

# SPHERICAL SUBMANIFOLDS OF A EUCLIDEAN SPACE

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## 1. Introduction

An interesting quest in geometry is to obtain characterizations of spherical curves. There are many characterizations for a curve in  $\mathbb{R}^3$  to lie on the unit sphere  $S^2$ . One is due to Breuer and Gottlieb [1] (see also [12]), who have shown that a curve is spherical if and only if the radius of curvature  $\rho(s)$  and the torsion  $\tau(s)$  satisfy the explicit relation

$$\rho(s) = A_1 \cos \left[ \int \tau(s) ds \right] + A_2 \sin \left[ \int \tau(s) ds \right],$$

$A_1$  and  $A_2$  being arbitrary constants.

A natural generalization of this question to higher dimensions could be: 'given an isometric immersion  $\psi : M^n \rightarrow \mathbb{R}^{n+2}$  of a compact  $n$ -dimensional Riemannian manifold  $(M^n, g)$ , obtain conditions for  $\psi(M^n) \subset S^{n+1}(c)$ , where  $S^{n+1}(c)$  is the sphere of constant curvature  $c$ '. We write  $\psi^T, \psi^\perp$  as tangential and normal components of the position vector  $\psi$  in  $\mathbb{R}^{n+2}$  and show that for a connected submanifold  $\psi : M^n \rightarrow \mathbb{R}^{n+2}$ ,  $\psi(M^n) \subset S^{n+1}(c)$  for some  $c$  if and only if  $\langle H, \psi^\perp \rangle$  is a constant, where  $H$  is the mean curvature vector field (Theorem 4.1). Similarly it is observed that for this submanifold the vector field  $\psi^T$  being harmonic also provides a necessary and sufficient condition for  $\psi(M^n) \subset S^{n+1}(c)$ , for some constant  $c$  (Theorem 4.3).

We also study codimension-2 isometric immersions  $\psi : M^n \rightarrow \mathbb{R}^{n+2}$  of a compact connected Riemannian manifold  $(M^n, g)$  with parallel mean curvature vector field and observe that if the sectional curvatures of  $M^n$  are strictly positive and the scalar curvature  $S$  of  $M^n$  satisfies  $S < n(n-1)\lambda^{-2}$ , then no such isometric immersion of  $(M^n, g)$  is contained in a ball of radius  $\lambda$  in  $\mathbb{R}^{n+2}$  (Theorem 5.1).

## 2. Preliminaries

Let  $M^n$  be an immersed submanifold of the Euclidean space  $\mathbb{R}^{n+p}$ . We denote by  $\langle \cdot, \cdot \rangle$  the inner product on  $\mathbb{R}^{n+p}$ , by  $g$  the induced Riemannian metric on  $M^n$ , by  $\mathfrak{X}(M^n)$  the Lie algebra of smooth vector fields on  $M^n$ , by  $\Gamma(\nu)$  the space of sections of the normal bundle  $\nu$  of  $M^n$  and by  $\bar{\nabla}$  and  $\nabla$  the Riemannian connections on  $\mathbb{R}^{n+p}$  and on  $M^n$  and respectively. Then we have (for details we refer to [3])

$$\bar{\nabla}_X Y = \nabla_X Y + h(X, Y), \quad \bar{\nabla}_X N = -A_N X + \nabla_X^\perp N, \quad (2.1)$$

$X, Y \in \mathfrak{X}(M^n)$ ,  $N \in \Gamma(\nu)$ , where  $h$  is the second fundamental form,  $\nabla^\perp$  is the normal connection and  $A_N$  is the Weingarten map corresponding to the normal section  $N \in \Gamma(\nu)$  which satisfies

$$g(A_N X, Y) = \langle h(X, Y), N \rangle, \quad X, Y \in \mathfrak{X}(M^n), N \in \Gamma(\nu). \quad (2.2)$$

