

**Beam Optics:**

- ◆ Light can take the form of beams that comes as close as possible to spatially localized and nondiverging waves.
- ◆ Two extremes: (a) Plane wave: no angular spread; (b) spherical wave: diverge in all directions;
- ◆ Paraxial waves satisfy the paraxial Helmholtz equation! An important solution of this equation that exhibits the characteristics of an optical beam is the a wave called the Gaussian beam.

- **The Gaussian beam:**

The complex amplitude of a paraxial waves is

$$U(\mathbf{r}) = A(\mathbf{r}) \exp(-ikz)$$

$A(\mathbf{r})$  is a slow varying function of position => the envelope is assumed to be approximately constant locally (within  $\lambda$ )

$U(\mathbf{r})$ : satisfy the Helmholtz equation  $(\nabla^2 + k^2)U(\mathbf{r}) = 0$   
=>  $A(\mathbf{r})$ : satisfy the paraxial Helmholtz equation

$$\nabla_T^2 A(\mathbf{r}) - i2k \frac{\partial A(\mathbf{r})}{\partial z} = 0$$

One simple solution to the Paraxial Helmholtz Equation

$$A(\mathbf{r}) = \frac{A_1}{z} \exp\left(-ik \frac{x^2 + y^2}{2z}\right) \quad \text{Paraboloidal wave}$$

Another solution of the Paraxial Helmholtz Equation

$$A(\mathbf{r}) = \frac{A_1}{q(z)} \exp\left(-ik \frac{x^2 + y^2}{2q(z)}\right); \quad q(z) = z - \xi \quad \text{Gaussian beam}$$

A paraboloidal wave centered about the point  $z = \xi$ .  
 $\xi$  could be complex value; dramatically different properties acquired when  $\xi$  is real or complex.

When  $\xi$  is purely imaginary, i.e.  $\xi = -iz_0$ ;  $z_0$ : real  $\Rightarrow$  the complex envelope of the Gaussian beam

$$A(\mathbf{r}) = \frac{A_1}{q(z)} \exp\left(-ik \frac{x^2 + y^2}{2q(z)}\right); \quad q(z) = z + iz_0$$

$z_0$ : Rayleigh range

Separate amplitude and phase of this complex envelope

$$\frac{1}{q(z)} = \frac{1}{z + iz_0} = \frac{1}{R(z)} - i \frac{\lambda}{\pi W^2(z)}$$

$$\frac{1}{z + iz_0} = \frac{z - iz_0}{z^2 + z_0^2} = \frac{z}{z^2 + z_0^2} - \frac{iz_0}{z^2 + z_0^2} = \frac{1}{R(z)} - i \frac{\lambda}{\pi W^2(z)}$$

$$R(z) = z[1 + (z_0/z)^2]; \quad W(z) = \left(\frac{\lambda z_0}{\pi}\right)^{1/2} [1 + (z/z_0)^2]^{1/2}$$

$$R(z)/z = (W(z)/W_0)^2 \quad = W_0 \quad \begin{matrix} (z^2 + z_0^2)^{1/2} \\ \zeta(z) \\ z \end{matrix}$$

$$\frac{1}{q(z)} = \frac{1}{z + iz_0} = |q| \exp[i\zeta(z)]; \quad \zeta(z) = \tan^{-1}(z/z_0)^{z_0}$$

Put all this equation into the complex envelope of the Gaussian beam

$$\Rightarrow A(\mathbf{r}) = \frac{A_1}{iz_0} \frac{W_0}{W(z)} \exp\left[-\frac{x^2 + y^2}{W^2(z)}\right] \exp\left[-ik \frac{x^2 + y^2}{2R(z)} + i\zeta(z)\right]$$

$$\Rightarrow U(\mathbf{r}) = A_0 \frac{W_0}{W(z)} \exp\left[-\frac{\rho^2}{W^2(z)}\right] \exp\left[-ikz - ik \frac{\rho^2}{2R(z)} + i\zeta(z)\right]$$

Gaussian-Beam Complex Amplitude  $A_0 = A_1/iz_0$

$$R(z) = z[1 + (z_0/z)^2] \quad \text{wavefronts radius of curvature}$$

$$W(z) = W_0 [1 + (z/z_0)^2]^{1/2} \quad \rightarrow \text{Beam width}$$

$$W_0 = \left(\frac{\lambda z_0}{\pi}\right)^{1/2}$$

$$\zeta(z) = \tan^{-1}(z/z_0)$$

Define beam parameters

$A_0$  and  $z_0$  are determined from the boundary conditions

\* **Properties:**

**Intensity**  $I(\mathbf{r}) = |U(\mathbf{r})|^2$  a function of axial ( $z$ ) and radial ( $\rho$ ) distance

$$I(\rho, z) = I_0 \left[ \frac{W_0}{W(z)} \right]^2 \exp\left[ -\frac{2\rho^2}{W^2(z)} \right] \quad ; I_0 = |A_0|^2$$

Intensity is a Gaussian function of the  $\rho$ .

