



Colocality and twisted sums of Banach spaces

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Abstract

Using the relation between subspaces of Banach spaces and quotients of their duals, we introduce the concept of colocality to give a new method that guarantees the existence of nontrivial twisted sums in which finite quotients play a major role (Theorem 1.7). An interesting point is that no restrictions are imposed on the quotients, only on the various subspaces. New examples of nontrivial twisted sums are given.

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0. Introduction

A **short exact sequence** is a diagram $0 \rightarrow Y \xrightarrow{i} X \xrightarrow{q} Z \rightarrow 0$ of quasi Banach spaces and bounded linear operators such that the kernel of each arrow coincides with the image of the preceding one. The open mapping theorem implies that X contains $i(Y)$ and the quotient $X/i(Y)$ is isomorphic to Z . We shall also say that X is a **twisted sum** of Y and Z (or that X is an **extension of Y by Z**). The twisted sum X is said to be **trivial** if $i(Y)$ is complemented in X ; otherwise, X is **nontrivial**.

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Two exact sequences $0 \rightarrow Y \rightarrow X_1 \rightarrow Z \rightarrow 0$ and $0 \rightarrow Y \rightarrow X_2 \rightarrow Z \rightarrow 0$ are said to be **equivalent** if there is a bounded linear operator T making the diagram

$$\begin{array}{ccccccc}
 0 & \longrightarrow & Y & \longrightarrow & X_1 & \longrightarrow & Z \longrightarrow 0 \\
 & & \parallel & & \downarrow T & & \parallel \\
 0 & \longrightarrow & Y & \longrightarrow & X_2 & \longrightarrow & Z \longrightarrow 0
 \end{array}$$

commutative. The three lemma and the open mapping theorem imply that T must be an isomorphism, Cabello and Castillo [2, p. 525]. An exact sequence $0 \rightarrow Y \rightarrow X \rightarrow Z \rightarrow 0$ is said to **split** if it is equivalent to the trivial sequence $0 \rightarrow Y \rightarrow Y \oplus Z \rightarrow Z \rightarrow 0$. We denote by $\text{Ext}(Z, Y)$ the space of all equivalence classes of locally convex twisted sums of Y and Z . Thus $\text{Ext}(Z, Y) = 0$ means that all locally convex twisted sums of Y and Z are equivalent to the direct sum $Y \oplus Z$. An operator $T : X \rightarrow Y$ of Banach spaces is an **isomorphism** if it is an invertible bounded linear map, T is an **isometry** if $\|Tx\| = \|x\|$ for every $x \in X$, it is a **λ -isomorphism**, $\lambda > 1$, if T is an isomorphism and $\|T\| < \lambda$, $\|T^{-1}\| < \lambda$, Heinrich [8, II.6]. We denote by $BL(X, Y)$ the space of all bounded linear maps of X into Y . The **distance** between two homogeneous maps T_1 and T_2 acting between the same spaces is given by

$$\text{dist}(T_1, T_2) = \sup\{\|T_1x - T_2x\| : \|x\| \leq 1\}.$$

We note that bounded maps are those maps at finite distance from the zero map, also it should be kept in mind that linear maps are not assumed to be bounded. A homogeneous map $F : Z \rightarrow Y$ acting between two Banach spaces is said to be **z -linear** if it satisfies, for some constant k and all $z_i \in Z$,

$$\left\| F\left(\sum_{i=1}^n z_i\right) - \sum_{i=1}^n F(z_i) \right\| \leq k \left(\sum_{i=1}^n \|z_i\| \right).$$

The infimum of the constants k satisfying the above inequality is denoted by $Z(F)$ and is called the **z -linearity constant** of F . Every z -linear map is quasi-linear, however, the converse is not true (cf. [1, p. 7]). Given a quasi-linear map $F : Z \rightarrow Y$, it is possible to construct a twisted sum of Y and Z , denoted by $Y \oplus_F Z$, endowing the product space $Y \times Z$ with the quasi-norm $\|(y, z)\| = \|y - F(z)\| + \|z\|$. On the other hand, given a short exact sequences $0 \rightarrow Y \rightarrow X \rightarrow Z \rightarrow 0$, a quasi-linear map $F : Z \rightarrow Y$ can be obtained such that X is equivalent to $Y \oplus_F Z$, Kalton and Peck [11, Theorem 2.4 and p. 5]. A quasi-linear map $F : Z \rightarrow Y$ is said to be **trivial** if the twisted sum $Y \oplus_F Z$ is trivial, equivalently, F is at finite distance from a linear map $L : Z \rightarrow Y$, Kalton [10, Proposition 3.3]. Two quasi-linear maps F and G of a Banach space Z into a Banach space Y are said to be **equivalent** if the corresponding exact sequences $0 \rightarrow Y \rightarrow Y \oplus_F Z \rightarrow Z \rightarrow 0$ and $0 \rightarrow Y \rightarrow Y \oplus_G Z \rightarrow Z \rightarrow 0$ are equivalent. A quasi-linear map $F : Z \rightarrow Y$ is z -linear if and only if the twisted sum $Y \oplus_F Z$ is locally convex, Cabello and Castillo [1, Theorem 2] (see also Castillo and González [4, 1.6.e]).

Throughout this paper \mathcal{E} and \mathcal{F} denote families of finite dimensional Banach spaces and \mathcal{E}^* denotes the family of the duals of the spaces in \mathcal{E} .