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The equations of Gauss, Codazzi and Ricci are respectively

$$R(X, Y)Z = A_{h(Y, Z)}X - A_{h(X, Z)}Y, \quad (2.3)$$

$$(\nabla h)(X, Y, Z) = (\nabla h)(Y, Z, X), \quad (2.4)$$

$$R^\perp(X, Y, N_1, N_2) = g([A_{N_1}, A_{N_2}](X), Y) \quad (2.5)$$

for  $X, Y, Z \in \mathfrak{X}(M^n)$ , and  $N_1, N_2 \in \Gamma(\nu)$ , where  $R, R^\perp$  are the curvature tensors corresponding to the connections  $\nabla$  and  $\nabla^\perp$  respectively. The submanifold  $M^n$  is said to have flat normal connection if  $R^\perp = 0$ . The covariant derivative  $(\nabla h)(X, Y, Z)$  is given by  $(\nabla h)(X, Y, Z) = \nabla_X^\perp h(Y, Z) - h(\nabla_X Y, Z) - h(Y, \nabla_X Z)$  and the covariant derivatives of a  $(1, 1)$  tensor field  $A$  on  $M^n$  are given by

$$(\nabla A)(X, Y) = \nabla_X AY - A(\nabla_X Y)$$

and

$$(\nabla^2 A)(X, Y, Z) = \nabla_X(\nabla A)(Y, Z) - (\nabla A)(\nabla_X Y, Z) - (\nabla A)(Y, \nabla_X Z)$$

respectively, and we have the Ricci identity

$$(\nabla^2 A)(X, Y, Z) - (\nabla^2 A)(Y, X, Z) = R(X, Y)AZ - AR(X, Y)Z. \quad (2.6)$$

Let Ric be the Ricci tensor of  $M^n$ . Then the Ricci operator  $Q$  is a symmetric operator defined by  $\text{Ric}(X, Y) = g(Q(X), Y)$ ,  $X, Y \in \mathfrak{X}(M^n)$ . The Gauss equation (2.3) gives the following expression for the Ricci operator  $Q$  of the submanifold  $M^n$ :

$$Q(X) = nA_H X - \sum_i A_{h(e_i, X)}e_i, \quad (2.7)$$

where  $\{e_1, \dots, e_n\}$  is a local orthonormal frame on  $M^n$  and  $H = 1/n \sum_i h(e_i, e_i)$  is the mean curvature vector field. The scalar curvature  $S = \sum_i \text{Ric}(e_i, e_i)$  of the submanifold is given by

$$S = n^2 \|H\|^2 - \|h\|^2, \quad (2.8)$$

where  $\|h\|^2 = \sum_{i,j} \|h(e_i, e_j)\|^2$  is the square of the length of the second fundamental form. The mean curvature vector field  $H$  is said to be parallel if it satisfies  $\nabla_X^\perp H = 0$  for all  $X \in \mathfrak{X}(M^n)$ .

Let  $\psi : M^n \rightarrow \mathbb{R}^{n+p}$  be the immersion. Then

$$\psi = \psi^T + \psi^\perp,$$

where  $\psi^T \in \mathfrak{X}(M^n)$  and  $\psi^\perp \in \Gamma(\nu)$ . Then using (2.1) we get

$$\nabla_X \psi^T = X + A_{\psi^\perp} X, \quad \nabla_X^\perp \psi^\perp = -h(X, \psi^T), \quad X \in \mathfrak{X}(M^n). \quad (2.9)$$

Define a smooth function  $F : M^n \rightarrow \mathbb{R}$  on the submanifold  $M^n$  by  $F = \langle H, \psi^\perp \rangle$ . Then from equation (2.9)<sub>1</sub> we obtain  $\text{div } \psi^T = n(1 + F)$  and consequently we have the following.

LEMMA 2.1 *Let  $M^n$  be a compact oriented submanifold of the Euclidean space  $\mathbb{R}^{n+p}$ . Then*

$$\int_{M^n} (1 + F) \, dv = 0.$$

REMARK *This lemma is generalization of the well-known Minkowski integral formula for hypersurfaces, as for  $p = 1$  we shall have  $F = \rho\alpha$ , where  $\alpha = \|H\|$  is the mean curvature and  $\psi^\perp = \rho N$ , for  $N$  the unit normal vector field.*

For an immersion  $\psi : M^n \rightarrow \mathbb{R}^{n+p}$ , we define a symmetric tensor field  $B$  of type  $(1, 1)$  by  $B(X) = A_{\psi^\perp}(X)$ ,  $X \in \mathfrak{X}(M^n)$ . This tensor field has the following properties.