$I/I_0$  vs  $\rho$  at  $z=0$ ,  $z=z_0$ , and  $z=2z_0$ .

$$I(0, z) = I_0 \left[ \frac{W_0}{W(z)} \right]^2 = \frac{I_0}{1 + (z/z_0)^2}$$

**Power** (at a given  $z$ ; transverse)

$$P = \int_0^\infty I(\rho, z) 2\pi\rho d\rho = I_0 (\pi W_0^2) / 2$$

Independent of  $z$ !

For  $\rho = 0$

$$I(\rho, z) = \frac{2P}{\pi W^2(z)} \exp\left[ -\frac{2\rho^2}{W^2(z)} \right]$$

Express  $I_0$  in terms of  $P$ .

The ratio of the power carried within a circle of radius  $\rho_0$  in the transverse plane at position  $z$  to the total power

$$\frac{\int_0^{\rho_0} I(\rho, z) 2\pi\rho d\rho}{\int_0^\infty I(\rho, z) 2\pi\rho d\rho} = 1 - \exp\left[ -\frac{2\rho_0^2}{W^2(z)} \right]$$

For  $\rho_0 = W(z)$ ; the ratio is  $\sim 86\%$ ; for  $\rho_0 = 1.5W(z)$  the ratio is  $\sim 99\%$ .

**Beam Radius (width):**

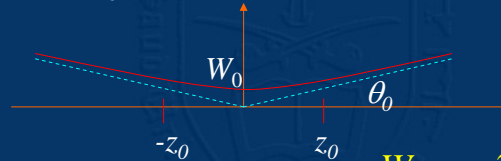
$\therefore$  86% of the power is carried within a circle of  $W(z)$ ;  $W(z)$ ; is regarded as the beam radius. The rms width of the intensity distribution is  $\sigma = W(z)/2$ .

The beam width is governed by

$$W(z) = W_0 \left[ 1 + (z/z_0)^2 \right]^{1/2}$$

$W(z)$  has the minimum value of  $W_0$  at  $z = 0$ , called the beam waist.  $W_0$  is the waist radius;  $2W_0$  is called the spot size. The beam radius increases gradually with  $z$ . At  $z = z_0$ , the beam radius =  $2^{1/2}W_0$ .

At  $z \gg z_0$ , the beam radius  $W(z) = W_0 z/z_0 = \theta_0 z$



$$\theta_0 = \frac{W_0}{z_0}$$

**Beam Divergence :**  $\theta_0 = \frac{W_0}{z_0} = \frac{\lambda}{\pi W_0}$

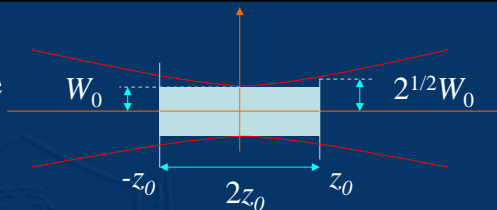
If the waist is squeezed  $\Rightarrow$  the beam diverges;  
A short wavelength and fat beam waist  $\Rightarrow$  a highly directional beam!

**Depth of Focus :**

Defined as twice the Rayleigh range  $2z_0$ .

$$2z_0 = 2\pi W_0^2 / \lambda$$

A small spot size ( $2W_0$ ) and a long depth of focus ( $2z_0$ ) can not be obtained simultaneously unless  $\lambda$  is short.



**Phase :** of the Gaussian beam

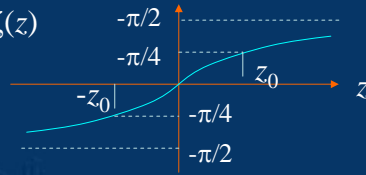
$$U(\mathbf{r}) = A_0 \frac{W_0}{W(z)} \exp\left[-\frac{\rho^2}{W^2(z)}\right] \exp\left[-ikz - ik \frac{\rho^2}{2R(z)} + i\zeta(z)\right]$$

$$\varphi(\rho, z) = kz - \zeta(z) + \frac{k\rho^2}{2R(z)} = -i\varphi(\rho, z)$$