1. Colocality and twisted sums

Given a family \mathcal{E} of finite dimensional Banach spaces, a Banach space X is said to **contain \mathcal{E} uniformly complemented** if there exists a constant c such that for every $E \in \mathcal{E}$, there is a c -complemented subspace A of X which is c -isomorphic to E . It is clear that X contains \mathcal{E}

uniformly complemented if and only if its second dual X^{**} does. A Banach space X is said to be λ -**locally** \mathcal{E} (or, if no quantitative is needed, **locally** \mathcal{E}) if there exists a constant $\lambda > 1$ such that every finite dimensional subspace A of X is contained in a finite dimensional subspace B of X such that $d_{BM}(B, E) < \lambda$, for some $E \in \mathcal{E}$, where $d_{BM}(B, E)$ is the **Banach–Mazur distance** between B and E , and is defined by $d_{BM}(B, E) = \inf\{\|T\| \|T^{-1}\|; T : X \rightarrow Y \text{ is an isomorphism of } X \text{ onto } Y\}$, Cabello and Castillo [2]. If $\mathcal{E} = \{\ell_p^n\}_{n=1}^\infty$, then X is an \mathcal{L}_p -**space**, Lindenstrauss and Rosenthal [12]. The locality of a family is used to determine the existence of nontrivial twisted sums of certain Banach spaces, in fact, if Y is a Banach space complemented in its bidual, and if all the locally convex twisted sums of Y and some Banach space W containing a family \mathcal{E} uniformly complemented are trivial, then $\text{Ext}(Z, Y) = 0$ for every Banach space Z which is locally \mathcal{E} , Cabello and Castillo [2, Theorem 2]. Using this fact, it is shown that there is a nontrivial twisted sums of ℓ_1 and ℓ_2 , of ℓ_2 and c_0 , and that $\text{Ext}(c_0, \ell_1) \neq 0$, Cabello and Castillo [2, Examples 4.1, 4.2 and 4.3].

Since subspaces of Banach spaces are related to quotients of their duals, and vice versa, as we recall that if $G = X/D$ is a quotient of a Banach space X , then $G^* = (X/D)^* = D^\perp$ is a subspace of its dual X^* , and if B is w^* -closed in X^* then $B = (B_\perp)^\perp = (X/B_\perp)^*$ so that $B^* = (X/B_\perp)^{**} = X/B_\perp$ is a quotient of X , where D^\perp and B_\perp denote the annihilators of D and B (see Rudin [13, 4.7, 4.8]), it is then natural to introduce an analogous notion of locality which involves quotients, and then study the existence of nontrivial twisted sums. We say that a Banach space X is λ -**colocally** \mathcal{E} (or **colocally** \mathcal{E}) if there exists a constant $\lambda > 1$ such that every finite dimensional quotient A of X is a quotient of another finite dimensional quotient B of X satisfying $d_{BM}(B, E) < \lambda$ for some $E \in \mathcal{E}$. For example, the space $L_p(\mu)$, for any measure μ , is both locally and colocally $\{\ell_p^n\}_{n=1}^\infty$ (cf. Corollary 1.2).

Theorem 1.1. *Let X be a Banach space, then:*

- (i) X is λ -colocally \mathcal{E} if and only if X^* is λ -locally \mathcal{E}^* .
- (ii) If X^{**} is λ -locally \mathcal{E} (respectively λ -colocally \mathcal{E}) then X is c -locally \mathcal{E} (respectively c -colocally \mathcal{E}) for every $c > \lambda$.

Proof. (i) Suppose that X is λ -colocally \mathcal{E} , and let B be a finite dimensional subspace of X^* . Then B^* , being a quotient of X , is a quotient of a finite dimensional quotient G of X such that $d_{BM}(G, E) < \lambda$ for some $E \in \mathcal{E}$. Therefore, $B = B^{**}$ is a subspace of $G^* \subseteq X^*$ and $d_{BM}(G^*, E^*) < \lambda$, so that X^* is λ -locally \mathcal{E}^* . Conversely, suppose that X^* is λ -locally \mathcal{E}^* and let G be a finite dimensional quotient of X , then G^* is a subspace of X^* , and so it is contained in a finite dimensional subspace B of X^* such that $d_{BM}(B, E^*) < \lambda$ for some $E \in \mathcal{E}$. Hence $G \cong G^{**}$ is a quotient of B^* which is a quotient of X , that is X is λ -colocally \mathcal{E} .

(ii) Suppose that X^{**} is λ -locally $\mathcal{E} = \mathcal{E}^{**}$, then X^* is λ -colocally \mathcal{E}^* by (i). Let A be a finite dimensional subspace of X , then A^* is a quotient of a finite dimensional quotient G of X^* such that $d_{BM}(G, E^*) < \lambda$ for some $E^* \in \mathcal{E}^*$. If $c > \lambda$ is given, then by the principle of local reflexivity there is an isomorphism $T : G^* \rightarrow X$ such that $Tx = x$ for all $x \in G^* \cap X$ and $\|T\| \|T^{-1}\| \leq c/\lambda$, Johnson et al. [9]. Therefore, $A \hookrightarrow T(G^*) \hookrightarrow X$ and $d_{BM}(T(G^*), E) < c$, since $A = A^{**} \hookrightarrow G^* \hookrightarrow X^{**}$, proving that X is c -locally \mathcal{E} . Using the same argument, we prove the respective result. \square

From Theorem 1.1 and the fact that a Banach space X is an \mathcal{L}_p -space, $1 \leq p \leq \infty$, if and only if X^* is an \mathcal{L}_q -space, where q is the conjugate of p , Lindenstrauss and Rosenthal [12, Theorem III(a)], we have the following:

Corollary 1.2. *A Banach space X is an \mathcal{L}_p -space, $1 \leq p \leq \infty$, if and only if it is colocally $\{\ell_p^n\}_{n=1}^\infty$.*

Lemma 1.3. *Let X be a Banach space and let A be an n -dimensional subspace of X with Auerbach basis $\{a_i: 1 \leq i \leq n\}$. If for some $0 < \varepsilon < \frac{1}{2n}$ there is a finite dimensional subspace B of X such that for every $i \in \{1, \dots, n\}$, there is an element $b_i \in B$ with $\|a_i - b_i\| < \varepsilon$, then there is an isomorphism $T: X \rightarrow X$ such that $T(A) \subseteq B$ and $\|I - T\| \leq n\varepsilon$. In particular, for any subspace C of X containing B , there is a subspace D of X containing A such that $d_{BM}(C, D) < \frac{1+n\varepsilon}{1-n\varepsilon}$.*