LEMMA 2.2 *The tensor field  $B$  satisfies*

- (i)  $trB = nF$ ,
- (ii)  $(\nabla B)(X, Y) - (\nabla B)(Y, X) = R(X, Y)\psi^T$ ,
- (iii)  $\sum_i (\nabla B)(e_i, e_i) = n \operatorname{grad} F + Q(\psi^T)$ ,

where  $\{e_1, \dots, e_n\}$  is a local orthonormal frame on  $M^n$  and

$$(\nabla B)(X, Y) = \nabla_X B(Y) - B(\nabla_X Y), \quad X, Y \in \mathfrak{X}(M^n).$$

*Proof.* Part (i) follows immediately from the definition of  $B$ . Since  $B$  is symmetric it is easy to verify that

$$g((\nabla B)(X, Y), Z) = g(Y, (\nabla B)(X, Z)) \tag{2.10}$$

holds. Then using equations (2.9) and (2.10) we get after some calculations that  $g((\nabla B)(X, Y) - (\nabla B)(Y, X), Z) = g(R(X, Y)\psi^T, Z)$  and this proves (ii). To prove (iii) we have from (i) that  $\sum_i g(Be_i, e_i) = nF$ . Thus for  $X \in \mathfrak{X}(M^n)$ , we have

$$\sum_i g((\nabla B)(X, e_i), e_i) = nX(F) = ng(X, \operatorname{grad} F).$$

Using (ii) and the definition of the Ricci tensor in above equation we get (iii).

### 3. Integral formulae

In this section we derive some integral formulae which play a key role in proving our results.

PROPOSITION 3.1 *Let  $M^n$  be compact oriented and  $\psi : M^n \rightarrow \mathbb{R}^{n+p}$  an immersion. Then*

$$\int_{M^n} \{\operatorname{Ric}(\psi^T, \psi^T) + \|B\|^2 - n^2 F^2 + n(n - 1)\} \, dv = 0.$$

*Proof.* Consider a local orthonormal frame  $\{e_1, \dots, e_n\}$  which is covariant constant at a point. Then we use Lemma 2.2 to compute  $\operatorname{div}(B\psi^T)$  and arrive at

$$\operatorname{div}(B\psi^T) = nF + \|B\|^2 + ng(\psi^T, \operatorname{grad} F) + \operatorname{Ric}(\psi^T, \psi^T). \tag{3.1}$$

We have  $\operatorname{div}(F\psi^T) = g(\psi^T, \operatorname{grad} F) + F \operatorname{div} \psi^T$ . Using equation (2.9)<sub>1</sub> we get  $\operatorname{div} \psi^T = n(1 + F)$ . Thus we have  $\operatorname{div}(F\psi^T) = g(\psi^T, \operatorname{grad} F) + nF + nF^2$ . Using this to eliminate the term  $g(\psi^T, \operatorname{grad} F)$  in (3.1), we arrive at  $\operatorname{div}(B\psi^T - nF\psi^T) = -n(n - 1)F - n^2 F^2 + \|B\|^2 + \operatorname{Ric}(\psi^T, \psi^T)$ . Integrating this last equation and using Lemma 2.1 we get the desired result.

PROPOSITION 3.2 *If  $M^n$  and  $\psi$  are as above and  $M^n$  has parallel mean curvature vector field, then*

$$\int_{M^n} \left\{ \text{Ric}(\psi^T, \psi^T) - n^2 F^2 + n^2 + \sum_i \|h(e_i, \psi^T)\|^2 \right\} dv = 0.$$

*Proof.* Using equation (2.9)<sub>2</sub> and that the mean curvature vector  $H$  is parallel in the definition of  $F$ , we get  $\psi^T(F) = -g(H, h(\psi^T, \psi^T))$ . This equation together with (2.7) and the fact that  $\text{div}(F\psi^T) = \psi^T(F) + F \text{div} \psi^T$  gives

$$-\text{div}(nF\psi^T) = \text{Ric}(\psi^T, \psi^T) - n^2 F(1 + F) + \sum_i \|h(e_i, \psi^T)\|^2,$$

where we have used  $\text{div} \psi^T = n(1 + F)$ . Integrating the above equation and using Lemma 2.1 we get the result.