On the beam axis  $\rho = 0 \Rightarrow \varphi(0, z) = kz - \zeta(z)$   
 $kz$ : phase of a plane wave;  $\zeta(z)$ : retardation

$$\zeta(z) = \tan^{-1}(z/z_0)$$

The total accumulated excess  $\zeta(z)$  retardation as the wave travels from  $z = -\infty$  to  $z = \infty$  is  $\pi$ . **Guoy effect**

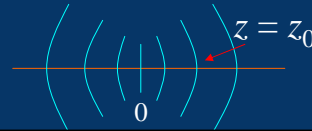
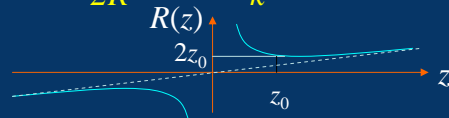


**Wavefronts:** the third component in the above equation is responsible for wavefront bending. The surface of constant phase satisfy

$$\varphi(\rho, z) = kz - \zeta(z) + \frac{k\rho^2}{2R(z)} = 2\pi q$$

$\therefore \zeta(z)$  and  $R(z)$  are relatively slowly varying  $\Rightarrow$   
 $\sim$  constant within the beam radius on each wavefront

$$z + \frac{\rho^2}{2R} = 2\pi q + \frac{\zeta}{k} \quad \text{equation of paraboloidal wave}$$

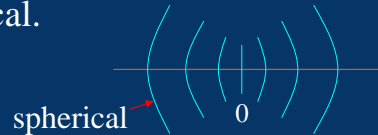


### Properties of the Gaussian Beam at Special Points

- ▶ At the plane  $z = z_0$ , the wave has the following properties
  - (i) the beam radius is  $2^{1/2}$  times greater than the radius at the beam waist, and the area is larger by a factor of 2.
  - (ii) The intensity on the beam axis is 1/2 the peak intensity
  - (iii) The phase on the beam axis is retarded by an angle  $\pi/4$  relative to the phase of a plane wave
  - (iv) The radius of the curvature of the wavefront is the smallest, so that the wavefront has the greatest curvature ( $R = 2z_0$ )
- ▶ Near the beam center. At points for which  $|z| \ll z_0$  and  $\rho \ll W_0$ ,  $\exp[-\rho^2/W^2(z)] \approx \exp[-\rho^2/W_0^2] \approx 1$

so that the beam intensity is approximately constant. Also,  $R(z) \approx z_0^2/z$  and  $\zeta(z) \approx 0$ , so that the phase  $\Rightarrow k[z + \rho^2/2R(z)] = kz[1 + \rho^2/2z_0^2] \approx kz$   
As a result, the wavefronts are approximately planar.  $\Rightarrow$  Gaussian beam  $\sim$  a plane wave near its center.

- ▶ **Far from the beam waist.** At points within the beam-waist radius ( $\rho \ll W_0$ ), but far from the beam waist ( $z \gg z_0$ ) the wave  $\sim$  like a spherical wave. Since  $W(z) \approx W_0 z/z_0 \gg W_0$  and  $\rho < W_0 \Rightarrow \exp[-\rho^2/W^2(z)] \approx 1$  so that the beam intensity is  $\sim$  uniform. Since  $R(z) \sim z$  the wavefronts are approximately spherical.



### Parameters of a Gaussian Laser Beam:

\* A 1-mW He-Ne laser produces a Gaussian beam of wavelength  $\lambda = 633$  nm and a spot size  $2W_0 = 0.1$  mm.

- Angular divergence:  $\theta_0 = W_0/z_0 = \lambda / \pi W_0 = 633 \times 10^{-9} / 3.1416 / 0.05 \times 10^{-3} \approx 4.03 \times 10^{-3}$  rad.
- Depth of focus:  $2z_0 = 2\pi W_0^2 / \lambda = 2 \times 3.1416 \times (0.05 \times 10^{-3})^2 / 633 \times 10^{-9} = 2.48 \times 10^{-2}$  (m) = 2.48 (cm)
- At  $z = 3.5 \times 10^5$  km ( $\sim$  distance to moon), the diameter of the beam  $2W(z) \sim 2\theta_0 z = 2 \times 4.03 \times 10^{-3} \times 3.5 \times 10^5$  km =  $2.821 \times 10^3$  km =  $2.821 \times 10^6$  m
- The radius of curvature  $R(z) = z[1 + (z_0/z)^2]$  ( $z_0 = 1.24$  cm); at  $z = 0$  is  $R(z) = 0$ ;  
at  $z = z_0$  is  $R(z) = 2z_0 = 2.48$  cm;  
at  $z = 2z_0$  is  $R(z) = 2.5z_0 = 3.1$  cm;