Proof. Let $\{a_i^*: 1 \leq i \leq n\}$ be the dual basis of $\{a_i: 1 \leq i \leq n\}$ and define $T: X \rightarrow X$ by

$$Tx = x + \sum_{i=1}^n a_i^*(x)(b_i - a_i), \quad x \in X.$$

Then it is easy to see that T is linear and

$$\|Tx - x\| \leq n\varepsilon\|x\|, \quad (1 - n\varepsilon)\|x\| \leq \|Tx\|.$$

Therefore, T is an isomorphism into X with $\|I - T\| \leq n\varepsilon$. Since $Ta_i = b_i$, $T(A) \subseteq B$. Now if B is contained in a subspace C of X , taking $D = T^{-1}(C)$, we see that $A \subseteq D$, and the conclusion follows. \square

Recall that a family $\mathcal{E} = \{E_\alpha\}_{\alpha \in \Lambda}$ of finite dimensional Banach spaces is said to be **ordered** if $E_\alpha \subseteq E_\beta$, for every α and β in the totally ordered set Λ such that $\alpha \leq \beta$.

Lemma 1.4. *Let X be a Banach space and let $E = \{E_\alpha\}_\alpha$ be an ordered family of finite dimensional subspaces of X such that $\bigcup E_\alpha$ is dense in X . Then X is $(1 + \epsilon)$ -locally E for every $\epsilon > 0$.*

Proof. Let $\epsilon > 0$ and let A be an n -dimensional subspace of X and choose $\epsilon_A = \min\{\epsilon, \frac{1}{2n}\}$. Let $\{a_1, a_2, \dots, a_n\}$ be an $\frac{\delta}{2}$ -net of the unit sphere S_A of A , where $0 < \delta < \frac{\epsilon_A}{(2+\epsilon_A)n}$. Now there exist elements b_1, b_2, \dots, b_n in $\bigcup E_\alpha$ such that $\|b_i - a_i\| < \frac{\delta}{2}$, since $\bigcup E_\alpha$ is dense in X . Let $B = \text{span}\{b_1, b_2, \dots, b_n\}$, then there is some $E \in \mathcal{E}$ that contains B since \mathcal{E} is an ordered family of finite dimensional subspaces of X . It follows that for every $a \in A$ such that $\|a\| = 1$ there is $i \in \{1, 2, \dots, n\}$ such that $\|a - b_i\| < \delta$. Hence by Lemma 1.3 there is a subspace D of X , $D \supseteq A$ such that $d_{BM}(E, D) < \frac{1+n\delta}{1-n\delta} < 1 + \epsilon_A < 1 + \epsilon$, and this proves the result. \square

A Schauder basis $\{x_i\}_{i=1}^\infty$ of a Banach space X is said to be **shrinking** if the sequence $\{x_i^*\}_{i=1}^\infty$ of the biorthogonal functionals of $\{x_i\}_{i=1}^\infty$ is a basis of X^* , Singer [14].

Theorem 1.5. *If $\{x_i\}_{i=1}^\infty$ is a shrinking Schauder basis of a Banach space X and $X_n = \text{span}\{x_1, x_2, \dots, x_n\}$, then X is locally and colocally the family $\{X_n\}$.*

Proof. By Lemma 1.4, X is locally $\{X_n\}$. Since $\{x_i^*\}_{i=1}^\infty$ is a basis of X^* then for any $\epsilon > 0$, X^* is $(1 + \epsilon)$ -locally $\{Y_n\}_n$, where $Y_n = \text{span}\{x_1^*, x_2^*, \dots, x_n^*\}$. It is clear that $X_n^* = \text{span}\{z_1, z_2, \dots, z_n\}$,

where z_i is the restriction of x_i^* to X_n . So, to complete the proof it is enough to show that there is a constant c such that for every n the restriction map $\Psi_n : Y_n \rightarrow X_n^*$ given by $\Psi_n(x_i^*) = z_i$, is a c -isomorphism. Let $x = \sum_{i=1}^\infty a_i x_i$ be an element in X and let $f = \sum_{i=1}^n b_i x_i^* \in Y_n$ then $f(x) = f(b_x)$ where $b_x = \sum_{i=1}^n a_i x_i$. If c is the basis constant, that is $\|\sum_{i=1}^n a_i x_i\| \leq c \|\sum_{i=1}^\infty a_i x_i\|$ for any $n \in \mathbb{N}$, then

$$\|f\| = \sup_{\substack{x \in X \\ x \neq 0}} \frac{\|f(x)\|}{\|x\|} \leq c \sup_{\substack{x \in X \\ x \neq 0}} \frac{\|f(b_x)\|}{\|b_x\|} = c \sup_{\substack{x \in X_n \\ x \neq 0}} \frac{\|f(x)\|}{\|x\|} = c \|\Psi_n(f)\|,$$

so $\|\Psi_n^{-1}\| \leq c$. Since $\|\Psi_n\| \leq 1$, Ψ_n is a c -isomorphism. Hence X^* is locally $\{X_n^*\}$ and thus the conclusion follows by Theorem 1.1. \square

Our next result is the analogue of [2, Theorem 2], in which we prove that nontrivial twisted sums can be derived with no restrictions imposed on the quotient space of the resulting twisted sum. But first we have the following:

Lemma 1.6. *Let Y, W be Banach spaces and let \mathcal{E} be a family of finite dimensional Banach spaces such that W contains \mathcal{E} uniformly complemented. If $\text{Ext}(Y, W) = 0$, then there is a constant $c \geq 1$ such that for every $E \in \mathcal{E}$ and every z -linear map $F : Y \rightarrow E$ there is a linear map $L : Y \rightarrow E$ with $\text{dist}(F, L) \leq cZ(F)$.*