#### 4. Submanifolds lying on a hypersphere

Our first result is the following.

THEOREM 4.1 *Let  $\psi : M^n \rightarrow \mathbb{R}^{n+p}$  be an immersion of an oriented compact connected manifold. Then  $\psi(M^n) \subset S^{n+p-1}(c)$  for some constant  $c > 0$  if, and only if, the function  $F = \langle H, \psi^\perp \rangle$  is a constant.*

*Proof.* If  $\psi(M^n) \subset S^{n+p-1}(c)$ , then  $\langle \psi, \psi \rangle = c$ , and for any  $X \in \mathfrak{X}(M^n)$  we get  $X\langle \psi, \psi \rangle = 2\langle \nabla_X \psi, \psi \rangle = 2\langle \psi^T, \psi^T \rangle = 0$ . This gives  $\psi^T = 0$ , and that  $\psi = \psi^\perp = N/\sqrt{c}N$ , where  $N$  is the unit normal to the sphere  $S^{n+p-1}(c)$  in  $\mathbb{R}^{n+p}$ . Thus in this case we have  $B = A_{N/\sqrt{c}N} = -I$ , and consequently (i) in Lemma 2.2 gives  $F = -1$ .

Conversely, suppose  $F$  is a constant. Then by Lemma 2.1 we get  $F = -1$ . Now we compute  $\text{div}(\frac{1}{2}\|\psi\|^2\psi^T) = \|\psi^T\|^2 + \frac{1}{2}\|\psi\|^2 \text{div} \psi^T$ . However as  $\text{div} \psi^T = n(1 + F) = 0$ , integrating we arrive at  $\psi^T = 0$ . Equation (2.9)<sub>2</sub> now yields  $X(\|\psi^\perp\|^2) = 0, X \in \mathfrak{X}(M^n)$ . Thus  $\|\psi^\perp\|^2 = \|\psi^\perp\|^2 = c$ , a constant, and proves that  $\psi(M^n) \subset S^{n+p-1}(c)$ .

In particular, for  $p = 1$ , we have  $\psi^\perp = \rho N$ , and  $H = \alpha N$ , where  $\alpha$  is the mean curvature. In this case we get the following characterization for spheres in  $\mathbb{R}^{n+1}$ .

COROLLARY 4.1 *Let  $\psi : M^n \rightarrow \mathbb{R}^{n+1}$  be an oriented compact and connected hypersurface. Then  $\psi(M^n) = S^n(c)$  for some constant  $c > 0$ , if and only if the function  $F = \rho\alpha$  is a constant.*

LEMMA 4.1 *Let  $\psi : M^n \rightarrow \mathbb{R}^{n+p}$  be an oriented, compact and connected submanifold. Then  $\psi(M^n) \subset S^{n+p-1}(c)$  for some constant  $c > 0$  if and only if the function  $F = \langle H, \psi^\perp \rangle$  and the Ricci curvature in the direction of  $\psi^T$  satisfy*

$$\text{Ric}(\psi^T, \psi^T) \geq n^2(1 + F)^2.$$

*Proof.* If  $\psi(M^n) \subset S^{n+p-1}(c)$ , then by Theorem 4.1 we have  $F = -1$  and  $\psi^T = 0$  and thus the above condition is satisfied. Conversely, suppose that the given condition holds. Note that by Lemma 2.1 we have  $\int_{M^n} (1 + F)^2 dv = \int_{M^n} (F^2 - 1) dv$ . Using this integral in Proposition 3.1 we arrive at

$$\int_{M^n} \{(\text{Ric}(\psi^T, \psi^T) - n^2(1 + F)^2) + (\|B\|^2 - n)\} dv = 0. \tag{4.1}$$

Using the Schwarz inequality together with Lemma 2.2(i), we get  $\|B\|^2 \geq nF^2$ . Thus we have

$$0 \leq n \int_{M^n} (1 + F)^2 dv = \int_{M^n} n(F^2 - 1) dv \leq \int_{M^n} (\|B\|^2 - n) dv. \tag{4.2}$$

These two equations together with the hypothesis imply that  $\int_{M^n} (\|B\|^2 - n) dv = 0$ , which in view of (4.2) gives that  $1 + F = 0$ . Hence by Theorem 4.1 we get that  $\psi(M^n) \subset S^{n+p-1}(c)$  for some constant  $c > 0$ .