➤ Optical intensity at the beam center:  $z = 0, \rho = 0$

$$I(\rho, z) = \frac{2P}{\pi W^2(z)} \exp\left[-\frac{2\rho^2}{W^2(z)}\right]$$

$$I(0, 0) = 2P/\pi W_0^2 = 2 \times 1/\pi/(0.005)^2 \text{ mW/cm}^2 = 25465 \text{ mW/cm}^2$$

$$\therefore W(z_0) = \sqrt{2}W_0$$

$$I(0, z_0) = 2P/\pi W(z_0)^2 = P/\pi W_0^2 = 1/\pi/(0.005)^2 \text{ mW/cm}^2 = 12732 \text{ mW/cm}^2$$

Point source of 100W at  $z = 0$ . At  $z = z_0$ , 100W is distributed over  $4\pi z_0^2$ .

$$\Rightarrow I(z_0) = 100 \times 1000 / 4 / \pi / (1.24)^2 = 5175 \text{ mW/cm}^2.$$

Parameters required to characterize a Gaussian Beam:

- \* Peak amplitude, direction (beam axis), location of its waist, and the waist radius ( $W_0$ ) or the Rayleigh range ( $z_0$ ).

- \*  $q$ -parameter:  $q(z) = z + iz_0$ . If  $q(z) = 3 + i4$  cm at some points on the beam axis  $\Rightarrow$  beam waist lies at a distance  $z = 3$  cm to the point and that the depth of focus is  $2z_0 = 8$  cm.  $q(z)$  is linear on  $z$ ,  $q(z) = q_1$  and  $q(z+d) = q_2 \Rightarrow q_2 = q_1 + d$ . The  $q$ -parameter is sufficient for characterizing a Gaussian beam.

- \* Determination of  $q$ -parameter: measure the beam width,  $W(z)$ , and the radius of curvature,  $R(z)$ , at an arbitrary point on the axis  $\Rightarrow$  using equation

$$\frac{1}{q(z)} = \frac{1}{z + iz_0} = \frac{1}{R(z)} - i \frac{\lambda}{\pi W^2(z)}$$

or solve  $z, z_0$ , and  $W_0$  using the following equations;

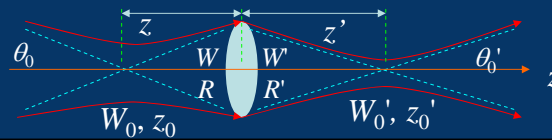
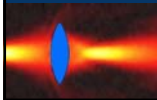
$$R(z) = z[1 + (z_0/z)^2] \quad W(z) = W_0[1 + (z/z_0)^2]^{1/2}$$

$$W_0 = (\lambda z_0 / \pi)^{1/2}$$

$$\begin{aligned} \therefore R &= z[1 + (z_0/z)^2] & W &= (\lambda z_0 / \pi)^{1/2} [1 + (z/z_0)^2]^{1/2} \\ \Rightarrow Rz &= z^2 + z_0^2 & z_0(\pi W^2 / \lambda) &= z_0^2 + z^2 \\ \Rightarrow z_0(\pi W^2 / \lambda) &= Rz & \therefore z_0 &= \sqrt{Rz - z^2} \\ \Rightarrow \sqrt{Rz - z^2}(\pi W^2 / \lambda) &= Rz \\ \Rightarrow z &= \frac{R}{1 + (\lambda R / \pi W^2)^2} & ; W_0 &= \frac{W}{[1 + (\pi W^2 / \lambda R)]^{1/2}} \end{aligned}$$

• Transmission through optical components:

▶ **Transmission through a thin lens:** the complex transmittance of a thin lens of focal length  $f \propto \exp(ik\rho^2/2f)$ ; when a Gaussian beam crosses the lens its complex amplitude is multiplied by this phase factor  $\Rightarrow$  wavefront is bent, but the beam radius is not altered



\* A Gaussian beam centered at  $z = 0$  with waist radius  $W_0$  is transmitted through a thin lens located at  $z$ ; The phase at the plane of the lens is  $kz + k(\rho^2 / 2R) - \zeta$  The phase of the transmitted wave is altered to  $kz + k(\rho^2 / 2R) - \zeta - k(\rho^2 / 2f) = kz + k(\rho^2 / 2R') - \zeta$  where  $1/R' = (1/R) - (1/f)$    
 $R$ : + beam diverging;   
 $R'$ : - beam converging

The transmitted wave is itself a Gaussian beam with width  $W'$  ( $=W$ ) and radius of curvature  $R'$ .