Proof. Let $E \in \mathcal{E}$ and let $F : Y \rightarrow E$ be a z -linear map. Since W contains \mathcal{E} uniformly complemented there is a k -complemented subspace B of W and a k -isomorphism T of E onto B , and hence there is a linear map $\tilde{L} : Y \rightarrow W$ and a constant t such that $\text{dist}(TF, \tilde{L}) \leq tZ(TF)$ since the composition map $Y \xrightarrow{F} E \xrightarrow{T} B \hookrightarrow W$ is obviously z -linear and $\text{Ext}(Y, W) = 0$, Kalton [10, Proposition 3.3(iii)]. If p_B is a k -projection of W onto B , then for every $y \in Y$ we have

$$\begin{aligned} \|F(y) - T^{-1}p_B\tilde{L}(y)\| &= \|T^{-1}TF(y) - T^{-1}p_B\tilde{L}(y)\| \\ &\leq \|T^{-1}\| \|TF(y) - p_B\tilde{L}(y)\| \\ &= k \|p_BTF(y) - p_B\tilde{L}(y)\| \\ &\leq k \|p_B\| \|TF(y) - \tilde{L}(y)\| \\ &\leq k^2 t Z(TF) \\ &\leq k^2 \|T\| t Z(F) \\ &\leq k^3 t Z(F), \end{aligned}$$

which implies that $\text{dist}(F, T^{-1}p_B\tilde{L}) \leq k^3 t Z(F)$. The proof is completed by putting $L = T^{-1}p_B\tilde{L}$ and $c = k^3 t$. \square

Theorem 1.7. *Let \mathcal{E} be a family of finite dimensional Banach spaces and let W be a Banach space containing \mathcal{E} uniformly complemented. If Y is a Banach space such that $\text{Ext}(Y, W) = 0$, then $\text{Ext}(Y, Z) = 0$ for every Banach space Z complemented in its bidual and colocally \mathcal{E} .*

Proof. Let Z be a Banach space complemented in its bidual and λ -colocally \mathcal{E} for some $\lambda > 1$, and let $F : Y \rightarrow Z$ be a z -linear map. We shall show that F is trivial by constructing a linear map $L : Y \rightarrow Z^{**}$ such that $\text{dist}(F, L) < \infty$. So, let \mathcal{C} be the net of all finite codimensional subspaces

A of Z directed by reverse inclusion, then for every $A \in \mathcal{C}$, there is $B_A \in \mathcal{C}$ and $E_A \in \mathcal{E}$ such that Z/A is a quotient of Z/B_A and $d_{BM}(Z/B_A, E_A) < \lambda$. Let $T_A : Z/B_A \rightarrow E_A$ be a λ -isomorphism and let $q_A : Z \rightarrow Z/B_A$ be the canonical quotient map. Hence the composition map $Y \xrightarrow{F} Z \xrightarrow{q_A} Z/B_A \xrightarrow{T_A} E_A$ is obviously a z -linear map. Since $\text{Ext}(Y, W) = 0$ then there is a constant $c \geq 1$ (does not depend on E_A) and a linear map $L_A : Y \rightarrow E_A$ such that $\text{dist}(T_A q_A F, L_A) \leq cZ(F)$ by Lemma 1.6. Therefore

$$\|q_A F(y) - T_A^{-1} L_A(y)\| \leq c\lambda Z(F)\|y\|, \quad y \in Y.$$

Let \mathcal{U} be an ultrafilter which refines the corresponding order filter on \mathcal{C} and note that $\{A^\perp : A \in \mathcal{C}\}$ is the net of all finite dimensional subspaces of Z^* , and $A^\perp \subseteq B^\perp$ when $B \subseteq A$, $A, B \in \mathcal{C}$. By Diestel [6, 8.8] and Rudin [13, 4.7, 4.8], there is a canonical isometric embedding

$$J : Z^* \rightarrow \left(\prod_{A \in \mathcal{C}} A^\perp\right)_\mathcal{U} \subseteq \left(\prod_{A \in \mathcal{C}} B_A^\perp\right)_\mathcal{U} \equiv \left(\prod_{A \in \mathcal{C}} (Z/B_A)^*\right)_\mathcal{U}$$

given by $J(f) = (f_A)_\mathcal{U}$, $f \in Z^*$, where $f_A = f$ if $f \in B^\perp$ and $f_A = 0$ otherwise. Therefore, by setting

$$(f_A)_\mathcal{U}((z + B_A)_\mathcal{U}) = \lim_{\mathcal{U}} (f_A(z + B_A)),$$

$(\prod_{A \in \mathcal{C}} (Z/B_A)^*)_\mathcal{U}$ embeds isometrically into $(\prod_{A \in \mathcal{C}} (Z/B_A)^*)_\mathcal{U}$ (see Diestel [6, 8.3]), where the norm satisfies $\|(f_A)_\mathcal{U}\| = \lim_{\mathcal{U}} \|f_A\|$ and so we have

$$J : Z^* \rightarrow \left(\prod_{A \in \mathcal{C}} B_A^\perp\right)_\mathcal{U} \equiv \left(\prod_{A \in \mathcal{C}} (Z/B_A)^*\right)_\mathcal{U} \hookrightarrow \left(\prod_{A \in \mathcal{C}} (Z/B_A)^*\right)_\mathcal{U}.$$

