $x_n \to x$  weakly is equivalent to  $f(x_n) \to f(x)$  for all  $f \in X^*$ ; in particular it holds also for all  $f \in D$  for any dense set  $D \subseteq X^*$ .

(ii)  $\Longrightarrow$  (i): We have to show that  $f(x_n) \to f(x)$  for every  $f \in X^*$ . Let  $f \in X^*$ , and for every  $\varepsilon > 0$ , let  $f_{\varepsilon} \in D$  be such that  $||f - f_{\varepsilon}|| < \varepsilon$ . Then

$$|f(x_n) - f(x)| = |f(x_n) - f_{\varepsilon}(x_n) + f_{\varepsilon}(x_n) - f_{\varepsilon}(x) + f_{\varepsilon}(x) - f(x)|$$

$$\leq |f(x_n) - f_{\varepsilon}(x_n)| + |f_{\varepsilon}(x_n) - f_{\varepsilon}(x)| + |f_{\varepsilon}(x) - f(x)|$$

$$\leq ||f - f_{\varepsilon}|| (M + ||x||) + |f_{\varepsilon}(x_n) - f_{\varepsilon}(x)|,$$

where  $M := \sup_{n \in \mathbb{N}} ||x_n||$ , and hence

$$\limsup_{n \to +\infty} |f(x_n) - f(x)| \le \varepsilon (M + ||x||) + \limsup_{n \to +\infty} |f_{\varepsilon}(x_n) - f_{\varepsilon}(x)| = \varepsilon (M + ||x||).$$

Since this holds for every  $\varepsilon > 0$ , we get  $f(x_n) \to f(x)$  as  $n \to +\infty$ .

(2) Let  $X_1$  and  $X_2$  be Banach spaces, and let  $T: X_1 \to X_2$  be a linear transformation. Prove that if T is continuous relative to the weak topologies of  $X_1$  and  $X_2$ , then T is bounded.

(Hint: Use the closed graph theorem.)

Solution: By the closed graph theorem, boundedness of T is equivalent to its graph  $\Gamma(T)$  being closed. Thus, let  $(x_n, Tx_n) \in \Gamma(T)$ ,  $n \in \mathbb{N}$ , be a sequence converging to some  $(x, y) \in X_1 \times X_2$ ; we have to show that  $(x, y) \in \Gamma(T)$ , i.e., y = Tx. Since the weak topologies are at most as strong as the norm topologies, we see that  $x_n$  tends to x weakly, and  $x_n$  tends to x weakly. However, by assumption,  $x_n$  is continuous relative to the weak topologies, and thus  $x_n \to x$  weakly implies  $x_n \to x$  weakly. Since the weak topology is Hausdorff, this implies that  $x_n \to x$ .

- (3) Consider the differentiation operator  $D:C^1([0,1])\to C([0,1]),\ Df=f'.$ 
  - a) Prove that D has a closed graph if we equip both  $C^1([0,1])$  and C([0,1]) with the  $\|\cdot\|_{\infty}$  norm.
  - b) Conclude that  $(C^1([0,1]), \|\cdot\|_{\infty})$  is not a Banach space using the closed graph theorem.

Solution:

a) Let  $(f_n)_{n\in\mathbb{N}}$  be a sequence in  $C^1([0,1])$  that converges to  $f\in C^1([0,1])$  such that  $Df_n$  converges to some  $g\in C([0,1])$ . We have to prove that Df=g. To this end, note that

$$f_n(x) = f_n(0) + \int_0^x f_n(t) dt$$
, and let  $\tilde{f}(x) = f(0) + \int_0^x g(t) dt$ ,

for every  $x \in [0,1]$  and  $n \in \mathbb{N}$ . Then

$$\left\| f_n - \tilde{f} \right\|_{\infty} = \sup_{x \in [0,1]} \left| f_n(0) - f(0) + \int_0^x (f'_n(t) - g(t)) dt \right|$$

$$\leq |f_n(0) - f(0)| + \sup_{x \in [0,1]} \int_0^x |f'_n(t) - g(t)| dt$$

$$\leq \|f_n - f\|_{\infty} + \|f'_n - g\|_{\infty} \xrightarrow[n \to +\infty]{} 0.$$

By the uniqueness of the limit,  $f = \tilde{f}$ , and, since g is continuous,  $\tilde{f}$  is continuously differentiable with  $D\tilde{f} = \tilde{f}' = g$ . Hence,  $g \in C^1([0,1])$ , and Df = g.

b) We know  $(C([0,1]), \|\cdot\|_{\infty})$  is a Banach space. Assuming  $(C^1([0,1]), \|\cdot\|_{\infty})$  is a Banach space, the closed graph theorem would imply that D is continuous, a contradiction. Indeed, let  $f_n(x) := \sin nx$  so that  $\|f_n\|_{\infty} = 1$ ,  $n \in \mathbb{N}$ , but  $\|Df_n\| = \sup_{x \in [0,1]} |n \cos nx| = n$ , hence D is not bounded when both  $C^1([0,1])$  and C([0,1]) are equipped with the maximum norm.