The waist radius of the new beam  $W_0'$  centered at  $z'$ ;

$$W_0' = \frac{W}{[1 + (\pi W^2 / \lambda R')]^{1/2}} \quad - z' = \frac{R'}{1 + (\lambda R' / \pi W^2)^2}$$

- representing waist lies to the right of the lens

Substituting  $R(z)$  and  $W(z)$  into above equation!



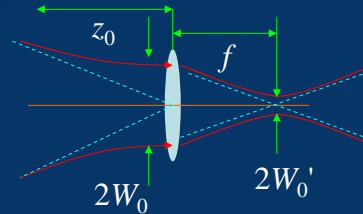
Waist radius	$W_0' = MW_0$
Waist location	$(z' - f) = M^2(z - f)$
Depth of focus	$2z_0' = M^2(2z_0)$
Divergence	$2\theta_0' = 2\theta_0 / M$
Magnification	$M = M_r / (1 + r^2)^{1/2}$
$r = z_0 / (z - f)$	$M_r =  f / (z - f) $

Parameter Transformed by a Lens

Consider the limiting case  $(z-f) \gg z_0$ ;  
 $\Rightarrow r \ll 1 \Rightarrow M \approx M_r \Rightarrow$  equations of ray optics

\* **Beam shaping**

**Beam focusing:** a lens is placed at the waist of a Gaussian beam



The transmitted beam is then focused to a waist radius  $W_0'$  at a distance  $z'$  given by

$$r = -z_0 / f \quad M_r = 1 \quad M = 1 / (1 + (z_0 / f)^2)^{1/2}$$

$$\Rightarrow W_0' = \frac{W_0}{[1 + (z_0 / f)^2]^{1/2}} \quad ; \quad z' = \frac{f}{1 + (f / z_0)^2}$$

If the  $2z_0$  (depth of focus)  $\gg f$  (focal length)

$$\Rightarrow W_0' \approx (f / z_0)W_0 = \lambda f / (\pi W_0) = \theta_0 f; \quad z' \approx f$$

The incident Gaussian beam is well approximated by a plane wave at its waist  $\Rightarrow$  focused at the focal plane!

⚡ **Smallest possible spot size is desired in many applications (laser scanning, laser printing, and laser fusion).**

$$\therefore W_0' \approx \lambda f / (\pi W_0) \quad \text{Smallest } \lambda \text{ and } f; \text{ thickest incident beam}$$

The lens should intercept the incident beam, its diameter  $D$  must be at least  $2W_0$ ; assume  $D = 2W_0$ .  $W_0 \approx \lambda f / (\pi D / 2) \Rightarrow 2W_0 \approx 4\lambda F_{\#} / \pi$

$$F_{\#} = f / D \quad \text{F-number of the lens}$$

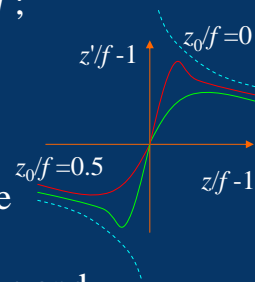
A microscope objective with small  $F$ -number is often used!

**Beam collimation:** a Gaussian beam is transmitted through a thin lens of focal length  $f$ ;

$$\text{From } (z' - f) = M^2(z - f)$$

$$\Rightarrow \frac{z'}{f} - 1 = \frac{z/f - 1}{(z/f - 1)^2 + (z_0/f)^2}$$

For beam collimation,  $z'$  as distance as possible from the lens; achieved by smallest  $z_0/f$  (short depth of focus and long focal length)



For a given ratio of  $z_0/f \Rightarrow$  the optimal value of  $z$  is maximum of  $z'/f$ ; assume  $z/f - 1 = a$  and  $z_0/f = b$ .

$$\frac{\partial}{\partial a} \left( \frac{a}{a^2 + b^2} \right) = 0 \Rightarrow a^2 - b^2 = 0 \Rightarrow z = z_0 + f$$

\* **Reflection from a spherical mirror**

Incident Gaussian beam: width  $W_1$ , roc  $R_1$ ;

Reflected Gaussian beam: width  $W_2$ , roc  $R_2$ ;

The phase of the incident beam is modified by a phase factor  $\exp(-ik\rho^2 / R)$

The relations between  $W_1$ ,  $R_1$ ,  $W_2$ , and  $R_2$  are

$$W_2 = W_1; (1/R_2) = (1/R_1) + (2/R)$$

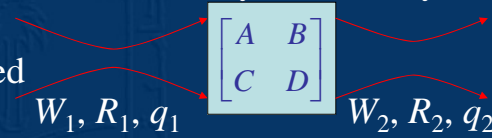
· If the mirror is planar  $\Rightarrow R = \infty \quad R_2 = R_1$

· If  $R_1 = \infty$ , i.e. the beam waist lies on the mirror  
 $R_2 = R/2$

· If  $R_1 = -R$ , i.e. the incident beam has the same curvature as the mirror  $\Rightarrow R_2=R$ .