If $Q : (\prod_{A \in \mathcal{C}} (Z/B_A)^*)_\mathcal{U} \rightarrow Z^{**}$ is the restriction of the adjoint operator

$$J^* : \left(\prod_{A \in \mathcal{C}} (Z/B_A)^*\right)_\mathcal{U}^{**} \rightarrow Z^{**}$$

then

$$(Q((z + B_A)_\mathcal{U}))(f) = (J(f))((z + B_A)_\mathcal{U}) = \lim_{\mathcal{U}} f_A(z + B_A) = \lim_{\mathcal{U}} (f_A(z)),$$

for every $f \in Z^*$ and $(z + B)_\mathcal{U} \in (\prod_{A \in \mathcal{C}} (Z/B_A)^*)_\mathcal{U}$. Thus, if $f \in Z^*$ and $y \in Y$,

$$(Q((q_A F(y))_\mathcal{U}))(f) = \lim_{\mathcal{U}} (f_A(F(y))) = f(F(y)) = F(y)(f).$$

That is, $Q((q_A F(y))_\mathcal{U}) = F(y)$ for every $y \in Y$. Now define $L : Y \rightarrow Z^{**}$ by $L(y) = Q((T_A^{-1} L_A(y))_\mathcal{U})$, and let $\pi : Z^{**} \rightarrow Z$ be a bounded projection of Z^{**} onto Z , then $\pi \circ L$ is a linear map from Y into Z with

$$\begin{aligned} \|F(y) - L(y)\| &= \|Q((q_A F(y))_\mathcal{U}) - Q(T_A^{-1} L_A(y))_\mathcal{U}\| \\ &\leq \|Q\| \lim_{\mathcal{U}} \|q_A F(y) - T_A^{-1} L_A(y)\| \\ &\leq c\lambda Z(F)\|Q\|\|y\|. \end{aligned}$$

Hence, $\text{dist}(F, \pi L) < \infty$. That is, F is trivial, by Kalton and Peck [11, Theorem 2.5], and the theorem is proved. \square

Note that if the bidual X^{**} of a Banach space X has a shrinking basis $\{x_i\}_{i=1}^\infty$, then it is colocally $\{X_n\}$, by Theorem 1.5, where $X_n = \text{span}\{x_1, x_2, \dots, x_n\}$. Therefore, if Y is a Banach space such that $\text{Ext}(Y, X) = 0$, then $\text{Ext}(Y, X^{**}) = 0$, by Theorem 1.7.

The next result follows directly from Theorem 1.7.

Corollary 1.8. *Let X be a Banach space, then $\text{Ext}(X, \ell_1) = 0$ if and only if $\text{Ext}(X, L_1(\mu)) = 0$ for every measure μ .*

It is important to note that $\text{Ext}(X, \ell_1) = 0$ does not imply that $\text{Ext}(X, \mathcal{L}_1) = 0$ for every \mathcal{L}_1 -space as the canonical projective presentation $0 \rightarrow Y \rightarrow \ell_1 \rightarrow L_1(0, 1) \rightarrow 0$ shows.

The proof of the following known fact is immediate by Theorem 1.7.

Corollary 1.9. *If a Banach space Z is locally $\{\ell_\infty^n\}_n$ and complemented in its bidual, then $\text{Ext}(Y, Z) = 0$ for any Banach space Y .*

Proof. Since $\text{Ext}(Y, \ell_\infty) = 0$ for any Banach space Y , Diestel [5, Chapter VII, Theorem 3], then $\text{Ext}(Y, Z) = 0$ for any Banach space Z complemented in its bidual and colocally $\{\ell_\infty^n\}_n$, by Theorem 1.7, that is locally $\{\ell_\infty^n\}_n$ by Corollary 1.2. \square

Lemma 1.10. *Let Y and Z be Banach spaces, then $BL(Y, Z^*) = BL(Z, Y^*)$.*

Proof. Define $\Psi : BL(Y, Z^*) \rightarrow BL(Z, Y^*)$ by $\Psi(T) = T^* \circ i$, where $T \in BL(Y, Z^*)$, $i : Z \rightarrow Z^{**}$ is the inclusion map and $T^* \in BL(Z^{**}, Y^*)$ is the adjoint operator of T . Clearly Ψ is a bounded linear map. To show that Ψ is an isometry, let $T \in BL(Y, Z^*)$, and let g be an element in the unit ball $(Z^{**})_1$ of Z^{**} . Then $g = w^*\text{-lim } z_n$, for some sequence (z_n) in $(Z)_1$ since $(Z)_1$ is w^* -dense in $(Z^{**})_1$. Now T^* is w^* -continuous hence

$$\|T^*(g)\| = \|w^*\text{-lim } T^* \circ i(z_n)\| \leq \|T^* \circ i\| \lim \|z_n\| = \|T^* \circ i\| \|g\|.$$

Therefore $\|T\| = \|T^*\| \leq \|T^* \circ i\| \leq \|T^*\|$, proving that Ψ is an isometry. \square

Theorem 1.11. *Let Y and Z be Banach spaces, then the vector spaces $\text{Ext}(Z, Y^*)$ and $\text{Ext}(Y, Z^*)$ are isomorphic.*

Proof. Let $0 \rightarrow K \xrightarrow{i} P \xrightarrow{q} Y \rightarrow 0$ be a projective presentation of Y , then its dual sequence $0 \rightarrow Y^* \rightarrow P^* \rightarrow K^* \rightarrow 0$ is an injective presentation of Y^* (cf. Castello and González [4, Lemma 2.2.d]). Therefore, P and P^* induce the following exact sequences of vector spaces:

$$\begin{aligned} 0 \rightarrow BL(Z, Y^*) \rightarrow BL(Z, P^*) \rightarrow BL(Z, K^*) \rightarrow \text{Ext}(Y, Z^*) \rightarrow 0, \\ 0 \rightarrow BL(Z, Y^*) \rightarrow BL(Z, P^*) \rightarrow BL(Z, K^*) \rightarrow \text{Ext}(Z, Y^*) \rightarrow 0 \end{aligned}$$

via push-out and pull-out, respectively [2, p. 8], which are equivalent by Lemma 1.10. Hence, $\text{Ext}(Y, Z^*)$ and $\text{Ext}(Z, Y^*)$ are isomorphic. \square