**THEOREM 4.2** *Let  $\psi : M^n \rightarrow \mathbb{R}^{n+p}$  be an oriented compact and connected submanifold with parallel mean curvature vector field. Then the condition  $h(X, \psi^T) = 0, X \in \mathfrak{X}(M^n)$ , holds if and only if  $\psi(M^n) \subset S^{n+p-1}(c)$  for some constant  $c > 0$ .*

*Proof.* If  $\psi(M^n) \subset S^{n+p-1}(c)$  for some constant  $c > 0$ , then by Theorem 4.1  $F$  is a constant, and  $\psi^T = 0$ . Thus the given condition is satisfied. Conversely, using Propositions 3.1 and 3.2 we get

$$\int_{M^n} (\|B\|^2 - n) dv = \int_{M^n} \left( \sum_i \|h(e_i, \psi^T)\|^2 \right) dv.$$

Thus the hypothesis gives  $\int_{M^n} (\|B\|^2 - n) dv = 0$ . This equation together with (4.2) proves that  $F = -1$ , and consequently that  $\psi(M^n) \subset S^{n+p-1}(c)$  for some constant  $c > 0$ .

**THEOREM 4.3** *Let  $\psi : M^n \rightarrow \mathbb{R}^{n+p}$  be an oriented compact and connected submanifold. Then  $\psi(M^n) \subset S^{n+p-1}(c)$  for some constant  $c > 0$ , if and only if the vector field  $\psi^T$  is harmonic.*

*Proof.* Suppose that  $\psi^T$  is harmonic, that is,

$$\Delta \psi^T = \sum_i [\nabla_{e_i} \nabla_{e_i} \psi^T - \nabla_{\nabla_{e_i} e_i} \psi^T] = 0$$

for a local orthonormal frame  $\{e_1, \dots, e_n\}$ . Since  $BX = -X + \nabla_X \psi^T, X \in \mathfrak{X}(M^n)$  and  $(\nabla B)(X, Y) = \nabla_X \nabla_Y \psi^T - \nabla_{\nabla_X Y} \psi^T$  we get

$$\sum_i (\nabla B)(e_i, e_i) = \Delta \psi^T = 0.$$

As  $\psi^T$  is harmonic the above equation together with Lemma 2.2 gives  $n \operatorname{grad} F = -Q(\psi^T)$ . Taking the inner product with  $\psi^T$  in this last equation we arrive at

$$\operatorname{Ric}(\psi^T, \psi^T) = -n\xi(F) = -n \left\{ \operatorname{div}(F\psi^T) - F \operatorname{div} \psi^T \right\},$$

which gives

$$\operatorname{Ric}(\psi^T, \psi^T) + \operatorname{div}(nF\psi^T) - n^2F(1 + F) = 0.$$

Integrating this last equation and using Lemma 2.1 and Proposition 3.1, we get

$$\int_{M^n} (\|B\|^2 - n) dv = 0.$$

Then an argument similar to that in equation (4.2) yields  $F = -1$ , and consequently this together with Theorem 4.1 implies that  $M^n$  lies on a sphere. The converse is clear.

As an immediate easy consequence of above theorem we have the following.