\* **Transmission through an arbitrary optical system**

Modification of a Gaussian beam by an arbitrary paraxial optical system characterized by a matrix  $M$ .



**The ABCD Law:**

$$q_2 = \frac{Aq_1 + B}{Cq_1 + D}$$

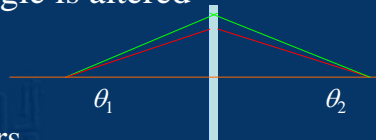
Transmission through free space: distance  $d$  of free space;  $q_2 = q_1 + d \Rightarrow A=1, B=d, C=0, D=1$

$$M = \begin{bmatrix} 1 & d \\ 0 & 1 \end{bmatrix}$$

Transmission through a thin optical component; ray position is the same, angle is altered

$$y_2 = y_1; \theta_2 = C y_1 + D \theta_1$$

$$D = n_1/n_2;$$



In terms of beam parameters

The optical component is thin  $\Rightarrow$  beam width does not change  $\Rightarrow W_1 = W_2$ ;

$$\theta_2 \approx y_2 / R_2; \theta_1 \approx y_1 / R_1 \Rightarrow 1/R_2 = C + D/R_1$$

Paraxial approximation

$$\Rightarrow 1/q_2 = C + D/q_1 \Rightarrow q_2 = q_1 / (Cq_1 + D)$$

$$M = \begin{bmatrix} 1 & 0 \\ C & D \end{bmatrix}$$

The matrix used in chapter could be used in Gaussian beam!

- Hermite-Gaussian Beams:

- ▶ Beam of paraboloidal wavefronts are of importance; The curvature of the wavefronts could match the curvature of spherical mirrors that form a resonator Reflection inside the resonator won't change the curvature of the wavefront.

- ▶ Consider a Gaussian beam of complex envelope

$$A_G(x, y, z) = \frac{A_1}{q(z)} \exp\left[-ik \frac{x^2 + y^2}{2q(z)}\right] ; q(z) = z + iz_0$$

- ▶ Consider a second wave whose complex envelope

$$A(x, y, z) = \mathcal{X}\left[\frac{\sqrt{2}x}{W(z)}\right] \mathcal{Y}\left[\frac{\sqrt{2}y}{W(z)}\right] \exp[iZ(z)] A_G(x, y, z)$$

$\mathcal{X}(\cdot); \mathcal{Y}(\cdot); Z(\cdot)$  are real functions

- Except for an excess phase of  $Z(z)$ , the phase of the of the wave have the same phase as that of the underlying Gaussian wave!

- The magnitude

$$(A_1/iz_0) \mathcal{X}\left[\frac{\sqrt{2}x}{W(z)}\right] \mathcal{Y}\left[\frac{\sqrt{2}y}{W(z)}\right] \left[\frac{W_0}{W(z)}\right] \exp\left[-\frac{x^2 + y^2}{W^2(z)}\right]$$

Function of  $x/W(z)$  and  $y/W(z)$  whose width in the  $x$  and  $y$  directions vary with  $z$  in accordance with the same scaling factor  $W(z)$ . As  $z$  increase, the intensity distribution in the transverse plane remains fixed (except for a magnification factor  $W(z)$ ). => Gaussian function modulated in the  $x$  and  $y$  directions.

The existence of this wave is assured if three real function  $\mathcal{X}(\cdot); \mathcal{Y}(\cdot); Z(\cdot)$  could be found such that  $A(x, y, z)$  satisfies the paraxial Helmholtz equation.