Corollary 1.12. *Let Y and Z be two Banach spaces. Then $\text{Ext}(Y, Z^*) = 0$ if and only if $\text{Ext}(Z, Y^*) = 0$.*

Remark 1.13 (Cabello and Castillo [1, Theorem 3]). Let $F : Z \rightarrow Y$ be a z -linear map. Then there is a homogeneous map $H : Y^* \rightarrow Z'$ that satisfies

$$\|H(y^*) - y^* \circ F\| \leq Z(F)\|y^*\|,$$

for all $y^* \in Y^*$. Given a Hamel basis (f_α) of Y^* , a linear map $L_H : Y^* \rightarrow Z'$ can be defined by $L_H(f_\alpha) = H(f_\alpha)$. The dual map F^* of F is the map $L_H - H$, it is a z -linear map, $F^*(y^*) \in Z'$ for every $y^* \in Y^*$ and $Z(F^*) \leq 2Z(F)$. The map F^* is unique up to equivalence.

Recall that two families \mathcal{E} and \mathcal{F} of finite dimensional Banach spaces are said to satisfy $\text{Ext}(\mathcal{F}, \mathcal{E}) = 0$ **uniformly** if there is a constant c such that, for every couple of spaces $A \in \mathcal{E}$ and $B \in \mathcal{F}$ and every z -linear map $F : B \rightarrow A$, there is a linear map $L : B \rightarrow A$ such that $\text{dist}(F, L) \leq cZ(F)$, Cabello and Castillo [2, p. 7].

Lemma 1.14. $\text{Ext}(\mathcal{E}, \mathcal{F}) = 0$ uniformly if and only if $\text{Ext}(\mathcal{F}^*, \mathcal{E}^*) = 0$ uniformly.

Proof. Suppose that $\text{Ext}(\mathcal{E}, \mathcal{F}) = 0$ uniformly and let $A \in \mathcal{E}$, $B \in \mathcal{F}$, $F : B^* \rightarrow A^*$ be a z -linear map. The dual z -linear map $F \equiv F^{**} : B^* \equiv (B^*)^{**} \rightarrow (A^*)^{**} \equiv A^*$ can be written as $F = F^{**} = L_H - H$ for some homogeneous map $H : B^* \rightarrow A'$ that satisfies $\|b^* \circ F^* - H(b^*)\| \leq Z(F^*)\|b^*\|$ for all $b^* \in B^*$ and a linear map $L_H : B^* \rightarrow A^*$, Cabello and Castillo [1, Theorem 3 and Remark 1]. Since $\text{Ext}(\mathcal{E}, \mathcal{F}) = 0$ uniformly, there is a linear map $T : A \rightarrow B$ and a constant c depends on \mathcal{E} and \mathcal{F} such that $\|F^*(a) - T(a)\| \leq cZ(F^*)\|a\|$ for all $a \in A$. The dual map $T^* : B^* \rightarrow A^*$ satisfies $T^*(b^*)(a) = b^*(T(a))$ for all $a \in A$. Therefore,

$$\begin{aligned} \|F(b^*) - L_H(b^*) + T^*(b^*)\| &= \|-H(b^*) + T^*(b^*) \pm b^* \circ F^*\| \\ &\leq \|b^* \circ F^* - H(b^*)\| + \|T^*(b^*) - b^* \circ F^*\| \\ &\leq Z(F^*)\|b^*\| + \|b^*\|\|T - F^*\| \\ &\leq (c + 1)Z(F)\|b^*\|, \end{aligned}$$

for all $b^* \in B^*$ since $Z(F) \leq Z(F^*)$. Hence $\text{Ext}(\mathcal{F}^*, \mathcal{E}^*) = 0$ uniformly. The converse is obvious since $\mathcal{E} = \mathcal{E}^{**}$. \square

It is shown that if \mathcal{E} and \mathcal{F} are families of finite dimensional Banach spaces such that $\text{Ext}(\mathcal{E}, \mathcal{F}) = 0$ uniformly, and if Y and Z are Banach spaces such that Y is locally \mathcal{E} , Z is locally \mathcal{F} and Z is complemented in its bidual, then $\text{Ext}(Y, Z) = 0$, Cabello and Castillo [2, Theorem 3]. Similar results involving colocality families are given in the following:

Theorem 1.15. If Y and Z are Banach spaces such that Y is colocally \mathcal{E} , Z is colocally \mathcal{F} complemented in its bidual, and $\text{Ext}(\mathcal{E}, \mathcal{F}) = 0$ uniformly, then $\text{Ext}(Y, Z) = 0$.

Proof. It is immediate by Theorem 1.1, Lemma 1.14 and [2, Theorem 3]. \square

Theorem 1.16. Let Y, Z be Banach spaces and let \mathcal{E}, \mathcal{F} be two families of finite dimensional Banach spaces such that Y is locally \mathcal{E} , Z is colocally \mathcal{F} and is complemented in its bidual. If $\text{Ext}(\mathcal{E}, \mathcal{F}) = 0$ uniformly, then $\text{Ext}(Y, Z) = 0$.

Proof. Suppose that Y is λ -locally \mathcal{E} , Z is $\hat{\lambda}$ -colocally \mathcal{F} and let $F : Y \rightarrow Z$ be a z -linear map. Then there is a cofinal subnet \mathcal{G} of the net of all finite dimensional subspaces of Y such that for

every $G \in \mathcal{G}$ there is $E \in \mathcal{E}$ with $d_{BM}(G, E) \leq \lambda$. Let \mathcal{C} be the net of all finite codimensional subspaces of Z directed by reverse inclusion, then for each $A \in \mathcal{C}$, let B_A and q_A be as described in the proof of Theorem 1.7.