**COROLLARY 4.2** *Let  $\psi : M^n \rightarrow \mathbb{R}^{n+p}$  be a compact and connected submanifold. Then  $\psi(M^n) \subset S^{n+p-1}(c)$  for some constant  $c > 0$ , if and only if the vector field  $\psi^T$  is killing.*

**5. Submanifolds with parallel mean curvature vector**

Let  $\psi : M^n \rightarrow \mathbb{R}^{n+2}$  be an immersion of a compact manifold with parallel mean curvature vector field, that is, the mean curvature vector field  $H$  satisfies  $\nabla_X^\perp H = 0, X \in \mathfrak{X}(M^n)$ . Then  $\|H\| = \alpha$ , a non-zero constant. We choose a local orthonormal frame  $\{N_1, N_2\}$  of normals such that  $H = \alpha N_1$ . Then in this case we have

$$\psi^\perp = \frac{F}{\alpha} N_1 + f N_2, \quad f = \langle \psi^\perp, N_2 \rangle. \tag{5.1}$$

Since  $\nabla_X^\perp H = 0$ , for each  $X \in \mathfrak{X}(M^n)$ , it follows that  $\nabla_X^\perp N_1 = 0, X \in \mathfrak{X}(M^n)$ , and as the codimension is 2 and  $N_1 \perp N_2$ , we also get that  $\nabla_X^\perp N_2 = 0, X \in \mathfrak{X}(M^n)$ . Define  $C(X) = A_{N_2}(X), X \in \mathfrak{X}(M^n)$ , then it can be easily shown that  $C$  satisfies

$$\text{tr } C = 0, \quad (\nabla C)(X, Y) = (\nabla C)(Y, X), \quad \sum_i (\nabla C)(e_i, e_i) = 0 \tag{5.2}$$

for  $X, Y \in \mathfrak{X}(M^n)$  and a local orthonormal frame  $\{e_1, \dots, e_n\}$ .

**THEOREM 5.1** *Let  $(M^n, g)$  be oriented, positively curved, compact and connected. If there exists a positive constant  $\lambda$  such that the scalar curvature  $S$  of  $M^n$  satisfies  $S < n(n - 1)\lambda^{-2}$ , then no isometric immersion of  $M^n$  in  $\mathbb{R}^{n+2}$  with parallel mean curvature vector field is contained in a ball of radius  $\lambda$ .*

*Proof.* Suppose that there is an isometric immersion  $\psi : M^n \rightarrow \mathbb{R}^{n+2}$  with parallel mean curvature vector and  $\|\psi\| \leq \lambda$ . Define  $\varphi : M^n \rightarrow R$  by  $\varphi = \frac{1}{2}\|C\|^2$ , where  $C$  is as above. The Laplacian  $\Delta\varphi$  is given by

$$\Delta\varphi = \|\nabla C\|^2 + \frac{1}{2} \sum_{i < j} (\mu_i - \mu_j)^2 K_{ij},$$

where  $\mu_i$  are the eigenvalues of  $C$ . Integrating the above equation and using  $K_{ij} > 0$ , we get  $\nabla C = 0$ . This proves that  $C = \mu I$  which, together with  $\text{tr } C = 0$ , gives  $C = A_{N_2} = 0$ .

Now from (5.1), for any  $X \in \mathfrak{X}(M^n)$ , we get

$$X(f) = X\langle \psi^\perp, N_2 \rangle = -\langle h(X, \psi^T), N_2 \rangle = 0;$$

consequently  $f$  is a constant, call it  $\beta$ . Thus

$$\|\psi\|^2 = \|\psi^T\|^2 + \frac{F^2}{\alpha^2} + \beta^2 \tag{5.3}$$

and  $B = A_{\psi^\perp} = (F/\alpha)A_{N_1}$ , which gives  $\|B\|^2 = (F^2/\alpha^2)\|A_{N_1}\|^2$ . Using this in Proposition 3.1, we arrive at

$$\int_{M^n} \left\{ \text{Ric}(\psi^T, \psi^T) + \frac{F^2}{\alpha^2} (\|A_{N_1}\|^2 - n^2\alpha^2) + n(n - 1) \right\} dv = 0.$$