Defining  $u = \sqrt{2}x/W(z)$  and  $v = \sqrt{2}y/W(z)$

$$\frac{1}{x} \left( \frac{\partial^2 x}{\partial u^2} - 2u \frac{\partial x}{\partial u} \right) + \frac{1}{y} \left( \frac{\partial^2 y}{\partial u^2} - 2u \frac{\partial y}{\partial u} \right) + kW^2(z) \frac{\partial Z}{\partial z} = 0$$

∴ Three independent variables => assume the first term =  $-\mu_1$ , the second term =  $-\mu_2$ , => the third term =  $\mu_1 + \mu_2$ ,

$$\frac{\partial^2 x}{\partial u^2} - 2u \frac{\partial x}{\partial u} = -\mu_1 x \quad (a) \quad \frac{\partial^2 y}{\partial u^2} - 2u \frac{\partial y}{\partial u} = -\mu_2 y \quad (b)$$

$$kW^2(z) \frac{\partial Z}{\partial z} = z_0 \left[ 1 + (z/z_0)^2 \right] \frac{\partial Z}{\partial z} = \mu_1 + \mu_2 \quad (c)$$

Equation (a) represents an eigenvalue problem whose eigenvalues are  $\mu_1 = l = 0, 1, 2, \dots$  and whose eigenfunctions are the Hermite polynomials ( $H_l(u)$ )

$$x(u) = H_l(u)$$

Hermite polynomials is defined by the recurrence relation

$$H_{l+1}(u) = 2uH_l(u) - 2lH_{l-1}(u) \quad H_0(u) = 1; H_1(u) = 2u$$

$$\Rightarrow H_2(u) = 4u^2 - 2; H_3(u) = 8u^3 - 12u; \dots$$

Similarly, solution for equation (b) is (let  $\mu_2 = m$ )

$$y(v) = H_m(v)$$

Solution for equation (c) is (with  $\mu_1 + \mu_2 = l + m$ )

$$Z(z) = (l + m)\zeta(z)$$

Substitute all the solution into  $A(x, y, z)$  and multiplying by the phase factor  $\exp(-ikz)$

$$\Rightarrow U_{l,m}(x, y, z)$$

$$U_{l,m}(x, y, z) = A_{l,m} \left[ \frac{W_0}{W(z)} \right] G_l \left[ \frac{\sqrt{2}x}{W(z)} \right] G_m \left[ \frac{\sqrt{2}y}{W(z)} \right]$$

$$\times \exp \left[ -ikz - ik \frac{x^2 + y^2}{2R(z)} + i(l + m + 1)\zeta(z) \right]$$

$$\text{where } G_l(u) = H_l(u) \exp(-u^2/2)$$

**Hermite-Gaussian function**

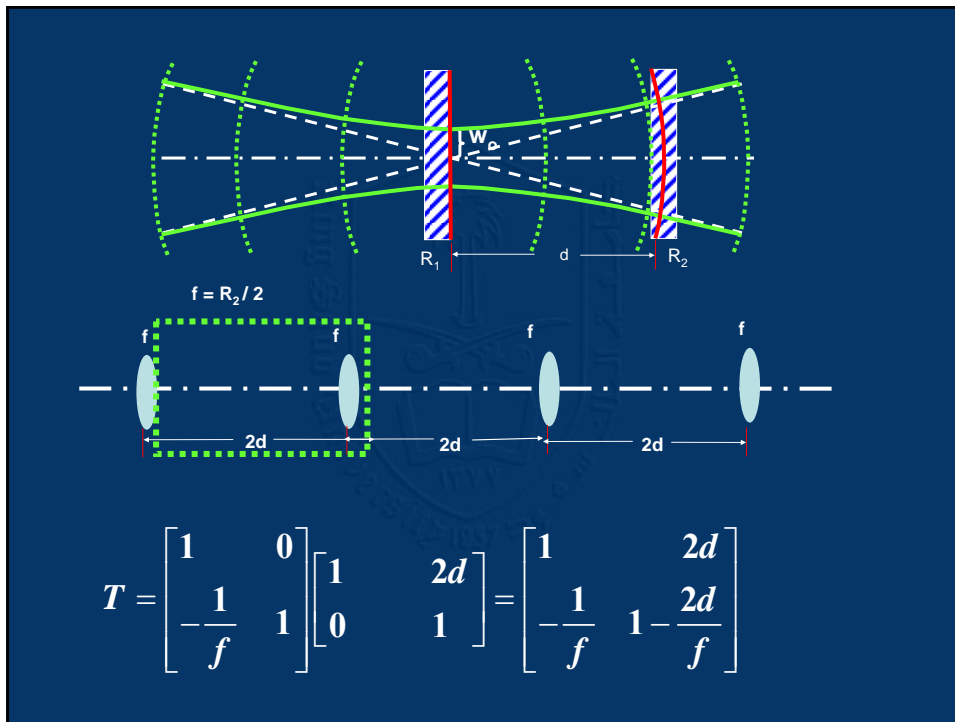
· Intensity distribution

$$I_{l,m}(x, y, z) = |A_{l,m}|^2 \left[ \frac{W_0}{W(z)} \right]^2 G_l^2 \left[ \frac{\sqrt{2}x}{W(z)} \right] G_m^2 \left[ \frac{\sqrt{2}y}{W(z)} \right]$$