For each $G \in \mathcal{G}$, let F_G be the restriction of F to G , then clearly $Z(F_G) \leq Z(F)$ and the composition map $q_A F_G : G \rightarrow Z/B_A$ is a trivial z -linear map. Since $\text{Ext}(\mathcal{E}, \mathcal{F}) = 0$ uniformly, there is a constant c and a linear map $L_G : G \rightarrow Z/B_A$ such that for all $y \in G$,

$$\|q_A F_G(y) - L_G(y)\| \leq cZ(F_G)\|y\| \leq c\lambda Z(F)\|y\|.$$

Note that

$$\|L_G(y)\| \leq \|q_A F_G(y) - L_G(y)\| + \|q_A F_G(y)\| \leq c\lambda Z(F)\|y\| + \|F(y)\|.$$

Let \mathcal{V} be an ultrafilter refining the order filter on \mathcal{G} and define a map $L_A : Y \rightarrow Z/B_A$ by

$$L_A(y) = w^*\text{-}\lim_{\mathcal{V}} L_G(y_G),$$

where $y_G = y$ if $y \in G$, and 0 otherwise. It is easy to see that L_A is a linear map and well defined since for all $G \in \mathcal{G}$. Hence,

$$\|q_A F(y) - L_A(y)\| \leq c\lambda Z(F)\|y\|,$$

which implies that $q_A F$ is trivial. As in the proof of the Theorem 1.7, it is possible to find a linear map $L : Y \rightarrow Z$ such that $\|F(y) - L(y)\| \leq k\|y\|$ for some constant k , proving that $\text{Ext}(Y, Z) = 0$. \square

2. Some applications

The **Schreier space** S is the completion of the space of finite sequences with respect to the following norm:

$$\|x\| = \sup_A \left(\sum_{j \in A} |x_j| \right),$$

where the supremum is taken over all ‘‘admissible’’ subsets of \mathbb{N} , which are defined as the finite subsets $A = \{n_1, n_2, \dots, n_k\}$ of \mathbb{N} such that $n_1 < n_2 < \dots < n_k$ and $k \leq n_1$, Castillo and González [4, p. 119].

Remark 2.1. Note that the Schreier space S contains $\{l_\infty^n\}$ uniformly complemented, since it contains c_0 , Castillo and González [3, p. 167]. Also, S contains $\{\ell_1^n\}$ uniformly complemented, since for each $n \in \mathbb{N}$, the 1-complemented subspace $F_n = \{(x_i) \in S : \text{supp}(x_i) \subseteq \{n, n + 1, \dots, 2n - 1\}\}$ of S is isometrically isomorphic to ℓ_1^n .

Example 2.2. Recall that ℓ_1 is locally and colocally $\{\ell_1^n\}$ and note that c_0 is locally $\{l_\infty^n\}$.

- (i) Since $\text{Ext}(c_0, \ell_1) \neq 0$ and $\text{Ext}(\ell_2, \ell_1) \neq 0$ [2, Examples 4.1 and 4.3], we have $\text{Ext}(c_0, S) \neq 0$ and $\text{Ext}(\ell_2, S) \neq 0$, by Remark 2.1 and Theorem 1.7.
- (ii) Since $\text{Ext}(c_0, \ell_1) \neq 0$, and since S and S^{**} contain $\{l_\infty^n\}_n$ uniformly complemented, we have $\text{Ext}(S, \ell_1) \neq 0$ and $\text{Ext}(S^{**}, \ell_1) \neq 0$ [2, Theorem 2]. Hence, $\text{Ext}(S, S) \neq 0$, $\text{Ext}(S, S^{**}) \neq 0$, $\text{Ext}(S^{**}, S) \neq 0$, and $\text{Ext}(S^{**}, S^{**}) \neq 0$, by Theorem 1.7.
- (iii) Since $\text{Ext}(S, S^{**}) \neq 0$, we have $\text{Ext}(S^*, S^*) \neq 0$, by Corollary 1.12.

The **Johnson–Lindenstrauss space JL** is defined to be the completion of the linear span of $c_0 \cup \{\chi_i : i \in I\}$ in ℓ_∞ with respect to the norm:

$$\left\| y = x + \sum_{j=1}^k a_{i(j)} \chi_{i(j)} \right\| = \max \{ \|y\|_\infty, \|(a_i)_{i \in I}\|_{\ell_2(I)} \}, \quad x \in c_0, \ a_{i(j)} \text{ are scalars,}$$

where χ_i is the characteristic function of A_i , $\{A_i\}_{i \in I}$ is an almost disjoint uncountable family of infinite subsets of \mathbb{N} .

Example 2.3. Since JL/c_0 is isomorphic to $\ell_2(I)$ [4, p. 126], and since ℓ_1 is projective, the dual sequence $0 \rightarrow \ell_2(I) \rightarrow JL^* \rightarrow \ell_1 \rightarrow 0$ of the exact sequence $0 \rightarrow c_0 \rightarrow JL \rightarrow \ell_2(I) \rightarrow 0$ splits. That is, $JL^* = \ell_1 \oplus \ell_2(I)$. Hence, $\text{Ext}(c_0, JL^*) \neq 0$, since $\text{Ext}(c_0, \ell_1) \neq 0$ [2, Examples 4.3], and so $\text{Ext}(JL, \ell_1) \neq 0$, by Corollary 1.12. Therefore, $\text{Ext}(JL, S) \neq 0$ and $\text{Ext}(JL, S^{**}) \neq 0$ by Theorem 1.7.

The **James space $(J, \|\cdot\|)$** is the Banach space of all real sequences $x = (a_1, a_2, \dots)$ such that $\lim_{n \rightarrow \infty} a_n = 0$ and $\sup(\sum_{i=1}^n (a_{p_{2i-1}} - a_{p_{2i}})^2) < \infty$, where the supremum is taken over all choices of n and of positive integers $p_1 < p_2 < \dots < p_{2n}$, equipped with the norm

$$\|x\| = \sup \left(\sum_{i=1}^n (a_{p_{2i-1}} - a_{p_{2i}})^2 \right)^{1/2}.$$

The unit vectors $\{e_n\}_{n=1}^\infty$ form a basis of J , Fetter and Gamboa de Buen [7, p. 12].