The length  $\|h\|^2$  of the second fundamental form is given by  $\|h\|^2 = \sum_{\gamma=1}^2 \|A_{N_\gamma}\|^2$  and  $A_{N_2} = 0$ . Thus we have  $\|A_{N_1}\|^2 = \|h\|^2$ , consequently the above integral takes the form

$$\int_{M^n} \left\{ \text{Ric}(\psi^T, \psi^T) + \left( n(n - 1) - \frac{F^2}{\alpha^2} S \right) \right\} dv = 0,$$

where  $S = n^2\|H\|^2 - \|h\|^2$  is the scalar curvature of  $M^n$ . Using equation (5.3) and  $\|\psi\| \leq \lambda$  in above integral we get the inequality

$$\int_{M^n} \{(\text{Ric}(\psi^T, \psi^T) + \|\psi^T\|^2 S + \beta^2 S) + \lambda^2(n(n-1)\lambda^{-2} - S)\} dv \leq 0. \tag{5.4}$$

But the first bracket is non-negative and as  $S < n(n-1)\lambda^{-2}$ , the integrand is strictly positive and we get the contradiction.

As a consequence of above theorem we have the following.

**COROLLARY 5.1** *Let  $M^n$  be an oriented positively curved compact and connected submanifold of  $\mathbb{R}^{n+2}$  with parallel mean curvature vector. If  $M^n$  is contained in a ball of radius  $\lambda$  in  $\mathbb{R}^{n+2}$  and the scalar curvature  $S$  of  $M^n$  satisfies  $S \leq n(n-1)\lambda^{-2}$ , then  $M^n$  lies on the hypersphere  $S^{n+1}(\lambda^{-2})$  and indeed is isometric to  $S^n(\lambda^{-2})$ .*

*Proof.* The first term in the integral (5.4) is non-negative and so is the second term. Thus we get  $S = n(n-1)\lambda^{-2}$  and  $\text{Ric}(\psi^T, \psi^T) + \|\psi^T\|^2 S + \beta^2 S = 0$ , which consequently implies that  $\psi^T = 0$  and  $\beta = 0$ . Then Theorem 4.1 with  $\psi^T = 0$  implies that  $M^n$  lies on the hypersphere  $S^{n+1}(\lambda^{-2})$ . Note that the conclusion  $\psi^T = 0$  implies that  $F$  is a constant, and by Lemma 2.1 the constant  $F$  must be  $-1$ . Also (5.3) gives that  $\lambda^2 = 1/\alpha^2$ . Substituting this in  $S = n(n-1)\lambda^{-2}$ , we get

$$S = n(n-1)\alpha^2. \tag{5.5}$$

Also the conclusion  $\beta = 0$  gives that  $\|h\|^2 = \|A_1\|^2$ , where  $A_1 = A_{N_1}$ ,  $\{N_1, N_2\}$  being the local orthonormal frame chosen as in Theorem 5.1. We have  $\text{tr } A_1 = n\alpha$ , and the Schwarz inequality gives  $\|A_1\|^2 \geq 1/n(\text{tr } A_1)^2 = n\alpha^2$  with equality holding if and only if  $A_1 = \alpha I$ . Thus using (2.8) we arrive at  $S \leq n^2\alpha^2 - n\alpha^2 = n(n-1)\alpha^2$ . Combining this last equation with (5.5) we get the equality in  $\|A_1\|^2 \geq 1/n(\text{tr } A_1)^2$ , and consequently  $A_1 = \alpha I$ , that is,  $M^n$  is totally umbilical and hence isometric to a sphere of constant curvature  $\alpha^2 = \lambda^{-2}$ .

**COROLLARY 5.2** *Let  $M^n$  be an oriented positively curved compact and connected submanifold of  $\mathbb{R}^{n+2}$  with parallel mean curvature vector field  $H$ . If the scalar curvature  $S$  of  $M^n$  is constant, then  $M^n$  is  $S^n(\alpha^2)$ , where  $\alpha = \|H\|$ .*

**COROLLARY 5.3** *Let  $M^n$  be an oriented compact, connected and positively curved submanifold of  $\mathbb{R}^{n+2}$  with parallel mean curvature vector field. If  $\text{Ric}(\psi^T, \psi^T) \geq n^2(1+F)^2$ , then  $M^n$  is an  $n$ -sphere  $S^n(c)$ .*

The proofs of these are similar to that of Corollary 5.1.

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