(0,0)    (0,1)    (0,2)    (1,1)

Find the formula for the spot size of a TEM<sub>00q</sub> mode at the spherical mirror of Fig. 5.1 by following the procedure:

(a) Show an equivalent-lens waveguide for this cavity and identify a unit cell such that the ABCD law will yield the spot size on the spherical mirror directly.



$$T = \begin{bmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{bmatrix} \begin{bmatrix} 1 & 2d \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 2d \\ -\frac{1}{f} & 1 - \frac{2d}{f} \end{bmatrix} = \begin{bmatrix} A & B \\ C & D \end{bmatrix}$$

$$\frac{\pi w_1^2(z_1)}{\lambda_o} = \frac{B}{\left[ 1 - \left( \frac{A+D}{2} \right)^2 \right]^{\frac{1}{2}}} = \frac{2d}{\left[ 1 - \left( \frac{2 - \frac{2d}{f}}{2} \right)^2 \right]^{\frac{1}{2}}}$$

$$= \frac{2d}{\left[ \frac{2d}{f} - \left( \frac{d}{f} \right)^2 \right]^{\frac{1}{2}}}$$

### Confocal Resonator

Stability:  $0 \leq \frac{A + D + 2}{4} < 1$

### Confocal resonator

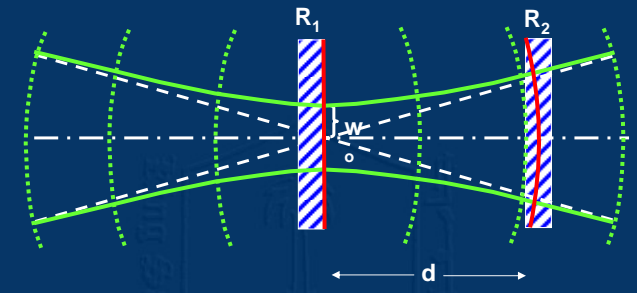
$$\left\{ \begin{bmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{bmatrix} \begin{bmatrix} 1 & 2d \\ 0 & 1 \end{bmatrix} \right\}^2 = \begin{bmatrix} 1 & 2d \\ -\frac{1}{f} & 1 - \frac{2d}{f} \end{bmatrix} =$$

$$0 \leq \frac{A+D+2}{4} \leq 1 \quad 0 \leq \frac{4 - \frac{8d}{f} + \frac{4d^2}{f^2}}{4} \leq 1 \quad 0 \leq \left(1 - \frac{d}{f}\right)^2 \leq 1$$

$$R = 2f \quad 0 \leq \left(1 - \frac{2d}{R}\right)^2 \leq 1 \quad \text{so } 2d = R \text{ gives } \frac{A+D+2}{4} = 0$$

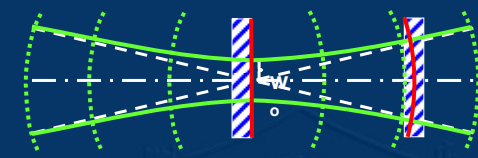
*and the resonator is stable, but located at the edge of a stable region*





$$R(z) = z \left[ 1 + \left( \frac{z_0}{z} \right)^2 \right] \quad R(d) = R_2 = d \left[ 1 + \left( \frac{z_0}{d} \right)^2 \right]$$

$$w^2(z) = w_0^2 \left[ 1 + \left( \frac{z_0}{z} \right)^2 \right]$$

$$z_0 = \frac{\pi \omega_0^2}{\lambda_0} \quad z_0 = (dR_2)^{\frac{1}{2}} \left( 1 - \frac{d}{R_2} \right)^{\frac{1}{2}}$$


$$\frac{\pi w^2(d)}{\lambda_0} = \frac{\pi w_0^2}{\lambda_0} \left[ 1 + \left( \frac{d}{z_0} \right)^2 \right]$$

$$\frac{\pi w^2(d)}{\lambda_0} = (dR_2)^{\frac{1}{2}} \left( 1 - \frac{d}{R_2} \right)^{\frac{1}{2}} \left[ 1 + \frac{d^2}{dR_2(1 - d/R_2)} \right]$$

$$= \frac{(dR_2)^{\frac{1}{2}}}{(1 - d/R_2)^{\frac{1}{2}}}$$

A cavity mode is a field distribution that reproduces itself in relative shape and in relative phase after a round trip through the system



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