The **James Tree space $(JT, \|\cdot\|)$** is defined to be the completion of the space of finite sequences over the dyadic tree Δ with respect to the norm

$$\|x\| = \sup_{n \in \mathbb{N}} \sup_{S_1, \dots, S_n} \left[\sum_{i=1}^n \left(\sum_{\alpha \in S_i} x_\alpha \right)^2 \right]^{1/2},$$

where the supremum is taken over all finite sets of pair wise disjoint segments of Δ . The space JT is an example of a separable Banach space that does not contain ℓ_1 , Castillo and Gonzalez [4, p. 133].

Lemma 2.4. *The predual B of the James tree space JT contains $\{\ell_1^n\}_{n=1}^\infty$ uniformly complemented.*

Proof. Since c_0 is finitely represented in the James space J and JT contains J [7, 2.b.8 and 3.a.7], then JT contains $\{\ell_\infty^n\}_{n=1}^\infty$ uniformly which implies that it contains $\{\ell_\infty^n\}_{n=1}^\infty$ uniformly complemented. Choose $c > 1$ and let G_n be a subspace of $JT = B^*$ such that G_n is c -isomorphic to ℓ_∞^n , $n \in \mathbb{N}$. Since G_n is w^* -closed in B^* , there is a subspace D_n of B such that $G_n = (B/D_n)^*$ [13, 4.8]. Therefore ℓ_1^n is c -isomorphic to B/D_n . Now let ψ_n be a c -isomorphism of B/D_n onto ℓ_1^n and let $q_n : B \rightarrow B/D_n$ be the quotient map, then $\psi_n q_n(cB_B(0, t)) \supseteq B_{\ell_1}$, for any prefixed $t > 1$. Let $\{e_i^n : i = 1, 2, \dots, n\}$ be the standard basis of ℓ_1^n , then there is $x_i^n \in cB_B(0, t)$ such that $\psi_n q_n(x_i^n) = e_i^n$. It is clear that $x_1^n, x_2^n, \dots, x_n^n$ are linearly independent. Let B_n be the subspace of B generated by $\{x_1^n, x_2^n, \dots, x_n^n\}$, define $T_n : \ell_1^n \rightarrow B$ by $T_n(\sum_{i=1}^n \lambda_i e_i^n) = \sum_{i=1}^n \lambda_i x_i^n$. Thus

$$\left\| T_n \left(\sum_{i=1}^n \lambda_i e_i^n \right) \right\| = \left\| \sum_{i=1}^n \lambda_i x_i^n \right\| \leq ct \sum_{i=1}^n |\lambda_i| = ct \left\| \sum_{i=1}^n \lambda_i e_i^n \right\|_1,$$

from which we see that $\|T_n\| \leq ct$.

On the other hand, $T_n^{-1} : B_n \rightarrow \ell_1^n$ satisfies

$$\begin{aligned} \left\| T_n^{-1} \left(\sum_{i=1}^n \lambda_i x_i^n \right) \right\| &= \left\| \sum_{i=1}^n \lambda_i e_i^n \right\| = \left\| \psi_n q_n \left(\sum_{i=1}^n \lambda_i x_i^n \right) \right\| \leq \|\psi_n\| \|q_n\| \left\| \sum_{i=1}^n \lambda_i x_i^n \right\| \\ &\leq c \left\| \sum_{i=1}^n \lambda_i x_i^n \right\|, \end{aligned}$$

which implies that $\|T_n^{-1}\| \leq c$. Hence ℓ_1^n is ct -isomorphic to B_n . Now consider the following diagram:

$$\begin{array}{ccc} B & \xrightarrow{q_n} & B/D_n \\ T_n \uparrow & & \downarrow \psi_n \\ \ell_1^n & \xrightarrow{id_n} & \ell_1^n \end{array}$$

It is easily seen that $\psi_n q_n T_n = id_n$, so $T_n \psi_n q_n : B \rightarrow T_n(\ell_1^n)$ is a projection with norm $\leq c^2 t$. Therefore the result holds. \square

Example 2.5. Consider the James tree space JT and its predual B . By Lemma 2.4, B contains $\{\ell_1^n\}_{n=1}^\infty$ uniformly complemented, and hence JT^* contains $\{\ell_1^n\}_{n=1}^\infty$ uniformly complemented.

- (i) Since $\text{Ext}(c_0, \ell_1) \neq 0$ and $\text{Ext}(\ell_2, \ell_1) \neq 0$ [2, Examples 4.1 and 4.3], we have $\text{Ext}(c_0, B) \neq 0$, $\text{Ext}(c_0, JT^*) \neq 0$, $\text{Ext}(\ell_2, B) \neq 0$ and $\text{Ext}(\ell_2, JT^*) \neq 0$, by Theorem 1.7.
- (ii) Since $\text{Ext}(S, \ell_1) \neq 0$, by Example 2.2, we have $\text{Ext}(S, B) \neq 0$ and $\text{Ext}(S, JT^*) \neq 0$, by Theorem 1.7.
- (iii) Since $\text{Ext}(JL, \ell_1) \neq 0$, by Example 2.3, we have $\text{Ext}(JL, B) \neq 0$ and $\text{Ext}(JL, JT^*) \neq 0$, by Theorem 1.7.

Example 2.6. The Argyros–Deliyanni space AD and Tsirelson's space T , being asymptotically ℓ_1 , contain $\{\ell_1^n\}$ uniformly complemented. Since $\text{Ext}(c_0, \ell_1) \neq 0$, we have $\text{Ext}(c_0, AD) \neq 0$ and $\text{Ext}(c_0, T) \neq 0$, by Theorem 1.7.

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